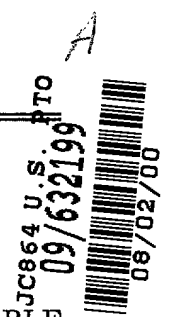
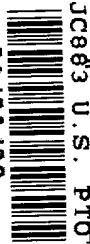


08-04-00

08/02/00



UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. : 39428/NEC/Y54
Inventor(s) : Joseph Neev, Ph.D.
Title : METHOD AND APPARATUS FOR HIGH PRECISION VARIABLE
RATE MATERIAL REMOVAL AND MODIFICATION
Express Mail Label No. : EL497770549US

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231
Date: August 2, 2000

1. ☒ **FEE TRANSMITTAL FORM** (*Submit an original, and a duplicate for fee processing*).
2. **IF A CONTINUING APPLICATION**
☒ This application is a continuation of patent application No. 09/054,834; which claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4), to provisional Application No. 60/050,416.

Prior application information: Examiner Yarnell, B.; Group Art Unit: 3739

3. **APPLICATION COMPRISED OF**

Specification

178 Specification, claims and Abstract (total pages)

Drawings

38 Sheets of drawing(s) (FIGS. 1 to 18)

Declaration and Power of Attorney

- ☒ Newly executed
☐ No executed declaration
☐ Copy from a prior application (37 CFR 1.63(d))(for continuation and divisional)

4. ☐ **Microfiche Computer Program** (*Appendix*)
5. ☐ **Nucleotide and/or Amino Acid Sequence Submission** (*if applicable, all necessary*)
☐ Computer Readable Copy
☐ Paper Copy (identical to computer copy)
☐ Statement verifying identity of above copies

6. **ALSO ENCLOSED ARE**

- ☒ Preliminary Amendment
☐ A Petition for Extension of Time for the parent application and the required fee are enclosed as separate papers
☒ Small Entity Statement(s)
☐ Statement filed in parent application, status still proper and desired

09/054,834 "080200"

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.: 39428/NEC/Y54

- ☐ Copy of Statement filed in provisional application, status still proper and desired
- ☐ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed as separate papers
- ☐ This application is owned by pursuant to an Assignment recorded at Reel , Frame
- ☒ Information Disclosure Statement (IDS)/PTO-1449
- ☐ Copies of IDS Citations
- ☐ Certified copy of Priority Document(s) (*if foreign priority is claimed*)
- ☐ English Translation Document (*if applicable*)
- ☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).
- ☐ Other

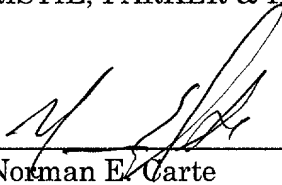
7. CORRESPONDENCE ADDRESS

CHRISTIE, PARKER & HALE, LLP, P.O. BOX 7068, PASADENA, CA 91109-7068

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By



Norman E. Carte
Reg. No. 30,455
626/795-9900

002080" 66722960

NEC/dmm

Docket No. : 33049/NEC/Y54 **CHRISTIE PARKER & HALE, LLP**
Applicant or Patentee : Joseph Neev **Post Office Box 7068**
Application or Patent No. : Not Yet Assigned **Pasadena, CA 91109-7068**
Filed or Issued : Not Applicable **(626) 795-9900**
Entitled : **METHOD AND APPARATUS FOR HIGH PRECISION VARIABLE**
RATE MATERIAL REMOVAL AND MODIFICATION

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR § 1.9(f) and § 1.27(b))
INDEPENDENT INVENTOR

As a below-named inventor, I declare that I qualify as an independent inventor as defined in 37 CFR § 1.9(c) for purposes of paying reduced fees under Sections 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled **METHOD AND APPARATUS FOR HIGH PRECISION VARIABLE RATE MATERIAL REMOVAL AND MODIFICATION** described in

 X the specification filed herewith.

 Application No. filed

 Patent No. issued

I have not assigned, granted, conveyed or licensed, and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR § 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR § 1.9(d) or a nonprofit organization under 37 CFR § 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed, or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below.

 X No such person, concern or organization.

 Persons, concerns or organizations listed below. (NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. 37 CFR § 1.27)

NAME :

ADDRESS :

 INDIVIDUAL SMALL BUSINESS CONCERN NONPROFIT ORGANIZATION

NAME :

ADDRESS :

 INDIVIDUAL SMALL BUSINESS CONCERN NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR § 1.28(b))

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR § 1.9(f) and § 1.27(b))
INDEPENDENT INVENTOR

Docket No.: 33049/NEC/Y54

(37 CFR § 1.28(b))

I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Joseph Neev
Name of Inventor

Signature

Date

Name of Inventor

Signature

Date

Name of Inventor

Signature

Date

Name of Inventor

Signature

Date

NEC/dmm

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

EXPRESS MAIL LABEL NO. EL49770549US

Applicant : Joseph Neev, Ph.D.
Filed : Not Yet
Title : METHOD AND APPARATUS FOR HIGH PRECISION
VARIABLE RATE MATERIAL, REMOVAL AND
MODIFICATION

Docket No. : 39428/NEC/Y54

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Post Office Box 7068
Pasadena, CA 91109-7068
August 2, 2000

Commissioner:

IN THE SPECIFICATION

Please add:

CROSS REFERENCE TO RELATED APPLICATIONS

This application is a continuation of allowed Application No. 09/054,834, filed April 3, 1998, which claimed the benefit of the filing date of U.S. Provisional Application No. 60/050,416, filed June 4, 1997, the disclosures of both are incorporated fully herein by reference.

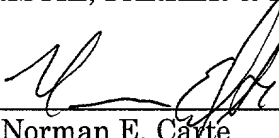
IN THE CLAIMS

Please cancel claims 1-34, 39-47, 54, and claims 67-81.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By


Norman E. Cayte
Reg. No. 30,455
626/795-9900

002080" 66722960

Case No. NEEVJ-001A

Pat. Appln.

Express Mail No. EL497770549US

5 METHOD AND APPARATUS FOR HIGH PRECISION VARIABLE RATE
 MATERIAL REMOVAL AND MODIFICATION

Related Applications

10 This patent application claims the benefit of the
 filing date of United States Provisional Patent Application
 Serial Number 60/050,416, filed on June 4, 1997 and
 entitled "METHOD AND APPARATUS FOR A VARIABLE-RATE THREE
 DIMENSIONAL MATERIAL PROCESSING AND MODIFICATION, the
 contents of which are hereby incorporated by reference.

15 Field of the Invention

 The present invention is generally related to the
 field of pulsed electromagnetic energy source systems
 suitable for material and biological tissue modification
20 processing and removal and is more particularly related to
 a material removal and modification method and apparatus in
 which pulsed electromagnetic sources of high ablation-to-
 deposition depth ratios are operable at pulse repetition
 rates ranging up to approximately several hundreds of
25 thousands of pulses per second so as to efficiently and
 precisely remove substantial material volumes while
 substantially eliminating collateral damage.

Background of the Invention

30 The past three decades have brought increased interest
 in the use of lasers in material processing applications.
 Early procedures for material processing and cutting
 involved optical drilling using continuous wave or
 relatively long pulse (e.g., 50 to 350 μ s) lasers such as
35 CO₂, ruby and ND:YAG (Neodymium doped Yttrium Aluminum

002080" 65T2E960

Garnet). These systems, however, required relatively high radiant exposure and resulted in significant alterations to surrounding tissue. As a consequence, lasers could become an effective cutting tool only in areas which did not require high degree of precision or control.

Optical drilling with ER:YAG (Erbium doped YAG) lasers yielded encouraging results in the late 1980s, and has demonstrated its capability to perform as an efficient drill while incurring only relatively low levels of collateral damage to surrounding tissue, provided that no more than one to three pulses per second were applied to the target material. The success of ER:YAG systems, operating in the microsecond pulse duration regime and minimizing thermal damage has also been observed in several areas of applications in material processing and medicine, and can be attributed to the high absorption coefficient of these materials at the particular wavelengths characteristic of the Er:YAG system (2900 nm), when used in combination with the relatively short pulse durations and at low pulse repetition rates.

Laser systems adapted to hard tissue processing, such as dentin and enamel removal in dental applications are disclosed in: 1. Hibst R, Kelly U. Experimental studies of the application of the Er:YAG laser on dental hard substances: I. Measurement of the Ablation Rate. Laser Surgery and Medicine 1989, 9:352-7; and, 2. Keller U, Hibst R. Experimental studies of the application of the Er:YAG laser on dental hard substances: II. Light microscopy and SEM investigations. Lasers in Surgery and Medicine 1989; 9:345-351.)

Both pulsed CO₂ and Er:YAG are disclosed in: Walsh, J.T., Flotte, T.J., Anderson, R.R., Deutsch, T.F., "Pulsed CO₂ Laser Tissue Ablation: Effect of Tissue Type and Pulse Duration on Thermal Damage, "Lasers in Surgery and Medicine, Vol. 8, pp.108-118, 1988; Walsh, J.T., Flotte,

repeated over a period of time.

U.S. Patent No. 5,342,198, to Vassiliadis, et al. discloses an ER:YAG IR laser system suitable for the removal of dentin in dental applications. The laser produces a pulsed output having a beam with a pulse duration in the range of several tens of picoseconds to about several milliseconds. Although disclosed as being efficient in the removal of dentin and dental enamel, the mechanism by which material removal is effected is not understood. Significantly, however, the only laser systems disclosed as suitable for the process are those which operate at wavelengths (1.5 to 3.5 microns) that have proven to be generally effective for enamel interaction. Thus, the absorption characteristics of the material target are of primary concern to the removal rate. In addition, high energy levels are required to remove enamel and dentin, leading to the problem of thermal damage and acoustic noise.

Additional possibilities for the application of lasers to the field of dentistry in particular, and to hard tissue ablation in general, have been proposed by the use of excimer lasers that emit high intensity pulses of ultraviolet (UV) light.

Several such pulsed UV excimer laser systems, typically with pulse durations in the approximately 1 to 125 nanosecond range are disclosed in:

1. Neev J, Stabholz A., Liaw L. L, Torabinejad M, Fujishige J.T., Ho P.H, Berns M.W., "Scanning Electron Microscopy and Thermal characteristics of Dentin ablated by a short-pulse XeCl Laser", Lasers in Surgery and Medicine;

2. Neev J, Liaw L, Raney D, Fujishige J, Ho P, Berns M. Selectivity and efficiency in the ablation of hard Dental tissue with ArF pulsed excimer lasers. Lasers Surgery and Medicine 1991; 11:499-510;

4. Neev J, Raney D, Whalen W, Fujishige J, Ho P, McGrann J, Berns M. Dentin ablation with two excimer lasers: A comparative study of physical characteristics. Lasers Life Sci 1992; 4(3):1-25. Both the short wavelengths and nanosecond range pulse durations used by excimer lasers contribute to define a different regime of laser-tissue-interaction. Short wavelength ultraviolet photons are energetic enough to directly break chemical bonds in organic molecules. As a consequence, UV excimer lasers can often vaporize a material target with minimal thermal energy transfer to adjacent tissue. The resultant gas (the vaporization product) is ejected away from the target surface, leaving the target relatively free from melt, recast, or other evidence of thermal damage.

Notwithstanding the relatively damage free material
35 removal characteristics of UV excimer lasers, these systems

000000 "080000 000000

suffer from several disadvantages which limit their applicability to biological tissue processing. The reports of damage free tissue removal result from evaluations performed on single pulses, or on pulses with a very low repetition rate (typically about 1 to 10 Hertz) . Because of the low volumetric removal per pulse of excimer systems (material removed per unit time is poor), efficient material removal can only be accomplished by high pulse repetition rates. However, when the pulse repetition rate exceeds about 3 to 5 Hertz, considerable thermal and mechanical collateral damage is observed. While UV photons are sufficiently energetic to directly break chemical bonds, they are also sufficiently energetic to promote mutagenic effects in tissue irradiated at UV wavelengths, raising concerns about the long term safety and health of a system operator. The scattered light produced by excimer lasers also presents a significant threat to the clinician and/or the patient. Even low intensity scattered radiation, with wavelengths below 300 nanometers, is able to interact with the ambient environment to produce atomic oxygen and other free radicals. These can, in turn, react with the lens and cornea of the eye, producing cataracts, and produce burns on the skin equivalent to sun burns. As a consequence, excimer laser systems have been found to be most suitable for inorganic material processing applications, such as thin coating patterning or dielectric or semiconductor material etching.

In addition, the operational parameters of excimer laser systems are such that material removal remains a wavelength and beam energy dependent process (although weakly dependent on wavelength). Even when pulsed in the tens of nanoseconds pulse duration regime, excimer lasers are configured to deliver energy in the range of from about 10 to about 1000 millijoules per pulse. At the higher energies, excimer lasers suffer from the same problems

Neev et al. (University of California Case No. 95-313-
1) U.S. Patent Application Serial Number 08/584,522 described a Selective material removal processing Ultra Short Pulse Lasers (USPL) system in combination with a feedback system and with higher pulse repetition rates. This invention is directed to a system for efficient biological tissue removal using ultra short pulses. Such pulse durations are shorter than the characteristics electron-phonon energy transfer time, thus minimizing collateral thermal damage. The method also requires that plasma is formed and decayed so that a thin layer portion of the material is removed. The plasma formation step is then repeated at a pulse repetition rate greater than 10 pulses per second until a sufficient depth of material has been removed with little transfer of thermal or mechanical energy into the remaining material due to the shortness of the pulse duration. The preferred wavelength for that invention is in the range of 200-2500 nm. The laser specified in that patent application is a Chirped Pulse Amplifier (CPA) Solid-state laser.

35 This invention should work well in many applications.

20 An additional problem is that ultrashort pulse lasers
are currently achieved principally in the near IR region of
the electromagnetic spectrum. This is a highly transparent
region for most biotissue material. Consequently, some
portion of the radiation propagates linearly into the
25 material and is not confined to the surface. This
additional energy propagating into the target may then
encounter more absorbing structures (for example the blood
vessels in the retina) and will then result in a secondary
- unintended - ablative interaction, posing risk to the
30 patients or to the material being processed.

United States Patent Number 4,907,586 issued to Bille and Brown for "METHOD FOR RESHAPING THE EYE", disclosed a method for modifying tissue with a quasi-continuous laser beam to change the optical properties of the eye which comprises controllably setting the volumetric power density

5

10

15

35

5

10

20

25

30

Preferably, at least one characteristic of the material to be ablated is first determined and then a pulse of the directed energy is defined which increases the ratio of the quantity of the material which is ablated thereby with respect to the quantity of the material which is permanently modified thereby. Thus, the characteristic(s) of the material at least particularly define the pulse which is used to ablate the material. For example, the characteristics of the material which may be determined may comprise thermal conductivity, effective electromagnetic energy depth, material energy gapped between valence and conductivity bands, material density or material strength, taken either alone or in combination with one another.

Although the directed energy pulse is described herein as being comprised of laser radiation, those skilled in the art will appreciate that various different types of direct energy, accelerated electrons, accelerated ions, various forms of electromagnetic energy, etc., are likewise suitable. The directed energy may also comprise light from either an LED, a fluorescent lamp, or an incandescent lamp, taken alone or in combination with one another, the directed energy pulse may comprise either coherent or incoherent electromagnetic radiation or any combination thereof.

The characteristic(s) of the material to be ablated may be determined in a variety of different ways, such as by directly sensing the characteristic(s) of the material, by looking up the characteristic(s) of the material in a reference, or by ablating the material with a pulse of the direct energy, determining the approximate quantity of the material ablated and also determines the approximate quantity of the material permanently modified. Thus, one way of determining the desired characteristic(s) of the material to be ablated is by first ablating a quantity of the material and then observing how much of the material is

5 minimizing the quantity of the material permanently
modified subsequent pulses.

10 so as to permanently modify a quantity of the material. The pulses have a sufficient pulse rate so as to increase the ratio of the quantity of the material which ablated thereby with respect to the quantity of the material which is permanently modified thereby. In this manner, the material is ablated with a plurality of directed energy
15 pulses having a sufficient pulse rate as to minimize undesirable permanent modification of the material.

20 ablated is determined when utilizing a plurality of pulses.
The characteristic(s) of the material to be ablated are
then utilized to define the pulse rate of the directed
energy so as to again increase the ratio of the quantity of
the material which is ablated thereby with respect to the
25 quantity of the material which is permanently modified
thereby.

30 the combination of both the specifically configured pulse and the pulse rate cooperate to increase the ratio of the quantity of the material which will be ablated by the plurality of pulses with respect to the quantity of the material which will be permanently modified thereby.

35 Thus, according to the present invention, a material

is ablated by utilizing a laser. The laser is specifically configured for use with the material so as to cause a substantial quantity of the energy absorbed by the material to subsequently be removed therefrom with the material ejected during ablation. Removing a substantial amount of the energy absorbed by the material minimizes residual energy deposition while ablating, so as to mitigate collateral thermal damage to the material. Ablation of the material is preferably formed at a velocity greater than the thermal energy diffusion through the material so as to remove residual energy from the material.

The material is preferably ablated using a laser having a sufficiently high pulse repetition rate to cause a substantial amount of the energy absorbed by the material to subsequently be removed therefrom with the ejected material. The characteristic(s) of a laser beam pulse are based upon properties of the material so as to provide a depth of the material removed by the pulse which is approximately equal to an electromagnetic deposition depth of the material.

Optionally, the material is ablated utilizing a laser wherein characteristic(s) of the laser beam pulse are based upon properties of the material so as to provide a plasma. The plasma is generated by either multiphoton ionization or thermal ionization. The plasma effects an electromagnetic energy deposition depth which is approximate to a depth of the material removed by the pulse.

Optionally, doping agents are added to the material being ablated. The doping agents cause the laser to provide an electromagnetic energy deposition depth which is approximately equal to the depth of the material removed by the laser.

More particularly, according to the methodology of the present invention high precision, highly controllable, variable rate, material removal is provided by a pulsed

5 The method comprises the steps of providing an
electromagnetic radiation source capable of generating an
output beam comprised of a sequence of electromagnetic
pulses, each pulse having a pulse duration in the range of
approximately 1 femtosecond to approximately 10
10 milliseconds.

Thus, the material is ablated with electromagnetic energy from the source so that a substantial portion of the deposited electromagnetic energy is removed from the target material with an ejected portion of the material.

The electromagnetic beam's energy deposition depth within the material defines a volume so that the power density within the volume is greater than the threshold power density for material ablation.

35 The pulsed electromagnetic radiation source preferably

produces an output beam having a wave length in the range of approximately 10 nanometers to approximately 50 micrometers.

Each pulse of the pulsed force preferably has an energy in the range of approximately 0.001 microjoule to approximately 50 Joule. The output beam preferably has a diameter at the target material such that the target material experiences an energy fluence in the range of approximately 0.001 Joule per square centimeter to approximately 100 Joule per square centimeter.

The pulsed beam preferably exhibits a material removal rate in the range of approximately 0.01 micrometers to approximately 100,000 micrometers per pulse. The removal rate is preferably substantially constant.

15 According to a further aspect of the present invention, precise, highly controlled, variable rate material removal is provided by a pulsed electromagnetic radiation beam. A source capable of generating an output beam comprised of a sequence of electromagnetic pulses is
20 provided. Preferably, each electromagnetic pulse has a pulsed duration in the range of approximately 1 femtosecond to approximately 10 milliseconds.

The source is operated and the beam parameters manipulated so that the electromagnetic pulse's power densities within the region targeted for energy deposition is in the range of approximately 10^8 W/cm³ to approximately 10^{13} W/cm³ and is larger than the power density threshold for plasma formation.

The formed plasma is allowed to decay such that a
30 layer of the material is removed. The removed layer of
material carries with it a substantial portion of the
deposited electromagnetic energy from the target regions.

The pulse source is operated so that once a critical electron density is reached within the formed plasma, the
35 formed plasma substantially presents excess pulse energy

5 The pulse source is operated at a pulse repetition rate greater than approximately 0.1 pulses per second and less than approximately 500,000 pulses per second until a sufficient depth of material has been removed.

10

15

20

30

35

In a further alternative method for controlled, variable rate material modification, a pulsed electromagnetic radiation beam is provided by providing a source capable of generating and output beam comprised of a sequence of electromagnetic pulses, each electromagnetic pulse having a pulse duration in the range of approximately 1 femtosecond to approximately 100 milliseconds. The pulse source is operated and the beam parameter is manipulated so that the deposited volumetric power density within the targeted material is greater than the threshold power density for material modification, such that control of the power density is achieved by varying either one or more of the following parameters: the beam spot size at the target location, the duration of the electromagnetic pulse emissions, the energy of the electromagnetic pulse emissions, the wavelength of the electromagnetic pulse emissions, or by spatially and temporally varying the absorption and/or scattering characteristics of the material at the target region. The interaction energy transients caused by the electromagnetic radiation pulse are allowed to substantially decay such that material modification is effected. Such material modification preferably includes one or more of the of the following alterations: Chemical and physical changes, changes to

visco elastic properties, changes to optical or thermal properties, chemical and physical breakdown, disintegration, ablation, melting, or vaporization.

The pulse source is preferably operated at a pulse
5 repetition rate greater than approximately 0.1 pulses per
second until a sufficient volume of the material has been
modified.

The target material is preferably substantially transparent to linear beam propagation and the threshold volumetric power density is achieved at a desired target location below the surface and within the material volume. Again, scattering and/or absorption centers, defects, or highly absorbing components are added to the target material with spatial and/or temporal selectivity to specific, predetermined locations within the target material.

The pulse beam preferably exhibits a material modification rate in the range of approximately 0.01^3 cubic micrometers per pulse to approximately $100,000^3$ cubic micrometers per pulse. The material modification rate is preferably substantially constant, depending substantially on the volumetric power density threshold characteristics of the material and on the target-beam characteristics thereof.

25 Thus, a method and apparatus is disclosed for fast,
precise and damage-free material processing and
modification using a high pulse repetition rate
electromagnetic energy source. The pulsed interaction uses
a parameter regime which minimizes residual energy
30 deposition while ablating. Advantageously, removal of
cumulative pulse train residual energy is maximized through
the rapid progression of the ablation front which moves
faster than the thermal energy diffusion. Removal of
residual energy thus minimizes collateral thermal and
35 mechanical damage in material processing and also minimizes

Further, a method for high precision, highly
controllable, variable rate, material removal by a
35 continuously emitting, continuous wave (CW) beam of

Further, a method for high precision, highly
controllable, variable rate, material removal by a
35 continuously emitting, continuous wave (CW) beam of

Thus, the beam is redirected so that either a single or multiple beams are formed and such that their energy distribution at any given location on the target material forms a sequence of electromagnetic pulses. Each
5 electromagnetic pulse preferably has a duration between approximately 1 femtosecond and approximately 10 milliseconds.

Thus, the beam is modified such that the original beam is re-configured into a new single or multiple beams. In
10 this manner, the energy of the original beam is utilized after having been redistributed in both time and space.

The source of electromagnetic energy is operated and the beam parameters are manipulated so that the electromagnetic pulse's power densities within the region
15 targeted for modification are between approximately 10^4 W/cm³ and approximately 10^{18} W/cm³ and are larger than the power density threshold for material ablation. The electromagnetic energy absorbed by the material is allowed to complete the material ablation process, so that
20 substantially most of the deposited electromagnetic energy is removed from the target material with an ejected portion of the material, as discussed in detail above.

Such electromagnetic energy absorption is repeated, as desired so that ablation and energy removal occurs at a
25 pulse repetition rate greater than 0.1 pulses per second, such that substantially most of the cumulative residual thermal energy left in the material by a pulse train is removed by the cumulative ablation. Thus, ablation is performed at a pulse repetition rate less than
30 approximately 500,000 pulses per second until a sufficient depth of material has been removed with substantially no transfer of thermal or mechanical energy into the main material and substantially no collateral damage thereto.

The step of redistributing the beam preferably
35 comprises deflecting sequential portions of the beam and

002080" 6542960

The continuously emitting beam source preferably has an average power in the range of approximately 0.0001 Watt to approximately 500 KWatts. The output beam preferably has a diameter at the target material such that the target material experiences a power per unit area in the range of approximately 1 Watt per square centimeter to approximately 10^{14} Watts per square centimeter.

Each of the redistributed beams comprises a sequence
15 of electromagnetic pulses, each pulse preferably having a
pulse duration in the range of approximately 1 femtosecond
to approximately 10 milliseconds and has a pulse repetition
rate greater than approximately 0.1 pulses per second and
less than approximately 100,000 pulses per second.

The step of redistributing the beam preferably further comprises changing the beam wavelength via a device such as an optical parametric oscillator, and optical parametric amplifier, or a non-linear frequency converting crystal such as KTP or KDP. In this manner, the frequency of the beam is doubled, tripled, quadrupled, etc., as desired.

According to the preferred embodiment of the present invention, a device for high precision, highly controllable, variable rate, material removal by a 35 continuously emitting, continuous wave (CW) beam of

5

15

30

The first controller preferably comprises a switching device which deflects sequential portions of the beam and redirects them to separate locations such that the net effect at each location is that of a sequence of pulses of specific duration and specific pulse rate. In this manner, rather than illuminating the entire portion of material to be ablated, different portions thereof are illuminated sequentially, thereby enhancing the ability of the cooperating beams to remove heat therefrom.

The first controller preferably comprises a switching device such as a rapidly rotating mirror, a Kerr cell, a Pockels cell, and acousto-optic modulator, an electro-optic modulator, or other electro-optical, electrical, magnetic, or electromagnetic means for redirecting light.

These, as well as other advantages of the present invention will be more apparent from the following description and drawings. It is understood that changes in the specific structure shown and described may be made within the scope of the claims without departing from the spirit of the invention. The method for ablating a material with a directed energy pulse, such as that of a laser, includes directing a pulse of energy at the material so as to ablate a quantity of the material and so as to permanently modify a quantity of the material, the pulse being configured to increase a ratio of the quantity of the material which is ablated thereby with respect to a quantity of the material which is permanently modified thereby. Alternatively, a plurality of pulses of energy are directed at the material so as to ablate a quantity of the material and so as to permanently modify a quantity of the material, the pulses having a sufficient pulse rate as to increase a ratio of the quantity of the material which is ablated thereby with respect to the quantity of the material which is permanently modified thereby. Ablating the material with an energy pulse or with a plurality of

5 permanent modification of the material.

Brief Description of the Drawings

10 considered with respect to the following detailed
description, appended claims, and accompanying drawings,
wherein:

15 depth of material removal, also showing an exemplary
representation of the spatial distribution of the deposited
power density as a function of distance into the target
material;

20 dependent evolution of the plasma electrons density due to
multiphoton and collisional ionization in relation to the
incident pulse temporal profile;

25 transmitted pulse-shapes for a successively higher
intensity incident pulses, wherein increasingly higher
plasma's electron densities lead to increasingly larger
laser pulse reflection and truncation;

30 electron energy density as a function of depth, wherein
increasing the incident pulse intensity yields larger
energy densities, but not much thicker energy deposition
layer;

35 experimentally determined values of material removal rates

plotted as a function of pulse repetition rates, for 15 ns, 308 nm ultraviolet Excimer laser pulses for exemplary dentin tissue material at 0.5, 0.7, 1.0, and 5.0 J/cm² fluence levels;

5 Figure 5a, is a graphical representation of the evolution of the ablation front position and heat-diffusion front position as a function of time;

Figure 5b, is a graphical, log-log representation of the evolution of the ablation front position and heat-
10 diffusion front position as a function of time for water-like system being ablated at an assumed rate of 1 μm per pulse, by a system operating at 10, 100, 1000, and 10000 Hertz pulse repetition rates;

Figure 5c, is a graphical, log-log representation of
15 the cross-over depths and cross-over times, i.e., the depth and time at which the ablation front surpass the thermal diffusion front, as a function of the source pulse repetition rates;

Figure 5d, is a graphical representation of
20 experimentally determined values of residual pulse heat, plotted as a function of time, for 600 fs laser pulses operating at a 1000 Hertz repetition rate;

Figure 6a, is a graphical representation of
25 experimentally determined values of ablation thresholds plotted as a function of pulse duration for materials having different absorption characteristics, depicting the dependence of ablation threshold values on pulse duration for weakly absorbing material, and the lack of dependence of ablation threshold of pulse duration for material with
30 strong absorption characteristics;

Figure 6b, is a simplified block level schematic diagram of various selective, target-specific interaction configurations with two-dimensional surface and/or three-dimensional volume marked targeted material;

35 Figure 6c, is a simplified block level schematic

002080 06 FEB 95

diagram of an absorbing-agent dispenser apparatus suitable for depositing a highly absorbing substance at a pre-selected region of the material in order to induce selective, highly-accurate, beam-target interaction
5 suitable for practice of principles of the invention;

Figure 7, is a simplified block level schematic diagram of an exemplary apparatus for three-dimensional material modification suitable for practice of principles of the invention;

10 Figure 8a, is a simplified block level schematic diagram of exemplary pulse laser systems suitable for practice of principles of the invention;

Figure 8b, is a simplified block level schematic diagram of exemplary continuous wave and pulse laser system
15 with components for modifying output radiation frequency, phase, as well as temporal and spatial energy distribution;

Figure 8c, is a graphical representation of a system for modifying continuous wave source to yield multiple beams output characterized by variable pulse repetition
20 rate in accordance with the requirements of the present invention;

Figure 8d, is a graphical representation of a method for partitioning the output of a continuous wave source in order to generate a multiple beam output where each one of
25 the multiple beam output is characterized by a variable pulse repetition rate in accordance with the requirements of the present invention;

Figure 8e, is a graphical representation of a spinning mirror method for partitioning the output of a continuous
30 wave source in order to generate a multiple beam output deliverable to couplers arranged in a circular configuration, where each one of the multiple beam output is characterized by a variable pulse repetition rate in accordance with the requirements of the present invention;

35 Figure 8f, is a graphical representation of methods

002080" 65722960

for partitioning a multiple beam output so that the time delay and spatial displacement between individual spots further enhance ablative interaction and material modification and to further enhance removal of residual thermal energy;

Figure 8g, is a simplified block level schematic diagram of an exemplary material processing and modification apparatus, equipped with delivery, monitoring, feedback, and control devices, and incorporating a variable pulse repetition rate in accordance with the requirements of the present invention;

Figure 9, is a graphical representation of the zones of energy deposition within a target material generated through incident beam-target interaction;

Figure 10a is a graphical representation of the incident beam reflection and transmission as well as the partition of deposited energy within the three zones of interaction effects described in figure 9;

Figure 10b, is a graphical representation of experimentally determined values of ablation efficiency (the amount of material removed per unit of energy) plotted as a function of pulse fluence for four different laser systems;

Figure 11, is a graphical representation of a sequence of incident pulse train, the corresponding time-dependent heat diffusion, and the ablative removal of sections of the heated volume

Figure 12, is a graphical representation - for each one of the last 1,000 pulses - illustrating the spatial location of the thermal energy diffusion front and the ablation front at the moment the source beam is turned off. The illustrated positions of the two fronts are the distances from the location of each pulse deposition to the position of the fronts at source turn-off time;

Figure 13, is a graphical representation of the

calculated amount of left-over energy as a function of the source pulse repetition rate;

Figure 14, is a graphical representation illustrating the relationship between the thermal diffusion front position following a single pulse interaction and the progressing ablation front due to subsequent pulses when the interaction is characterized by a significant optical penetration depth; and

Figure 15, is a graphical representation of the position of the thermal diffusion front (relative to the position of the surface at the time of each pulse deposition) at the time of pulse train termination when the interaction is characterized by a significant optical penetration depth δ . The figure also illustrate the position of the ablation front (relative to the position of the surface at the time of each pulse deposition) at the time of pulse train termination.

Figure 16a, is a graphical representation of the spectrum or a luminescence emission collected from the ablation-induced plasma following interaction of a 15 ns Excimer laser with dentin;

Figure 16b, is a graphical representation of the spectrum or a luminescence emission collected from the ablation-induced plasma following interaction of a 15 ns Excimer laser with cornea target material;

Figure 17, is a block level schematic diagram illustrating a feedback system utilizing luminescence emission signal as indicator of ablative interaction; and

Figure 18, is a graphical representation of a sequence of ablative pulses emitted in accordance with the practice of the present invention, the corresponding luminescence emission signal intensity, the adjustment induced by a feedback system to the pulse repetition rate, and the restored luminescence emission signal intensity in response to operator's corrective action.

002030" 6672990

The principles of operation for an exemplary material removal and modification system are described in detail below. The system comprises a source of pulsed electromagnetic radiation and complying with the requirements of the invention. In the following discussion, a laser source is used as an exemplary pulsed source of electromagnetic radiation. However, those skilled in the art will recognize that the invention is not limited to laser sources and that other pulsed electromagnetic radiation sources may serve equally well in the practice of the present invention. The principles of operation of such an exemplary laser system will now be developed in connection with the mechanisms for material and tissue processing such as, for example, the machining of silicon surfaces or the treatment and removal of dental tissue and materials.

The description of the operation of this laser system with respect to applications of silicon machining or dental tissue processing is used for exemplary purposes only and is not intended to limit the applications of the present invention. As will be described in greater detail below, the apparatus and methods for this invention have application for a wide variety of material modification, removal and processing. Additionally, this laser system has exceptional utility for biomedical, surgical, machining, and micro-machining purposes.

The inventor has identified an electromagnetic source-material operational parameter regime which provides interaction characteristics that are superior to conventional machining, other laser systems, and established material processing or material modification systems, and which provides material removal rates that are superior to or on a par with mechanical tools technology. Advantageously, the aspect of the present invention

relating to the method's reliance on the system's ability to limit the amount of per-pulse-energy coupled to the material, and the system ability to remove most of the residual deposited energy generated by the interaction
5 itself, results in a very significant reduction in the level of collateral damage while allowing large volume removal at very rapid rates.

The aspect of the present invention relating to a method for rapid material removal capabilities is based on
10 the inventor's novel discovery regarding the relationship between material removal rates and characteristics of a single pulse - interaction with the target material. Specifically, the parameter regime of the present invention, ensures that most of the incoming
15 electromagnetic pulse energy that is absorbed by the material is subsequently removed with the ejected material. Within this parameter regime, variable pulse application rates - including very high pulse repetition rates (up to about five hundred kilohertz) and, correspondingly, very
20 high material volume removal rates - can be achieved.

The ability to vary operation rates continuously from the very high pulse repetition rates (on the order of a few hundred Kilohertz) to the very low (on the order of a single pulse every few seconds, or a fraction of a single
25 Hertz), combined with the relatively small amount of material removed by a single pulse, corresponds to an unusually high degree of control over the material or tissue removal and/or modification, from the extremely rapid to the very slow.

This capability of the invention corresponds to many
30 unusual and highly beneficial uses which include: high precision, superb accuracy, minimization and control of pain sensation (in biomedical applications of the invention), and very high degree of control over removal
35 rates.

002280 6672950

The shallow deposition, on the same order of magnitude of the depth of the depth of material removed by each pulse, in combination with sufficiently short pulse duration (to prevent significant thermal diffusion from the energy deposition zone prior to material removal), ensures that most of the deposited single-pulse energy in the material is removed with the ablation ejecta of that very same pulse.

As used herein "material properties" are defined as the material mechanical, thermal, optical and electromagnetic characteristics, for example, heat capacity, optical absorption, electrical conductivity etc.

25 "Beam characteristics" are defined as the beam spot size at the target location, the beam pulse energy, the beam pulse duration, the beam pulse repetition rate, pulse-to-pulse separation time, etc.

The second method for advantageously defining the
30 interaction parameters comprises utilizing material
properties and beam characteristics so that a plasma is
generated through either multiphoton ionization and/or
thermal ionization. Properties of such an interaction (as
discussed below) often ensure: a) shallow depth of energy
35 deposition (in accordance with the above), b) plasma

5 The shallow deposition, comparable in depth to the
depth of material removed by each pulse, in combination
with sufficiently short pulse duration (to prevent
significant thermal diffusion from the energy deposition
zone prior to material removal), ensures that most of the
10 deposited single-pulse energy in the material is removed
with the ablation ejecta of that very same pulse.

The third method for advantageously defining the interaction parameters comprises adding absorption centers, localized defects in the material, and/or highly absorbing or highly scattering components (collectively defined by the inventor as "doping agents") to the target material so that the electromagnetic radiation penetration depth is reduced and/or plasma is formed. Temporally and/or spatially marking the targeted material zone with a doping agent, prior to or during the incoming electromagnetic energy arrival time, in combination with sufficiently short pulse duration (to prevent significant thermal diffusion from the energy deposition zone prior to material removal) results in shallow incoming electromagnetic energy deposition depth.

35 The shallow deposition, comparable in depth to the

Employing a pulse repetition rate of sufficiently large value so that, if longer total treatment time is needed, it ensures that most of the residual cumulative pulse train energy left in the material is removed by cumulative ablative effect of the rapidly moving ablation front. Such a scheme has been identified by the inventor to ensure removal of most of the deposited energy and to allow a variable pulse repetition rate interaction which includes pulse repetition rates of up to about several thousands pulses per second.

Here, material modification pertains to altering the physical and/or chemical conditions of material without providing sufficient power densities to completely remove or ablate the targeted material. This condition for material modification will occur at power densities higher

Permanently Modified Volume

5
10
15
20
25
30

35

The practice of the present invention maximizes deposition of the incoming radiation within the area targeted for ablation or alteration, and minimizes

The above discussion can be summarized by defining (1) x_{irr} , the depth of the zone of irreversible damage, as the depth to which most of the pulse energy capable of irreversibly modifying the target material has been transferred (either by direct interaction with the electromagnetic pulse or through subsequent thermal diffusion, or both), prior to the ablative removal of the material. "Irreversibly modifying" the material is defined as ejecting, ablating, and/or otherwise irreversibly changing material characteristics. We also define X_{abl} as the depth of material ablatively removed through that very same incident pulse deposited energy. The condition for high ratio of ablation to permanently modified material depths amounts to requiring that the ratio (X_{ab}/X_{irr}) be as high as possible.

As the above ratio approaches one, this requirement can be expressed as $X_{irr} \sim X_{abl}$, which corresponds to near-parity between energy penetration depth and the depth of material ablated per pulse. The requirement that the ratio X_{ab}/X_{irr} is as long as possible is of paramount importance to the practice of at least one embodiment of the present invention.

Figure 1 illustrates the concept of parity between thermal penetration depth and the depth of material ablated per pulse. In Figure 1, electromagnetic energy 20 impinges on a slab of material 22 from the left. The curve 24 depicts the power density as a function of distance (or beam propagation depth) into the target material and corresponds to an exemplary energy deposition profile within the material. If the line 27 represents the threshold for material modification and the line 23 represents the threshold for material ablation, then the vertical line 26 represents a material removal depth which is insufficient to meet the criteria of high ratio of ablation to energy deposition depths. Since the area under the curve 24 is proportional to the total amount of incoming energy deposited in the material, removal of the layer to the left of 26 clearly represents only a small fraction of the deposited energy. On the other hand, if the lower line 25 represents the threshold for material ablation, then the vertical line 28, represents the depth of material removal which corresponds to an exemplary depth which adequately meets the requirement of the above criteria, since ablation to this depth clearly removes most of the deposited energy.

The requirement for a high ratio of ablation volume to permanently modified volume can be achieved in several ways. One avenue to satisfy this requirement is to employ wavelength which results in shallow electromagnetic energy deposition and high power density within this volume - a

power density which leads to near-parity of the ablated volume and the energy deposition volume. A second avenue is presented when plasma is generated in the course of the interaction between the pulsed energy and the targeted material. This avenue will now be described below.

Previously known and frequently used laser systems, characterized by the lack of a high ratio of ablation volume to permanently modified volume (usually operating in the low pulse intensity regime ranging up to 1,000 watts/cm² and often based on continuous emission sources), were demonstrated to be generally unable to remove substantial amounts of material without causing extensive collateral effect.

In conventional long pulse laser systems (for example, conventional low intensity Neodymium:YAG or a continuous wave CO₂ or dye laser systems) much of the optical energy delivered to a material target site does not go into disrupting the structural integrity of the target material, but is transferred into the surrounding tissue as thermal, acoustic, chemical or mechanical energy. This energy propagates through the surrounding tissue as both transient mechanical energy and heat energy. These, in turn, manifest themselves as undesirable cracks in the material, material charring, discoloration, surface melting, chemical alterations, and, in the case of living animals and human beings, in the sensation of pain.

Conventionally, for long pulses or continuous wave sources, large penetration depths or low power density interactions, bulk material removal involves the heating of conduction band electrons by an incident beam of photons and the transfer of this thermal energy to the bulk resulting in melting, boiling, and/or fracture of the material in the region in which removal is desired.

Because the controlling rate for material removal depends on thermal response of the material lattice and the

0022039" 66722950

lattice's thermodynamic properties (heat capacity, heat of vaporization, heat of fusion, and the like), the minimum amount of energy required to effect an observable change in the materials properties, (the threshold damage fluence defined as the incident beam energy per unit area) is approximately proportional to the square root of the pulse duration.

In such systems, the total amount of material removed is limited by the amount of material removed with each pulse, the number of pulses per second, and the total beam application time (i.e., the total time that the material is allowed to be exposed to the beam). Also, the amount of material removed by a single pulse, is proportional to the volume effected by the electromagnetic energy deposition, thermal energy penetration, diffusion, and mechanical energy propagation due to a single pulse deposition event. As a consequence, high pulse energy has, in the past, been considered necessary in order to obtain adequate material removal characteristics.

Unfortunately, high per-pulse energies which are often required by conventional longer pulse or continuous wave laser systems are often the source of many undesirable side effects such as, extensive melting and boiling beyond the intended target volume, explosive vaporization and tearing at the boundaries, as well as fracture of the material surface.

Much improved and unexpected results are obtained, however, when material removal is performed with sources yielding high values of X_{ab}/X_{irr} through, for example, plasma-mediated interactions. When laser systems are operated in a parametric regime where power densities are larger than plasma formation threshold, the physical mechanism of material removal radically changes as explained below.

Plasma is a highly ionized gas in which the number of

0022080" 5512590

5

10

25

35

5

10

25

30

The amount of residual heat left in the material depends on the laser-material coupling characteristics.

Thus, in the practice of the present invention, once the ablation characteristics for a given plasma electron density have been established, pulse duration can be adjusted so that additional source energy and plasma expansion will not result in excessive accumulation of residual heat and will not lead to rise in material temperature above a given limit (for example: the limit for material carbonization in biological tissue during surgery, or melting in silicon during a material processing procedure). Source maximum pulse repetition rates and maximum material removal rates can then be established based on these single pulse ablation characteristics and the single pulse minimal residual heat deposits.

The physical characteristics of an exemplary system for use in removing and modifying material, as illustrated by the present invention, will be best understood by

initially referring to both Figure 2 and Figure 3.

Figure 2a depicts the evolution of the plasma free electron density (which corresponds to the state of the plasma) as a function of time following the start of the interaction with the leading edge of the electromagnetic pulse. Production of free electrons by multiphoton ionization alone 32 (dotted curve) and by multiphoton and collisional ionization 34 (solid curve) is shown. For reference, the time-dependent intensity profile of the incident light pulse 30 (the "bell-shape" curve in the figure) is also shown.

As Figure 2a clearly indicates, the leading edge of the pulse rapidly yields high power densities in the outer layer which generates plasma whose electron density increases over the leading portion of the pulse and gradually reaches a maximum level during the second part of the pulse. Note that while the time and intensity scales are linear, the electron density scale is logarithmic and the figure 2a indicates a very rapid rise in electron density. It is the use of plasma's electron density properties that, according to one aspect of the present invention, allows some of the unique interaction characteristics of plasma-mediated interactions as contemplated by the present invention.

As the electron density increases, reflection and absorption in the plasma increases correspondingly. Consequently, a large portion of the trailing segment of the pulse does not reach the target material.

In Figure 2b the intensity profile of an exemplary, unperturbed, incident pulse 40 is shown as a dotted line. In the case of linear propagation through the material, the shape of the intensity profile is maintained. The only difference between a pulse transmitted through a vacuum and that transmitted linearly through matter is that in the linearly absorbed pulses the profile intensity is reduced

(or attenuated). The shape of the pulse, however, is maintained. In the example of Figure 2b, if a linear propagation through 1 mm of material results in 10% absorption, the beam intensity profile after propagating
5 through the material will be approximately 7.2 A.U. at -0.1 ps, down from the 8.0 A.U. shown for the incident beam at -0.1 ps. For the same conditions, the beam peak intensity at time 0 ps will be 18 A.U. as opposed to the 20 A.U. shown for the incident beam at 0 ps, and so on. Every
10 portion of the pulse intensity will be attenuated by the same proportion, i.e., 10 % for this example.

An entirely different situation is encountered when the pulse interacts with the material non-linearly and plasma is formed. Here, because more free electrons are
15 generated by higher intensities, the attenuation is proportional to the incident beam intensity and are not constant (for example 10% as in the example above) for every portion of the beam time profile, nor is the attenuation constant for different beams with different
20 intensity profiles.

As one can see from Figure 2b, an increase in the incident pulse intensity (which corresponds to an increase in the electron density generated within the plasma) results in a larger and more significant photon reflection
25 and absorption by the plasma. These effects truncate the pulse and prevent most of the latter portion of the pulse energy from reaching the target. An increase in the incident pulse intensity results in a correspondingly larger portion of the pulse being shielded by the plasma
30 and prevented from reaching the target. Thus, a higher intensity of the original beam results in a larger portion of the incident pulse being truncated.

If, for example, the incident beam intensity is progressively increased from intensity profile level I_1
35 (where the beam intensity is very weak and propagates

000000"000000

linearly) to an intensity level I_s , where the beam assumes its highest intensity, then a progressively larger portion of the incident beams will be eliminated through increased reflection and absorption by the plasma.

5 Figure 2b illustrates this plasma shielding by showing the effect of the plasma on removing progressively larger portion of energy from the incident pulse. Thus, the curve 40 corresponds to the unperturbed incident beam while curves 42, 44, 46, and 48 show the elimination of
10 progressively larger and larger portion of the original beam as the beam intensity is progressively increased from level I_1 to I_s . The portions to the right of each of the curves 42, 44, 46, and 48 represent the amount of energy absorbed or reflected by the plasma, while the portions to
15 the left of these curves, correspond to the fraction of the incoming beam that is able to arrive at the material. Note that until the point where the plasma's electron density is high enough to initiate truncation, the beam intensity profiles of curves 42, 44, 46, and 48 share the same
20 intensity profile of the original unperturbed beam (the left portion of each of these curves). It is only when plasma reflection and absorption are significant that the shielding effects truncate the beam into the shapes represented by the right-hand-side of each curve.

25 Figure 2b also shows that as the intensity of the incident pulse increases truncation begins earlier in time and a smaller fraction of the beam arrives at the target material before shielding takes effect.

30 Finally, Figure 2b also shows that when some critical level of electron density is reached, the fraction of the beam that can arrive at the target material before shielding takes effect becomes substantially constant. This is indicated by the fact that the shape of the intensity profiles remains essentially unchanged in
35 response to an increase in the incoming beam energy. Thus,

002080"69T2E369

the curve 46 and the curve 48 in figure 2b remains essentially constant. This means that the excess energy packed into the incoming beam I_5 has been reflected or absorbed by the plasma to leave the portions of the beam
5 allowed to penetrate into the target material - essentially unchanged.

Again, this saturation in the amount of energy that is able to arrive at the target material occurs because both I_4 and I_5 (the incoming beam profiles corresponding to the
10 curve 46 and curve 48) are intense enough so that the critical electron density is reached substantially simultaneously for curve 46, and curve 48, and the subsequent additional beam energy in the more intense pulse I_5 , either increases the free electrons kinetic energy
15 (i.e., increases the heating of the plasma) or is simply being reflected.

Figure 2c illustrates another useful feature of the plasma characteristics used in the present invention. As was indicated above, plasma shielding prevents excess
20 energy from reaching the target material. Thus, once a high electron density has been generated, an increase in the incident laser pulse intensity results in an increase in the amount of reflection and absorption by the plasma electrons and a corresponding increase in their average
25 energy. Thus, in Figure 2c, an increase in the incident pulse intensity from level 56 to level 50 results in a corresponding increase in deposited energy density but not in a corresponding increase in the deposition layer thickness. In fact, as Figure 2c clearly shows, the
30 thickness of energy deposition corresponding to the lowest energy beam 56 is not much deeper than the deposition layer thickness due to the highest energy beam 50.

Thus, as Figure 2c shows, the plasma shielding naturally ensures that the energy deposition layer does not
35 become correspondingly thicker but is, instead, maintained

002030" 65T2E960

at a relatively constant thickness. This relatively constant energy deposition depth was also which is relatively which is relatively insensitive to increase in incidence beam energy was also indicated by the ablation
5 depth data (see discussion below), which clearly shows that increase in incoming energy does not yield a significant increase in the amount of material removed.

The present invention makes use of the effects described above. Simply put, it is these plasma
10 characteristics which act as a natural limiting factor for the amount of light that can be directly coupled to the material, and it is the plasma properties that limit the thickness of the energy deposition layer and the depth of the material being affected. Thus, if the material and/or
15 beam characteristics allow plasma and free electron generation, the interaction characteristics become relatively uniform. As was shown above, regardless of other material or beam properties, (such as the exact details of the material thermal, optical, and mechanical properties,
20 or the beam wavelength characteristics), the interaction is dominated by the plasma electrons absorption and reflection exhibited in Figure 2a to Figure 2c. Therefore, as the inventor recognized, it is the plasma interaction properties that dominate the material removal and
25 modification process.

While light penetration of and absorption by the plasma also depends on the wavelength of the incident radiation, and to some extent on other material and beam characteristics, this dependence, especially when
30 multiphoton ionization is involved, is indirect and much weaker than in the linear case. Thus, plasma interactions with the incident light are more uniform and much less sensitive to beam and material parameters than in the case of linear interactions. As will be explained further, it
35 is this unifying characteristic of the plasma-mediated

interaction, that is used, according to one aspect of the present invention, to improve material processing procedures and make the present invention relatively insensitive to material properties and material type.

Thus, Figure 2a through Figure 2c illustrated the advantages of the aspect of the invention using plasma-mediated interaction: once plasma is formed, the interaction ceases to depend on the specifics of the targeted material and becomes much less sensitive to the beam parameters. This significant reduction in sensitivity to beam parameters also means that once plasma formation is accomplished, excess beam energy is accommodated by the electrons in the plasma. In this case, excess energy is mostly rejected instead of reaching the material directly and increasing the heating and mechanical coupling to the material.

The examples and illustrations presented below, now show how some of the parameters required for achieving high volumetric power density, onset of plasma, and critical electron density, (namely, pulse duration, beam spot size, scattering, absorption and wavelength), can be used in the practice of the present invention, to take advantage of the above outlined unique characteristics of plasma-mediated interaction.

Figures 3a through 3f represent an additional aspect of the operation as described by the present invention, and manifested through the dependence of the material removal rates, on the beam power density at the targeted volume of material. The figures illustrate the observed removal rates dependence on the beam's fluence (beam energy per unit area), wavelength, and pulse duration. Through these figures we can understand the invention principle of controlled interaction through control of the volumetric power density and the plasma interaction regime.

35 The Laser beam power density at the target area

5

10

20

35

the consequence This consequence may violate the inventor's requirement for approximate parity between deposition and ablation depth and may lead to larger residual energy left in the material and a more significant cumulative heating will follow. The possible development of some thermal and/or mechanical collateral damage is the ultimate outcome of the this class of interaction.

10 Class 3. Lower power density regime generated through either longer pulses (from about 100 nanosecond to about 10 ms) and/or lower pulse energy and/or larger energy deposition depths resulting in power densities at the targeted volume in the range of from about 10^7 w/cm³ to 10^{11} w/cm³. Here, the interaction is even more sensitive to material characteristics and to the beam wavelength. The ablation rates fluctuate widely from about a fraction of a micrometer to over about five micrometer per pulse. At the same time both collateral thermal and collateral mechanical damage fluctuate significantly in response to the conditions for volumetric energy densities deposition and to plasma initiation and to how close conditions are to satisfying the parity condition discussed above. The ultimate characteristics of this class of interaction is a relatively less predictable material removal and modification performance of systems operating within this interaction class.

30 These divisions are rather imprecise and are made only for the purpose of general classification of the categories of the interaction classes, all of which are used in the practice of the present invention. Some overlap and increased interaction complexity may obscure this simplified classification.

Figure 3a depicts the ablation rates (in micrometers of material removed by a single pulse) for both an exemplary enamel and an exemplary dentin material, ablated by 60 fs laser of 1.05 micrometer radiation wavelength. Note that the range of fluence used for the 60 fs pulses corresponds to power densities in the range of about $0.1 \cdot 10^{17}$ to $5 \cdot 10^{17} \text{W/cm}^2$. For purposes of identification, dentin is represented by 68 while enamel is represented by 65.

Figure 3a also illustrates an important characteristic of plasma-mediated interaction which was pointed out in the discussion above: both enamel and dentin exhibit a clear ablation rates saturation pattern as pulse energy is increased. From the ablation threshold at about 0.5 Joules per square centimeter, ablation rate increases rapidly to about $0.7 \mu\text{m/pulse}$ for enamel and $0.9 \mu\text{m/pulse}$ for dentin at a fluence level of about 1.7 Joules per square centimeter, where ablation for both tissue types stabilizes at about the same rate. Beyond this point, only a very small increase in ablation rate occurs with increases in fluence. Ablation rates of 1.5 microns per pulse are achieved for dentin material at 16 Joules per square centimeter. This represents only about a 50% increase in ablation rate for over an eight fold increase in fluence level, as compared to 1.7 Joules per square centimeter level.

The diminished return in ablation efficiency is a natural consequence of the plasma interaction characteristics. As the pulse energy is increased, a denser plasma is generated by the leading edge of the laser pulse. The denser plasma absorbs and reflects subsequent radiation, thus shielding the surface and preventing additional energy to be used for deposition.

For purposes of comparison, the ablation rates of dentin and enamel when processed with one nanosecond pulses at a fluence of 34 Joules per square centimeter (about 3

002030" 65122960

10¹⁰ W/cm²) were also studied. The nanosecond pulses were produced by the chipped pulse amplifier laser system that also produced ultra short pulses of 350 fs (i.e., the pulses were simply left uncompressed at their 1 nanosecond stretched value) and shared the same 1.05 μ m radiation wavelength. This wavelength is characterized by a relatively deep linear penetration, (on the order of a centimeter). Combined with the much longer pulse duration (a factor of approximately 3,000 longer than the 350 fs pulses) the power densities generated by the one nanosecond pulses much lower and are approximately on the order of 10¹⁰ W/cm³, or class 3 interaction.

Nanosecond pulses exhibit an ablation rate of about 4 microns per pulse for dentin, and about 1.4 microns per pulse for enamel, at the 34 Joules per square centimeter fluence level. The inventor has determined that a 3 Joule per square centimeter fluence was well below the ablation threshold of either dentin or enamel for nanosecond pulses, which threshold was determined by experimentation to be in the range of about 20 Joules per square centimeter.

The experiments with nanosecond pulses thus illustrate several important points about the principles of interaction: 1) if the intensity is too low no plasma is formed and no explosive interaction occurs; 2) above a certain threshold - probably around 20 J/cm² in the case of dentin, plasma is formed and interaction does takes place, and 3) that because light has had a chance to penetrate and heat directly a much deeper region of the target, significantly larger depth ablation is achieved. Since the initiation of plasma in this case is dependent on thermal ionization, much larger power densities are required and much larger quantities of heat must be generated throughout the larger deposition volume.

Also, absorption and scattering characteristics of the two types of materials play an important role in

determining the energy densities, thus as is clear from Figure 3a, the ablation rates for the same fluence level in the nanosecond regime are very different for dentin and enamel, with dentin ablation being almost a factor of four greater.

The role of linear absorption and scattering for pulse durations even longer than the exemplary one nanosecond discussed above, can be demonstrated by the results of Figure 3b.* Here, the pulse duration is approximately 15 ns and approximately 300,000 time longer than the 60 fs pulses of figure 3a. Significantly, however, the radiation wavelength here is in the far ultraviolet range at about 193 nm, where scattering and absorption are very large. Thus, in spite of the longer pulse duration (15 nanosecond as compared to the 1 nanosecond example presented above), large power density (10^{12} to 10^{13} W/cm³) are achieved due to the concentration of pulse power within a very shallow deposition depth (on the order of a micrometer) and many of the characteristics of the 60 fs class 1 interaction are observed here as well. Thus, similar to the 60 fs case, we observe the same ablation saturation effect (i.e., no significant increase in ablation depth with increasing pulse energy) due to rapid plasma formation at energies beyond 5 mJ per pulse (or fluence of 0.12 J/cm²). Also, note that similar to the case of the 360 fs, the interaction and removal rates are not very sensitive to water content (since both water-saturated, as well as dehydrated, samples strongly absorb and scatter this far ultraviolet wavelength, thus easily generating the high power densities necessary for plasma formation.

For the purpose of demonstrating the practice of the present invention, it is also useful to consider the rather long pulse regime of 1 μ s. In the exemplary system of Figure 3c mid-infrared laser system of Erbium:YAG emitting light in the normal mode of oscillation with macro pulse

duration of 250 μs and wavelength of 2.9 μm . The power densities corresponding to the approximately 1 μm absorption depth of this 2.9 μm wavelength and 250 μs macro pulse are on the order of 10^8 W/cm^3 , and can belong to the lower portion of class 3. This macro pulse of this system, however, consists of a train of about 20 micro pulses each of 1 μs pulse duration. The power density for these shorter micro pulses is thus on the order of 10^{10} W/cm^3 , almost in the range of interaction class 2. Thus, as can be seen from the Figure, the ablation rate per micro pulse is on the order of 1 to 2 μm , and there is little sensitivity to water content. This illustrates the point that if the interaction is carried out under strongly absorbing conditions (as in the case of the Er:YAG laser radiation) which yield high volumetric power density, the result is ablation behavior similar to the nanosecond and even sub-picosecond systems.

An example of deeper penetrating wavelength is provided by Figure 3d and Figure 3e. Here, two plasma regimes are considered. Figure 3d shows the XeCl system at 308 nm and 15 ns pulse duration. The beam intensity at the target is approximately 10^9 W/cm^2 because of the relatively short pulse duration. The relatively deep penetration, on the order of 100 μm reduces the volumetric power density to about 10^7 W/cm^3 . On the other hand, the effect of relatively strong scattering in this ultraviolet range reduces the effective deposition depth and help raise the power density value back to 10^8 W/cm^3 to 10^9 W/cm^3 . The results are similar to all those obtained with power densities of class 3 and remarkably similar to those shown in Figure 3a for the one nanosecond Nd:YAG system (where power densities were on the order of 10^{10} W/cm^3 as well). As Figure 3d and other data collected by the inventor show, there is a strong sensitivity to tissue type (e.g., 4 $\mu\text{m/pulse}$ for dentin, and 1 $\mu\text{m/pulse}$ for enamel at these 10^{10}

W/cm³ power density levels.) As Figure 2e also shows, a distinctive ablation rate difference exists between high water content exemplary dentin material and between a dehydrated samples. Since in the case of hydrated dentin absorption is increased, volumetric power densities created at the surface also increase and plasma generation is enhanced and ablation rates are increased. As in the Nd:YAG ablation case, the scanning electron micrographs show a similar surface pattern which includes partial melting and surface cracks.

As a final example we consider yet another system of the relatively long pulse regime of 1 μ s. In the exemplary system of Figure 3e, a mid-infrared laser system of Ho:YAG emitting light in the normal mode of oscillation with macro pulse duration of 250 μ s and wavelength of 2.1 μ m. The power densities corresponding to the approximately 300 μ m intermediate absorption depth of this 2.1 μ m wavelength and 250 μ s macro pulse are on the order of just below 10^7 W/cm³, and can be classified with the lower portion of class 3. This system macro pulse, however, consists of a train of about 20 micro pulses each of 1 μ s pulse duration. The power density for these shorter micro pulses is thus on the order of almost 10^8 W/cm³, within the range of interaction class 3. The observed interaction are indeed inconsistent and strongly change as power densities are increased from below class 3 where little ablation and mostly heating, charring and cracking occur to above the class 3 threshold for plasma generation. When plasma is formed, ablation rate improves and increases linearly up to over 3 μ m per pulse for the highest power densities tested for the water-contained samples. The inventor also noted the strong dependence on tissue type and water content (exemplary fresh dentin was ablated at rates 3 to 4 times higher than the exemplary dehydrated dentin, see Figure 3e). Also noted was the typical class 3 ablated surface features

which included some cracking melting and thermal loading.

Principles of Operation: Thermal Effects

Further advantages of the present invention are the control and influence that the operator is able to exert over thermal energy deposition in the tissue by the manipulation of plasma parameters. As was demonstrated above, the presence of plasma completely changes energy transmission and deposition in the material. As a consequence, the characteristic initial linear energy deposition and the subsequent reflection and dispersion of the incoming energy by the expanding plasma plume will ultimately be the most significant factors determining the amount of residual thermal energy left in the target material.

Figure 4a and Figure 4b illustrate the effect of the interplay between linear absorption and the onset of plasma on the residual temperature measured in exemplary dentin and rabbit cornea, respectively. Figure 4a shows infrared camera temperature measurements corresponding to the ablation events depicted by Figure 4a. Thus, figure 4a shows a graphical representation of thermographic measurements of the residual temperature increase, as a function of time, in exemplary dentin material processed with a laser having 1 nanosecond pulse duration and a fluence of 32 Joules/cm² 168, compared to an ultrashort pulse laser having pulse duration of about 350 femtoseconds at a fluence of 3 Joules/cm². Both pulse durations were delivered at 10 Hertz pulse repetition rates. As can be seen from Figure 4a, the nanosecond laser system exhibits an 8°C temperature differential over the femtosecond laser after only about 5 seconds operation. The residual temperature of the nanosecond laser continues to increase at a rate of about 1 degree per second. In contrast, the residual temperature of the femtosecond laser remains substantially within 2 to 3 °C of room temperature after

For the 1 ns pulses, an increase in pulse fluence to 32 J/cm² yielded plasma and ablation. However, since a larger amount of volumetric power density was required to initiate the plasma, larger amount of energy is also left as residual energy in the 32 J/cm² pulse interaction with an exemplary dentin material. As a consequence, cumulative heat which is manifested in the surface temperature, is considerably larger. The case of the 1 nanosecond pulses at the relatively low absorption regime of the 1.05 μm light corresponds to class 2 in the classification described above.

A dramatically different situation is exhibited for the much shorter pulse of 350 femtosecond (also shown in Figure 4a) Here, the class 1 interaction with high very high intensities of 10^{13} w/cm² lead to multiphoton ionization which was immediately followed by plasma generation. In the 350 fs case, ablation threshold for dentin is on the order of 0.5 J/cm² and at the 3 J/cm² interaction demonstrated by Figure 4a, only 2 to 3°C temperature increases are recorded even 60 to 80 seconds

after the initiation of the interaction.

When the fluence of the 350 fs pulses is increased to 16 j/cm² the corresponding target temperature does increase to a 40 °C level as shown in curve 166 of Figure 4a. This increase actually takes place due to plasma shielding where absorption of the excess pulse energy by the plasma raises its temperature and heats up the target material as well. On the other hand, lowering the 1 ns pulse energy by a half (to about, 16 mJ) bring the fluence level to below threshold. Ablation ceases and, the material temperature (curve 164 in Figure 4a) corresponds to linear absorption of the pulse energy by the very large penetration depth and volume. Consequently, the material temperature is significantly lower (about 30 °C).

The importance of the two mechanisms discussed above, namely, the role of the parity principle in ensuring removal of much of the deposited heat, along with the role played by the plasma as a means of controlling residual excess temperature, are further illustrated by Figure 4b. Here the relatively low pulse fluence (0.25 J/cm²) and pulse duration (15 ns) put the beam intensity at 10⁷ W/cm² or in class 2, as in the 1 ns interaction of Figure 4a. However, since the beam wavelength in this case (193nm) is highly absorbed in scattered by the exemplary rabbit cornea material, volumetric power density levels are significantly increased and result in rapid generation of seed electrons and plasma. As a consequence, the interaction is plasma mediated and shows the same interaction characteristics of the 350 fs pulses rather than the 1 nanosecond regime to which the pulse belongs. Similarly the temperature increase is only a few degrees and the heating shows the same temperature saturation behavior (or steady state behavior) for a time scale greater than about 10 seconds.

The effect of plasma shielding is further illustrated by Figure 4c for an exemplary 120 ns XeCl with 308nm

002020 002020 002020

radiation. Here, as is clearly evident by the Figure, an increase in fluence level by 1,000% (from 0.5 J/cm² to 5.0 J/cm²) results in a temperature increase of less than 70%. Such rejection of excess energy allows an increase of repetition rate by a factor of 7 with a temperature increase by less than a factor of 2. This aspect of the invention allows for the principle of high repetition rate operation which will be discussed below.

In conclusion, we see that the principle requiring high ablation depth to deposition depth ratio and the role of the physical ejection of much of the material with significantly elevated energy densities, are of critical importance to the practice of the present invention. Similarly, by reflecting and dispersing excess energy, plasma shielding too serve in all classes of power densities to minimize the amount of residual single pulse energy available for heating the material. Expressions of plasma effects and physical heat removal through ablation of much of the deposited energy are indeed seen in all three classes of source power density.

As the preceding discussion showed, the ablation rates of all three plasma classes are only on the order of a single micrometer per pulse. Thus, the application rate of about 1 to 10 pulses per second, found in most conventional and commercial lasers, is quite inadequate, because many material removal procedures require the removal of a large volumes of material in a relatively short period of time. As a result, despite the many advantages of the plasma-mediated interactions, the practical application of lasers within these three classes would normally remain unfeasible. In addition the inventor has realized that the application of high pulse repetition rates also serves to remove cumulative residual heat.

In accordance with practice of principles of the invention, these disadvantages are mitigated by the use of rapidly pulsed laser systems which can generate pulse repetition rates in the range of up to 100,000 pulses per second (100 kilohertz). Such high repetition rates are made practical because of two important factors: 1) the low residual thermal energy and low residual mechanical energy depositions which characterize the single pulse interaction in the practice of the present invention (this low residual energy deposition is a consequence of the inventor's principle of parity between single pulse energy deposition depth and depth of a single pulse ablation as discussed above); and 2) an intrinsic characteristic of sufficiently high pulse repetition rate which significantly help minimize cumulative heat deposition. The latter property will now be discussed below. With such high repetition rate systems, high material removal rates (up to several centimeter per second) can be achieved through the practice of the present invention, while maintaining the minimal collateral damage characteristics of a the single pulse interaction.

As explained above, high pulse repetition rate plays a crucial role in allowing the material processing method and apparatus as contemplated by the present invention to meet and even exceed material removal rate of conventional systems including mechanical instrument, chemical devices or conventional laser system. In addition, as was also pointed above, combined with low per-pulse material removal rates (for example, ablation depth on the order of a single micrometer pulse were discussed in the exemplary ablation of very short pulse lasers), very high precision can be achieved. This accuracy and precision in can be combined with the high removal rate only because the laser system

can be electronically controlled by a feedback device which can stop an exemplary 1 KHz operation within a single pulse (i.e., within the 1 ms pulse-to-pulse separation). Such an exemplary system, thus, can remove 1 mm of material in one
5 second to a tolerance on the order of 1 μ m, an unprecedented combination of precision and speed.

However, as the inventor recognized that high pulse repetition operation plays another unique and very important role in achieving a successful practice of the
10 invention. Namely, high pulse repetition rates serve as an additional and very critical mechanism in removing residual heat accumulate by the total operation and application time ("ON" time) of the an ablation procedure. To understand this concept, which the inventor terms "self removal of
15 cumulative heat by high pulse repetition rate operation", consider Figure 5a. Figure 5a the horizontal axis corresponds to the time axis, and the vertical axis correspond to distance. Curve 1 shows the location (depth) of the heat diffusion front as a function of time for an
20 exemplary isotropic heat conduction parameters. Exact depth the heat has diffused to from the region of deposition is proportional to the square root of a proportionality parameter K and the time, t,

$$X_{diff} = (K^{1/2} t^{1/2}) \quad (1)$$

25 The parameter K is proportional to the material thermal conductivity and is known as the "thermal diffusivity". It is equal to the "thermal conductivity" divided by the product of the material density and heat capacity. For an exemplary water or soft tissue can be
30 roughly approximated as 10^{-6} m²/s. As can be seen from the figure 5a, the diffusion front 220, is a parabola curved about the time axis. It indicate very rapid initial heat diffusion which slows down very significantly as time

progress.

The linear curves 222, 224, 226, and 228, in figure 5a, represent the position (or depth) of the ablation front below the initial surface. As can be seen from Figure 5a, the ablation depth is directly proportional to time and can be described by the linear equation

$$X_{abl} = (a_r v) t \quad (2)$$

Where the slope of the line, 5, is equal to $(a_r v)$, the product of a_r , the ablation rate per pulse and $(nu) v$, the pulse repetition rate. If, as we discussed above, a constant ablation rate per pulse of $1 \mu\text{m}/\text{pulse}$ is assumed, then the slope of the curves representing the ablation front of various pulse repetition rates are proportional to the pulse repetition rate. Thus, as can be seen from figure 5a, high pulse repetition rate will yield a steep slope and a low pulse repetition rate will yield a shallow line.

Significantly, Figure 5a reveals a very important feature of the present invention. If the material processing system is allowed to operate long enough, the depth of the ablation front (or material removal) will ultimately surpass the depth of heat diffusion from the original pulses and the ablation itself will completely remove any residual heat that was deposited in the material by earlier pulse.

Since heat initially diffuses relatively rapidly, the heat from the most recent pulses will move faster than the ablation front and part of it will not be removed by later pulses if the system is stopped at some finite time. However, heat from earlier pulses will not diffuse as fast and will eventually be contained within a volume that will ultimately be completely removed by the system.

The point can be made clearer by considering an

exemplary system operating at 1000 pulses per second for 3 second and to, for example curve 224 in figure 5a. In water from the first pulse to interact with the sample will diffuse a distance of 1 mm into the material at about 1 second. The ablation front, assuming ablation rates of 1 μm per pulse and 1000 pulses per second will cut 1 mm of material in 1 second as well. Thus, the point at which the ablation front overtakes the first-pulse heat diffusion front, designated in figure 5a as X_{xo} , and named by the inventor the "cross-over" depth", is approximately at 1 mm depth. The cross-over occurs approximately 1 second after the start of the interaction for an exemplary high water content tissue or material. This point in time is, consequently, named the "cross-over time" and is labeled t_{xc} .

If the exemplary system above is operated for 3 second, the heat from the first few pulses after 3 seconds of operation would be at some point X_{diff} (3 seconds) along curve 220, but since 3 seconds is longer time than the 1 second t_{xo} , X_{diff} (3 seconds) will be a shorter distance that the ablation front at $t = 3$ sec as indicated by the fact that the position of curve 224 is higher than that of curve 226 for $t > t_{xo}$. Those skilled in the art will readily recognize that the heat diffusion front due to heat deposited by ALL pulses originated within the first 2 seconds of the procedure will be at some location X_{diff} on curve 220 beyond t_{xo} , which will also be below the ablation front depth. This condition, thus indicate that all the volume of the material heated by pulses pulse number 1 to pulse number 2000 was removed by the ablation.

The situation is different for the last 1000 pulses in our exemplary 1000 Hz system. These pulses are characterized by the fact that the time remaining in the interaction is shorter than the time necessary for the ablation front to overtake their thermal diffusion

position, T_{x_0} . An exemplary pulse interacting with the material a time t_{1p} before the source ceases operation, will have its heat diffuse to a position X_{1p} , which is deeper than depth of material removed by the $(n t_{1p})$ pulses left within the time interval t_{1p} before the source ceases operation.

As Figure 5a shows, however, even these last few pulses have some of their residual heat removed by the subsequent pulses. Clearly, pulses just behind pulse 2000 will have most of their heat removed by the subsequent, nearly 1000 pulses, while pulse number 3000 and the last few pulses in the sequence - will have none or very little of their residual heat removed by subsequent pulses. Interestingly, the inventor also recognized that the fraction of the deposited heat left by each one of the last 1000 pulses in the exemplary 1000 Hz system, is proportional to the ratio of the distance between the depth of thermal diffusion and the position of the ablation front (i.e., the distance between position X_{diff} , given by curve 220 minus the position X_{ab} given by curve 224, $(X_{diff} - X_{ab})$), and the total diffusion depth, X_{diff} . Furthermore, the total amount of cumulative heat not ablated by the exemplary laser system is proportional to the area bounded between curve 220 and curve 224 to the left of t_{x_0} .

Figure 5a also shows that if for high pulse repetition a steeper slope will mean that t_{x_0} occurs earlier and smaller proportion (in comparison with the total amount of cumulative heat deposited in the material will be left. For very slow ablation rate, on the other hand, t_{x_0} may not occur in practical times (for example $t < 5$ second, a reasonable upper limit time scale for an exemplary dental application system), and only small portion of the deposited heat will be removed by the ablation front as indicated by curve 228 in figure 5a. This situation invariably occurs with the low pulse repetition rate of conventional surgical

and material processing system. The ability to operate in the high pulse repetition regime and to ablatively remove much of the residual heat thus represent a major advantage of the present invention over conventional tools and conventional laser system. The ability to remove most of the heat was also confirmed by Scanning electron micrographs studies and by infrared Thermographic measurement of ablation temperatures as discussed below.

Figure 5b reproduces the concept of Figure 5a for actual computed values off our exemplary systems operating at 4 different repetition rates ablating and depositing heat in a water-like system. In order to illustrate the effects described above over a large range of time logarithmic scales were used and, consequently, the diffusion curve 220 appear linear and the ablation front appear curved. Non the lest the cross over point can be clearly identified. Figure 5b clearly shows that the ablation front position of pulse repetition rate of 100 Hz does not reach the diffusion front position until 100 second after start of interaction. The 100 second time scale is clearly too long and not a practical time scale for almost all operations or procedures. Yet in terms of operating pulse repetition rate regimes of conventional laser sources, 100 Hz is usually considered very high and outside of safe operating regime due to considerable thermal loading and risk of collateral damage.

To take advantage of the total cumulative heat removal of high repetition rate system one would want to operate at pulse repetition rates that bring one quickly to the regime beyond the Cross over operating point. The Cross-over times and depths can be given in terms of the thermal diffusivity and the ablation rate and expressed as a function of the source pulse repetition rates n . Figure 5c shows the calculated values for both the cross-over time and cross-over distance as a function of source pulse

002030" 55722960

repetition rate (or frequency of pulses in Hz). The values were calculated, again, assuming a water-like media and an assumed ablation rate of about $1 \mu\text{m}$ per pulse. In order to fit in one figure the behavior for a wide range of pulse repetition rates (ranging from 0.1 Hz to one megahertz) the graphs were plotted on a logarithmic-logarithmic scale. The x-axis corresponds to pulse repetition rates (or source frequency, in Hertz), and the y-axis is shared by the cross over depths (in meters) and the cross-over times (in seconds). Both share the same numerical values and range from 10^{-6} to 10^6 . The cross over times, t_{xo} , are given as a function of source frequency (or pulse repetition rates) and can be found on the linear curve 260, while the cross-over depths, X_{xo} , for a given pulse repetition rate can be found on the linear curve 262. Practical procedure length of time require that a procedure will be limited to less than about ten second of a continuous application duration. This upper limit for procedure time which is indicated by the line 264.

The ten second maximum application time implies that material removal procedures (for the exemplary system considered) carried out at pulse repetition rates lower than about 300 Hz will never cross-over and the ablation front will never surpass the initial diffusion front. The approximate limit at 300 Hz that corresponds to the ten second procedure limit is indicated by the vertical line 266.

The 300 Hz pulse repetition rate bench-mark is important in cases where large volume removal is intended. As Figure 5c shows, this pulse repetition rate implies a cross-over depth of about 3 mm. For any volume with depth larger than 3 mm, several exposures will have to be applied, no complete heat removal of earlier pulse train is possible, and larger fraction of the incident energy will remain as residual heat. As a consequence, larger amount

of heat will remain in the target material with the possibility of generating collateral damage. However, if only small volume are targeted for removal (i.e., small in the sense that the desired removal depth is shorter than the cross-over depth), then lower frequency are acceptable since the total number of interacting pulses is, (by the definition of the procedure's goal), limited.

The duration of plasma plume (on the order of a few microsecond) and the need to avoid pulse-to-pulse plasma shielding, among other things, thus dictate an operating pulse repetition rate regime between about 300 Hz and about 100,000 Hz. This range of pulse repetition rates is indicated on Figure 5c by the thick dotted line 268.

The range of about 300 Hz to about 100,000 Hz of pulse repetition rates defines the practical pulse repetition rates that should be applied for large volume removal large in the sense that the desired removal depth is larger than the cross-over depth. For this range the cross-over times range 270, is from about ten seconds to about 100 μ s, respectively. The cross over depths curve 262 defines the range of cross-over depths corresponding to this pulse repetition rate range. The cross-over depths range 272, stretches from approximately 3 mm at 300 Hz to about 10 μ m at 100,000 Hz.

Finally, in considering the practical application of the present invention, one must recognize that while high pulse repetition rates ablate much of the cumulative heat left in the tissue, very high repetition rate also translate to very rapid material removal rates. Application of 100 KHz system for one second will result in 10 cm of material being removed. To avoid removal rates that are exceedingly fast, exposure time would have to be limited (by adjusting a controller) to, for example 100 μ s or 5 ms intervals so that removal will be automatically stop at 50 to 500 μ m and allow the operator to reapply the

procedure to achieve incrementally larger volume removal.

Figure 5d shows an experimental confirmation of the minimal temperature increases produced by an exemplary 1000 Hz system. The figure depicts a graphical representation of residual temperature as a function of time of a laser operating in accordance with the invention at a repetition rate of 1000 Hertz. The pulse duration is 60 femtoseconds at a fluence of about 2.0 Joules/cm² was used. As can be seen from Figure 5d, the residual temperature increases only slowly to about five degrees 8 °C over room ambient after 20 seconds application time and to a maximum increase of 14 °C after 90 seconds of application time.

A general estimate of the relative amount of heat removed due to the principle of operation concerning high pulse repetition rate ablation of earlier pulses residual heat, can be obtained from the following example. If the exemplary 1000 Hz, system considered above, operated with an exemplary 3 mJ/pulse to remove approximately 1 μ m of material with each pulse, is used to drill through 5 mm of an exemplary dentin material, we need only consider the heat due to pulses applied t_{x_0} seconds before the end of operation (see figure 5a). t_{x_0} is approximately 1 second for the exemplary system considered, and at 1000 Hz, only the last 1000 pulses need be considered. The total amount of energy emerging from the exemplary 1000 pulses of the system considering is thus 3 Joules. A reasonable percentage of this incident energy that is eventually coupled to the material as residual heat can be estimated at 10 percent. Thus the total amount of energy couple after the drilling of 5 mm exemplary dentin is approximately 300 mJ. As was discussed above in connection to figure 5a, even out of this remaining 300 mJ energy only a fraction, proportional to the ratio between the area bounded between curve 1 and curve 3 to the left of t_{x_0} and the total area under curve 1 and to the left of t_{x_0} , is left

000000" 66T.E.960

actually left in the tissue. From Figure 5a this ration can be roughly estimated at about one third. Thus, only about 100 mJ of energy will remain as a residual heat in the exemplary dentin. This compared to (3 mJ/pulse) *
5 (5000 pulses) = 15 Joules actually applied by the beam for the actual drilling of the entire 5 mm depth.

For comparison with an exemplary conventional laser system, a 2.94 μm wavelength, Er:YAG operating at an exemplary 10 Hz with, 100 mJ per pulse incident energy,
10 packed into 250 μs long pulse each capable of removing 30 μm with each pulse. To drill through 5000 mm of dentin, approximately 170 pulses are needed which, at 100 mJ/p correspond to 17 Joule of total energy. Again, using the same 10 percent coupling ratio, an estimated 1.7 Joule is
15 estimated to remain in the tissue as residual heat energy. This amount of energy is 17 times as large as that of the exemplary 1000 Hz system. Indeed, infrared thermography and scanning electron micrographs clearly shows charring, cracking and carbonization for ablation of dentin with an
20 exemplary 10 Hz Er:YAG system.

Thus since the total amount of energy applied to the material by this entire exemplary procedure (15 Joules by the exemplary 1000Hz system and 17 Joules by the Er:YAG system) and since the residual thermal energy left in the
25 tissue was assumed to be the same in both system (10 percent of incident), is almost the same, the example above serve to dramatically illustrate that it is the high repetition rate system ability to ablate and remove its own heat, that make an important contribution in limiting the
30 amount of cumulative residual energy and limiting collateral damage due to that residual thermal energy. Again, these conclusions are confirmed by infrared temperature measurements of figure 5d above compared to the several hundreds of degrees Celsius measured for 9 Hz
35 ablation of an exemplary Er:YAG system, and by surface

002080" 66T2E950

examination of the ablated crater (including scanning electron micrographs) clearly showing damage free surfaces for the 1000 Hz system in contrast to the tremendous charring, burning and cracking of the Er:YAG system.

5 For even higher pulse repetition rates, for example 10 KHz t_{xo} is about 0.01 second so that only approximately the last 100 pulses need be considered. At the maximum pulse repetition rate contemplated by the invention, . namely 100 KHz, t_{xo} is about 10^{-4} seconds and thus only approximately
10 the last ten pulses effect tissue cumulative heat.

The discussion above considered water-like material with K approximated at about 10^{-6} m²/s. However, in the practice of the invention in material processing, however, a large number of exemplary target materials may be
15 contemplated. In general these may be divided into two broad categories of dielectric and conductors. The thermal conductivity of dielectric is similar to that of water. Conductors thermal conductivity can be higher by about three orders of magnitudes. If, for example, an exemplary
20 aluminum is considered, the time required by the thermal diffusion front to reach a depth of 1 mm may be estimated at about 0.001 second. In such instances, even if the ablation rate per pulse is maintained at about 1 μ m/pulse (and in general the ablation rate in metals are often 2-3
25 time lower than dielectric, i.e., 0.3 - 0.5 μ m/ pulse) and even at the highest pulse repetition rates if 100KHz, the ablation front will be able to catch up with the thermal diffusion front only at about X_{co} of 10 mm and t_{xo} of about 10 seconds. With 10 seconds of 100,000 Hz, one million
30 pulses will constitute the residual cumulative heat pool (before ablation begins to remove additional pulses energy. (e.g., pulses 1000,001 to 1,500,000). Thus too much heat will be deposited in the material to make the high repetition rate removal of heat, impractical.. A better
35 approach for the conductor case would be to take advantage

002080" 66T2E960

of it's fast thermal diffusion which allow the ablated material to quickly rid itself of the excess heat, by either using a target consisting of a large thermal mass to dissipate the heat, or having the a heat sink in thermal
5 contact with the target conductor material.

As the discussion above showed, for dielectric, the ablation processes as contemplated by the practice of the present invention, result in highly localize, self - terminating, shallow energy depositions. The inventor has
10 determined that by manipulating absorption and scattering characteristics, the pulse electromagnetic energy source can used in the practice of the present invention, will allow per-pulse removal of only a thin layer of material "Thin" is measured in comparison to the total depth of
15 desired material removal, which, for a typical removal depths required for an exemplary hard dental tissue procedure will be typically on the order of 1 micrometer, and for a typical depths required for microchip processing may be one tens of that (or about 100 nanometer). Thus,
20 varying the number of pulses provides a means of controlling the volume of material removed to within a single pulse precision.

For example, if the laser systems were contemplated as substituting for a paradigm mechanical dental drill, the
25 system would be required to drill dental tissue at a rate approximating the 300 micron per second removal rate of the mechanical drill. From the discussion of plasma-mediated pulse ablation rates, in connection with Figure 3a through 3e, above, it is clear that a 300 micron per second removal
30 rate can be easily achieved by operating the laser system of the invention at a repetition rate of between about 100 to 300 pulses per second (100-300 Hertz). In fact, in view of the discussion above it is clear that with the capabilities of the a laser system contemplated by the
35 invention, much larger removal rates are possible and,

002080" 6672960

indeed, may be advisable.

Characteristically, conventional and prior art laser systems are unable to operate at such high repetition rates because of the high degree of residual heat and significant thermal loading in the ablation area. In these systems, as was discussed above, linear optical propagation allows deep penetration into the target material and significant heat remains in deeper layer of target and is not removed by the ablation event. Rapid operation of these systems results in very significant accumulation of heat.

Lasers conventionally used for the removal of hard and/or soft tissue operate in the infrared region of the electromagnetic spectrum, have pulse duration in the range of about 10 nanoseconds to in excess of 350 microseconds, and exhibit characteristic removal rates of exemplary dentin-type material of about 20 to 50 microns per pulse. IR lasers are additionally known to cause objectionable charring of target material, such as exemplary dentin, when operated at pulse repetition rates as low as 2 to 3 Hertz. Thus, it will be apparent that conventional pulsed IR systems are only capable of effecting material removal at a maximum rate of about 150 microns per second. The addition of air and/or water cooling mitigates the excessive heat problem but complicates the system operation. Even with cooling removal rates, such systems are limited to about 300- 400 μm per second, well below the 700 μm per second observed with the mechanical drill.

Thus, it will be apparent that a laser operating in accordance with practice of the invention is able to comprise a material removal system that results in minimal thermal loading in the ablation target area and thus can tolerate pulse repetition rates as high as 100,000 Hertz, without the need for any type of additional target cooling mechanism, for periods of time substantial enough to effect volume material removal. It is also apparent that such a

002080" 66T2E960

system cannot be realized by conventional laser systems operating with low ablation-to-deposition depths ratio, and/or low pulse repetition rates, if any significant volume removal or modification is required.

5 In summary, high ablation-to-deposition depth ratio, operating at high repetition rates have several advantages over conventional systems. As energy coupled to the material decreases and is confined to shallow deposition zone, the material removal system of the invention becomes
10 more efficient. Minimal collateral damage occurs because of the high ablation-to-energy deposition ratio ensures residual energy removal instead of residual energy build up which leads to collateral damage. The ablated material carries away a large fraction of the energy deposited by
15 the laser. Indeed, the minimal collateral damage and low residual thermal energy left in the material due to the single pulse interaction, combined with the inherent additional energy removal associated with the high pulse repetition rate of the laser systems in accordance with the
20 invention, allow pulse repetition rates far in excess of those achievable with conventional systems, thereby allowing substantially greater bulk material removal rates.

Finally, since the invention relies on high pulse repetition rate for large volume material removal, the mass
25 of the material removed by a single pulse as practiced by the present invention is very small, (in many cases which can be conceived in practice "very small" means on the order of single micron), very little recoil momentum or mechanical transients will be generated by each single
30 pulse. Since mechanical transients travel at the speed of sound (or faster, in the case of shock waves) pulse-to-pulse accumulation at the repetition rates considered by the invention will not be significant and will not effect the remaining material adversely.

Principles of Operation: Use of Doping Agents and Selective Marking of Targeted Regions Within The Material

As was discussed above, a key to the practice of the present invention is meeting the parity requirement for equivalence between the depths of deposition and ablation. As was also shown above, one class of interactions that fulfill this requirement include the ablation processes which follow the generation of plasma, because plasma characteristics ensure generation of high power densities within very shallow deposition depths.

In many instances the material/beam parameters are such that this requirement is fulfilled naturally. However, As part of the contemplation of the present invention, it is possible to convert systems with relatively deep optical penetration and/or lower power pulse energy, and/or longer pulse duration into efficient plasma-mediated material processor. The principle of operation for converting a linearly absorption system into a system that meet the criteria for the principle of parity can be understood with the aid of Figure 6a.

Figure 6a illustrates the role that a doping agent with high absorption coefficient can play in allowing plasma generation at lower power densities. The figure shows the ablation threshold fluence (in J per cm²) for three types of absorbers. The upper line 284, corresponds to weak absorber with characteristics absorption coefficient of 0.01/cm. The intermediate line 282 correspond to absorption coefficient of 22/cm and the lower curve 280, corresponds to a very strong absorber with absorption coefficient of 1000/cm or penetration depth of about 10 μ m.

As both the curve corresponding to a weak absorption 284, and the curve corresponding to intermediate absorption 282, show, since plasma mediated ablation depends on achieving high power densities and the subsequent

0063499-080200

5
10

15
20

25
30

35

short time duration of the pulse which concentrates the same amount of energy in a much shorter length of time. The result is volumetric power densities sufficient to generate plasma and interaction characteristics (in this case ablation threshold) which corresponds to that of the naturally occurring highly absorbing materials.

The desired conversion, as contemplated by the present invention, of a system with a naturally occurring high penetration depth into a system of with very shallow depth of energy deposition which easily fulfill the parity requirement can be made both time and space dependent and can be achieved in several different ways:

i) Through the deposition of an absorbing agent on top of the material surface, or within a predetermined thickness layer of within the material surface prior to initiation of treatment. This concept is illustrated in Figure 6b. The high absorption agent is deposited on top of the surface in an external layer 304, or, alternatively, is allowed to penetrate the material surface and be absorbed within a layer of predetermined thickness 302. The beam emanating from the lens 314 would normally not interact with the native target material 300 (as indicated by the dotted line representing the beam penetration into the target material) However, with the application of a highly absorbing agent (such as an exemplary black china ink or microscopic carbon particles), the beam emanating from the lens 314, will now be strongly absorbed by an externally applied doping agent at 304, the absorbed doping agent layer 302, or the doping agent applied to locations within the material volume at 306. Targets 310 and 312 represent additional exemplary target shapes which can be marked through auxiliary doping and then removed by or modified by the beam.

Once the beam whose power densities within the native target material, is below interaction threshold, encounter

either one of doped volumes, high absorption of the pulse radiation into the tissue will ensure initiation of ablation within the high power density volume, and/or plasma initiation because of the high energy level deposited in the small layer of the absorbing agent. Ablative interaction with the absorbing agents are indicated by the symbol 318.

Often small residual modifications to the surface follow the interaction with the laser source, act as subsequent absorbing agents and perpetuate the process at any desired pulse repetition rates. Consequently, all the advantages and unique characteristics of the plasma-mediated ablation as contemplated above, also apply in this case.

Finally, in some cases where focusing inside the target volume is possible, plasma-mediated interactions can be initiated without the application of a doping agent, simply by focusing the beam to spot sizes which allow concentration of power to above the threshold power density for initiation of interaction with the material. This condition is illustrated in figure 6b by the beam emerging from lens 316 and focused down to above the threshold power density at a predetermined targeted location 308 well within the targeted material. Again, once power density inside the targeted volume is above the threshold power density an interaction is initiated as indicated by the symbol 318.

ii) In some cases and material types, the modified absorbing layer created by the absorbing agent will be completely removed by one or more pulses and it will be necessary to apply the absorbing agent after one or several pulses. To this end, it is contemplated by the practice of the present invention to construct an absorbing agent deposition device which will very accurately and synchronously to the pulse laser operation, eject an

absorbing agent source onto the targeted area. For an exemplary 1 KHz, 1.05 μm radiation source, such an ejection source will have to eject a drop of absorbing agent sometime after the completion material ejection due to
5 previous pulse action, but within the 1 ms time interval between the pulses. Figure 6c shows an apparatus for a repeated, synchronous (with the laser pulses) application of a highly absorbing agent to the targeted material surface. In the figure the ejector 342 draw the absorbing
10 agent from a reservoir 340 and direct a drop of a predetermined volume into the desired interaction location 344. The ejector can be, in principle, similar to an exemplary ink jet injection technology available from the commercial ink jet printer industry. The beam 346,
15 emanating from the lens 348, will normally not interact to modify or ablate the material 352. The presence of the absorber spot 344, however, will now ensure strong interaction 350 at the targeted location.

iii) Finally, material can be temporally and spatially
20 prepared and/or injected with absorbing agents at predetermined location within the three-dimensional volume of the target material. Such preparation will then be selectively activated by the penetrating laser in order to create highly precise material removal or modification in
25 three dimension. Injected or prepared high-absorbance at locations 306, 310, and 312 in figure 6b illustrates selective deposition of absorbing agents of various shapes which can assure time- and space - dependent, selective interactions within the three-dimensional material volume.

30 Principles of Operation:

Non-ablative Material Modification

The method of the present invention also offer the possibility for a controlled, variable rate material modification by a pulsed electromagnetic radiation beam.
35 The interaction between the pulsed electromagnetic

radiation beam and the material is characterized by a modification threshold volumetric power density, which is a function of the target material properties.

The principle of non-ablative beam modification is based on the fact that between the threshold for material ablation and the very low power density which leave the material unaffected, there is a transition power density range whereby the beam energy deposition characteristics yield sufficiently high power densities to induce irreversible changes within the target volume, but do not result in ablation, or explosive events. Specifically, at very low power densities there will be no modification or any irreversible changes in the target material. However, as the power density is increased, irreversible changes may occur before the threshold for complete ablation takes place. In soft tissue this may corresponds to coagulation or evaporation of water molecules with no ionization or a large number of molecular bonds are broken. In crystals such changes may correspond to changes in crystalline structure and substations. In hard tissue or porous, water saturated lattices this may appear as dehydration of the material and/or partial melting of the lattice. In an exemplary dentin hard tissue material as well as for ceramic-like materials, quartz and fused silica, the inventor has determined that the threshold for ablation with a 0.35 ps source is on the order of 10^{12} w/cm². For soft tissue this ablation threshold is somewhat lower - on the order of 10^{10} to 10^{11} w/cm². It is thus possible to select beam-target parameters yielding power densities below these limits yet sufficiently high for irreversible material modification.

In general, such irreversible modification may include one or more of the following alterations: chemical and physical changes, changes to viscoelastic properties, 35 changes to optical or thermal properties, changes in

chemical properties, changes in physical properties or physical breakdown, partial or complete melting of the targeted region, melting, and partial or complete vaporization of the targeted volume.

5 Using a source capable of generating an output beam of a sequence of electromagnetic pulses each having a pulse duration in the range of about 3 femtosecond to about 10 millisecond; such a beam can be directed toward the target at or below the surface. The beam may, for example be
10 redirected by a lens or reflective optics so that it converges spatially as it nears the target area. Once the beam converges into a threshold volume, the resultant power density may be sufficiently high to induce irreversible modification. The beam conversion, on the other hand, may
15 be designed so that power densities may never reach the threshold for ablation.

Also clear is the fact that as the pulse duration decreases from the upper 10 ps range to the femtosecond range, the beam power densities are generally high because
20 of the shortest of the pulse duration. Thus, small changes in spot size (as the beam converges toward the target) will result in large changes in the power densities and may increase their values beyond the threshold for modification or ablation. The ultimate result is then much increased
25 sensitivity to spatial location and increased spatial resolution with decreased pulse duration.

Selective locations within the target material volume surface may possess properties that enhanced their scattering and/or absorption. A collimated or slightly
30 converging beam impinging on the target material may continue its propagation through the material below modification threshold until they encounter such high absorption regions where energy deposition is increased and deposited power densities are increased above the
35 modification threshold. Such selective location may occur

09632199-080200

naturally or may be inserted or induced artificially, by the operator.

Finally, in some cases it is also possible to modify the pulse frequency components temporal distribution so that as the incident pulse transverse the material, slower moving components that were arrange to initially lead the pulse, are being overtaken by faster, initially trailing frequency components. Such a velocity dispersion effect will shorten the pulse duration as it transverses the material volume. This effect can be design to yield the shortest pulse duration precisely at the target region, thus resulting in increasing the power density at that location above the modification threshold, or if so desired, above ablation threshold.

Once a single pulse modification interaction has occurred, allowing mechanical or thermal transients caused by the electromagnetic radiation pulse to substantially decay would make subsequent pulse interaction possible. Operating the pulse source at a pulse repetition rate greater than 0.1 pulses per second until a sufficient volume of the material has been modified would allow large volume modification.

Alternatively, scanning and moving either the beam or the target in three dimensional space would allow the operator to generate virtually any modification pattern desired. Combination with control and feedback devices (to be discussed below) along with such translation mounts and temporal control over the beam source on/of times and pulse repetition rate can provide a completely automated method for generation of such material modification patterns.

Taking advantage of various intensity profiles of the beam and utilizing the threshold nature of the modification interaction, modification cross section smaller than the diffraction limits can be obtained because only portion of the beam spot size may reach the above-threshold power

002230"06T2230

density values. In a Gaussian beam profile, for example, power densities at the center of the beam significantly exceed those in the wing. Making further use of non-linear absorption which are very sensitive to the beam power density distribution, modification cross section as small as 100 or even 10 nanometer can be envisioned.

Thus, material modification rate can possibly be varied from the range of from about 0.01^3 micrometers cube per pulse to about $100,000^3$ micrometers cube per pulse, said modification rate being substantially constant depending substantially on the volumetric power density threshold characteristics and on the source electromagnetic beam characteristics.

The principle of operation of the material modification system according to the practice of the present invention can be further understood with the help of Figure 7.

In Figure 7, the beam 360, emanating from the lens 362 is impinging on the a target at power density which are below the threshold levels for either material modification or material ablation. As the beam converges, its power density increases until it reaches the threshold for material modification. Modification can include any type of permanent changes to the target volume visco-elastic, mechanical or thermal properties. The dashed lines continuing from the beam 360 below the modification target zone 364 indicate that had the material threshold for modification not been exceeded the beam would have continued past the modification zone 364 undisturbed. The three concentric circles inside the volume indicate that material modification can correspond to changes in material densities and the creation of compression zones within the modification volume 364 - zones where the modified material density might vary spatially. While material modification may not entail material removal, an escape chamber 368 may

be drilled by the ablating system itself, or may be provided by other means in cases where ablation or vaporization of part of the modified volume of material is desired.

5 In addition to achieving above modification threshold by spatially focusing the beam, modification threshold can be reached by changing the pulse duration as a function of time through dispersion effects (pulse compression) or through the injection or application of high absorption
10 agents to allow the beam power density to reach above-modification-threshold levels. Pulse compression can be achieved through reverse dispersion when the arrangement of the pulse frequency components is such that faster propagating light frequency components spatially trail the
15 slower components. When arriving at the material the faster components will catch up with the slower components thus compressing the pulse duration. Knowing the frequency dependent of the light propagation speed within the material can allow the designer to predict the exact
20 location at which a desired amount of pulse compression will occur. Thus compression then will bring the pulse power density above the threshold for modification and initiate the interaction. Such an effect can of course be used for bringing the beam power density above ablation
25 threshold at predicted pre-selected time and location.

Also shown in Figure 7, is a high absorption agent applicator, indicated by the dye reservoir 372 and an injector 374. In this case application to the surface 370 or to a deeper lying region 380 can be accomplished. When
30 an above-modification-threshold beam 378 emanating from the lens 376 arrives at 379, absorption will increase the deposited power density within the intended volume and modification will occur. The dotted lines at the end of the beam 378 indicate that the beam would have continued
35 its path uninterrupted had it not encounter the deposited

002080" 66T22960

absorber which forces selective modification of the targeted region of the material.

Principles of Operation: System Construction

In the practice of the present invention a pulsed
5 output beam having a selectively variable output pulse
duration from about 3 femtoseconds to approximately 10
millisecond at a variable pulse repetition rate from about
0.1 to about 500 KiloHertz, with a minimum pulse-to-pulse
separation of a minimum of about one μs to allow ejected
10 debris and plasma from previous pulses to clear the target
area.

As was pointed out above, a key element in the practice of the present invention is the selection of parameters such that pulse characteristics will ensure removal of most of the deposited energy by the ablation (high ablation depth to energy deposition depth ratio), coupled with high pulse repetition rate so that on a time scale of a practical total exposure time (i.e., on the order of single treatment event, longer than, for example, 1.0 seconds) the overall material removal will ensure ejection of most of the deposited energy.

Depending on the target material and the type of processing required, the energy per pulse can range from about 1 nanojoules to over about 50 Joules, while the beam spot size can vary from about 0.1 micrometers to over 5 centimeter in diameter. Adjusting and focusing the output beam spot size, energy, and pulse duration should create a fluence at the target in the range of 10^2 W/cm² to 10^{16} W/cm².

Several classes of pulsed laser systems are capable of generating at least some of the parameter requirements described above and thus serve as a radiation source for some of the applications envisioned in the practice of the present invention. Those having skill in the art will recognize that the pulsed laser classes known as flash lamp

pumped normal mode lasers, Q-switched lasers, pulsed excimer lasers, mode locked lasers and chirped pulse amplified lasers may serve as a suitable source for the practice of the invention. This list, however, is only partial, and in principle, additional pulsed sources of electromagnetic radiation capable of producing output parameters which fulfill the requirement specified by the present invention, may serve equally well in the practice of the present invention.

In one possible embodiment for the longer pulse regimes, a flash-lamp-pumped solid state laser may be equipped with a variable pulse width controller permits the laser operator to vary the pulse width of the flash lamp from a microsecond range to the 1 ms in increments on the order of several microsecond. This capability will allow optimization of the performance through temporal variation of the laser pulse. The electronic pulse controller can be designed to provide for continuous, batch, single shot, or external triggering capable of controlling the repetition rate in 1 Hz increments up to several KHz repetition rates.

Shorter pulses can be generated by Q-Switching. Rotary, acoustic-optic, and electro-optic Q-switching are only a few possible mechanisms for generating pulse in the nano-second to the microsecond range.

Shorter pulse yet may be provided by mode-locking and using chirped pulse amplifiers or through saturable absorbers technologies well-known to those skilled in the art.

A diagram of an exemplary, high repetition rate laser system, suitable for practice of principles of the present invention is depicted in Figure 8a. The exemplary laser systems depicted represents a laboratory-model prototype devices in accordance with principles of the invention. As such, the laser system depicted in Figure 8a and described below comprises a degree of complexity and control

variability suitable for laboratory experimentation, but which may exceed what is necessary for practice of the invention.

5 The exemplary laser systems depicted in Figure 8a includes a parameters regime which has been determined by the inventor to be efficient in ablating most types of material. Some of the exemplary laser systems depicted in figures 8 has been shown to efficiently ablate most material. As will be described in greater detail below, 10 any type of laser system, capable of operating within the parameter regime described above, can be employed in practice of the invention.

002030 6572960
15 Several additional classes of pulsed laser systems are capable of generating at least some of the parameter requirements described above and thus serve as a radiation source for some of the applications envisioned in the practice of the present invention. Those having skill in the art will recognize that Q-switched flash lamp pumped or diode pumped lasers may serve as a suitable source for the 20 practice of the invention. Other possibilities may even include a continuously emitting source of electromagnetic energy (for example, continuously emitting laser sources known as continuous wave (CW) lasers). Such sources output may be modified by various means to result in 25 electromagnetic beam characteristics which effectively fulfill the requirement of the present invention.

30 Some possible laser system configurations are depicted in figure 8. The first system, depicted by the components inside the box 400, in Figure 8a corresponds to the a pulse regime ranging from about a single nanosecond to about several millisecond range. It can include an exemplary flash-lamp pump 402 pumping an exemplary Q-switched solid-state laser 404, with pulse duration ranging from the nanosecond to the microsecond, or an excimer laser 406 in 35 the nanosecond to the several hundreds nanosecond range,

and up to several KHz of pulse repetition rate. An exemplary flash lamp pump 402, pumping a normal-mode solid-state laser (not shown) which would fit in the location 404, generating pulse duration from about the microsecond to about the several millisecond range.

The second system 380, corresponds to a the shorter pulse duration regime. It is capable of producing pulses in the range from about 3 femtoseconds to over 5 nanosecond. This system produces a pulsed output beam having a selectively variable output pulse duration which can be changed continuously over the about 3 femtoseconds to over 1 nanosecond range. It is also capable of producing a variable pulse repetition rate from about 0.1 to over several KHz by selecting the proper pump laser 389 for the regenerative amplifier. The energy per pulse, obtainable from the exemplary shorter pulse regime laser system is variable from about 1nj to over 50 millijoules, deliverable in a beam having a spot size variable from about 5 micrometers to over 1 centimeter in diameter.

Although, as this discussion demonstrates, any type of laser system, capable of operating within the parameters described above, can be employed in practice of the invention, the shorter pulse regime laser system, 380 of Figure 8a, preferably comprises a mode-locked oscillator 384 which operates to provide pulses having the same or shorter durations than the desired final pulse duration. The mode-locked oscillator 384 is pumped by a solid-state laser, a diode array, or an Argon-ion pump lasers 382. Commercially available oscillators, providing 100 femtosecond pulses, as well as laboratory built oscillators, providing 15 femtosecond pulses, have shown themselves suitable for practice of the invention. Both oscillator embodiments often employ Titanium-doped sapphire as the lasing material and utilize the well known Kerr effect for mode-locking, although the well known acousto-

optic effect is often also suited for mode-locking. The pulses produced by such oscillators are typically low in energy, particularly on the order of about 1.0 nanojoules.

These low energy pulses are then stretched in time by
5 over about four orders of magnitude (a factor of ten thousand) by a grating pulse stretcher 386. The pulse stretcher 386 suitably comprises a diffraction grating to disperse the various frequency components of the broad-
10 bandwidth pulse produced by the oscillator. By transmitting the various frequency components along different paths through an imaging telescope, pulses are lengthened in time by an amount $\Delta L/C$, where ΔL is the difference in the optical path length between the various frequency components and c is the speed of light.

15 The stretched pulse is then amplified by several orders of magnitude, preferably to the millijoule range, in an amplifier stage. The amplifier stage may comprise any one of various types of laser amplifiers familiar to those skilled in the art. Most commonly, however, a regenerative
20 amplifier, wherein a pulse is able to make multiple passes through a single amplifier media is used. The regenerative amplifier employs Titanium-doped sapphire (Ti: sapphire) as the gain medium. Because of the short storage time of Ti:Sapphire, a second, pump laser 389 of Figure 8a, is used
25 to pump the Ti:Sapphire gain medium. Such a pump laser can be a frequency-doubled, Q-switched, Neodymium-yttrium-aluminum-garnet (Nd:YAG) laser. The energy required to pump the Ti:Sapphire regenerative amplifier 388 is typically greater than five times the energy output of the
30 regenerative amplifier.

The repetition rate of the system is determined by the repetition rate of the pump laser 389. By changing the repetition rate of the pump laser, operation at repetition rates up to and in excess of 1000 Hertz can be achieved.
35 Switching of the pulses into and out of the regenerative

002030" 66 FEB 96

amplifier 388 is accomplished with conventional pulse switching technology based on the well-known Pockels effect for polarization rotation. Pulses are switched out of the regenerative amplifier when saturation is achieved.

5 The regenerative amplifier 388 produces pulses of up to about 10 millijoules in energy. These pulses can be sent directly to a pulse compressor 390 or, alternatively, further amplified, by an additional Ti:Sapphire regenerative amplifier to increase the pulse energy.

Following amplification, the stretched and amplified pulse is compressed by a variable length pulse compressor 390, employing a diffraction grating. In a manner similar to the pulse stretcher 386, pulse compression occurs by controlling the optical path of the various frequency components of the laser pulse through the compressor. Different frequency components are directed along different paths by the angular dispersion of the grating. By controlling the dispersive path length taken by the various frequency components, a variable duration output pulse is obtained.

The exemplary laser system 380 has demonstrated a final pulse duration which is adjustable in the range of between about 60 femtosecond and about 1000 picosecond. The laser pulse is directed to a material target 394, through a focusing lens 392, by a delivery system which may comprise an open beam transport system, an articulated arm, an optical fiber, or a hollow core optical wave guide. If desired, the delivery system may be adapted to provide additional stretching or compression of the pulse duration. The spatial profile of the final pulse is then modified by the lens system 394, assuming its final shape, the beam then continues towards the target 394. Suitable focusing elements may be comprised of refractive (lenses) or reflective (mirrors) elements. A typical exemplary focusing element may consist of a simple large f-number

single lens for focusing the beam onto the target area in a spot size greater than 1.0 micrometers. Spot size is easily adjusted either by moving the target away from best focus, or by simply changing the delivery lens/mirrors configuration.

This exemplary laser system 380 of the present invention is thus able to produce a continuously tunable output by changes in optics and adjustments. Operation at the second harmonic (350 to 532 nanometers) is accomplished by passing the beam through a thin potassium di-deuterium - phosphate (KD*P) crystal after compression. The KD*P crystal is cut for type-I phase matching and is typically between 0.5 and 4 millimeters in length.

Although the high repetition rate laser systems has been described with reference to the exemplary q-switched laser, flash-pumped normal-mode solid-state laser, mode-locked and chirped-pulse amplified solid-state laser, embodied in Figure 8a, it will be understood by those having skill in the art that many different laser systems, operating in various portions of the electromagnetic spectrum and capable of providing pulses having durations of from about 3 femtoseconds to about 10 ms, at repetition rates of up to 100,000 Hertz, are within the contemplation of the present invention. What is desired from such systems is that the amount of material and residual energy left by a single pulse is small, that the ratio of the single-pulse ablation depth to energy deposition depth is high, and that, if high material volume removal rate is desired, the system pulse repetition rates is sufficiently large that substantially much of the residual energy accumulated in the target is removed by subsequent pulses within the pulse train so that most of the deposited energy is completely removed by the ablation process itself, with the entire procedure yielding substantially no collateral damage to surrounding material.

Figure 8b illustrate additional systems. 410 is a solid state pulsed system which is pumped by a CW or a Quasi-CW laser source. For example, a pulsed or a CW flash-lamp may serve well as a pump source. A CW or a
5 quasi-CW diode source can also serve very well for this purpose. Such diode laser sources may be arranged as diode arrays and diode bars as well as stacks of bars to produce substantial pump power of up to kW of continuous power. Such sources 412 may be used to pump a Solid-State crystal
10 414 with Q-switching 416 (such as an acousto-optic, electro-optic, or other means for producing a Q-switched output pulse characteristics in accordance with the requirement of the present invention). The output of these crystals than can be modified to longer wavelengths using
15 an Optical Parametric Oscillator (OPO) 318. Alternatively, the output may be further modified by passing the beam from 314 through a thin potassium di-deuterium-phosphate (KD*P) crystal. The KD*P crystal is cut for type-I phase matching and is typically between 0.5 and 4 millimeters in length.
20 Additionally other non-linear crystal such as KTP may serve equally well for many applications requiring output frequency conversion.

Figure 8b also illustrates other possible preferred embodiments. The system 420 may consists of a CW
25 electromagnetic source 422. Any continuously emitting (CW) electromagnetic energy source may serve for this purpose. For example a CW laser source such as a Carbon Dioxide, an Ar⁺ ion, a Tunable Ar⁺ ion - pumped Dye laser, a Krypton Gas laser, a Ruby laser, or even a non-coherent radiation
30 source (such as, for example a Xenon flash lamp). A CW diode source can also serve very well for this purpose. Such diode laser sources may be arranged as diode arrays, diode bars, as well as stacks of bars to produce substantial pump power of up to kW of continuous power.
35 Such source 422 output may then be temporally and spatially

002080" 66T2E960

modified in an output modifying device 424 to produce a pulsed output characteristics in accordance with the requirement of the present invention.

Modifying the Continuous Wave (CW) output beam characteristics so that they conform to the operating characteristics described by the present invention can be understood with the help of Figure 8c and will be described below. The beam modifier 424 is shown in Figure 8b: In the device 420 it is shown before the phase/wavelength modifier, while in 421 it is shown placed behind the phase/wavelength modifier. Those skilled in the art will recognize that the differences and advantages may be in the ease of delivery and manipulation of the output but may both be used equally well.

15 The principles of operation of an output modifying
device 424 is now described using figure 8c.

The source Continuous Wave output 440 is directed towards a beam modifying device 424 containing a reflector 427 which direct the beam towards a series of switches 1, 2, 3... N... The switches allow the beam to continue uninterrupted except for a short time duration τ when they turned on to allow reflection of the beam of light towards their respective fibers 429 whose output at the target may look like 432 of Figure 8c. Alternatively, the switching devices can also reflect the beam 440 directly towards a specific location on the target (or through a lens which focus the deflected beam onto the target) for time duration τ . Following the period τ for which the switch is on, the switch is turned off again and the beam 440 continue to propagate directly thorough switch 1 and the rest of the switches. At some later pre-determined time switch 2 is turned on and it reflects a portion of the beam 440 for a period τ towards its own coupled fiber or directly to the target (or a lens which focus the beam onto a unique location on the target) at which time it is turned off.

Again, the energy from the continuously emitting beam 440 is directed with a delivery system to a selected separate spatial location on the target. The delivery system can consist, for example, of an optical fiber, an optical fiber and lenses combination, a hollow wave guide and lenses, and a combination of lenses and mirrors.

Alternatively, the source CW beam 440 can be directed towards a slightly different beam modifying device 428 containing of sequence of optical switches 1,2,3... N which sequentially redirect the CW beam towards the lenses 433 which then focus their respective beamlets into a pattern similar to 432 where each spot size is small enough to allow power densities (energy per unit volume) above the threshold for material ablation.

The output from such a sequential switching device is shown in figure 8d. At the top of the figure, the output of a continuous wave source, 440 is shown as a function of time. The output 444 from one of the switches (for example, switch #1) is shown in the second set of axes. This short output 444 lasts for a time duration τ while the corresponding switch is turned on. The time duration 444 can, for example, be as short as one nanosecond or even shorter. The remaining energy of the output is not used at that particular target location until an interval of time

5

10

20

25

30

35

of focusing devices so that these newly created beamlets of pulse trains conforming to the requirement of the present invention, can be directed to adjacent locations in the target area.

5 In a second preferred embodiment, the segments 444,
446, etc. of the CW beam 440 can be directed to the opening
of an input of articulated arms or Hollow Wave Guides so
that these beams of pulse trains which conform to the
output characteristics in accordance with the requirement
10 of the present invention, can be directed to the same
location or to adjacent locations.

Additional preferred embodiment of the present invention utilizing an optical fiber bundle 429 can be understood with the help of Figure 8c and Figure 8e.

15 The beam from the electromagnetic energy source 422
(see Figure 8c) is redirected into the output modifier 424
through 1,2,3...N couplers to the optical fibers 429. The
switching (or redirecting) optics 327 can be made for
example from Kerr or Pockels cell switches, non-linear
20 crystals, or even mechanical mirror which deflect the light
into 1,2,3,... N couplers which couple the energy from the
original beam 440 into the couplers and the optical fibers
429.

The source energy may be deflected for a period of
from 1 ps and up to 10,000,000 μ s in accordance with the
requirement of the present invention. These (see Fig. 8d)
selected time-segments 444 , 446, 448, etc. (for example a
time segment of duration τ), are sequentially directed into
sequential, separate and different optical fibers. When
each of the pre-selected 1,2,3,... N, fibers has received
a single time-segment of energy, an "off time" of Δt is
allowed to pass before the next cycle of sequential time
segment is redirected into the same set of N fibers at
exactly the same sequence. The off time interval Δt is
selected so that $(\tau N) + \Delta t = (1/PRR)$ where PRR is the desired

pulse repetition rate as specified by the present invention.

The result is an output from each and every fiber in the bundle. The output of each fiber in the bundle is a
5 sequence of pulses each of durations τ and of pulse repetition rate PRR, equal to the inverse of the time interval 445 of figure 8d.

An additional preferred embodiment is shown by the Rotating Mirror arrangement of figure 8e. This exemplary
10 depiction of the preferred embodiment shows the CW beam 440 from the source being directed to a mirror 452 which redirects the beam to a rotating mirror 454. The rotating mirror, in turn, redirect the light towards a series of couplers 456 arranged around the circumference of a circle.
15 The couplers couple the rotating light to either optical fibers 459 openings, to hollow waveguides, or to a set of articulated arms or mirrors to redirect the beams energy so that a sequential multiple beam pattern is formed at the target. The spinning mirror 454 is located at the center
20 of the circle. The mirror, may, for example, be suspended from a driving high precision motor by a spinning rod 463. The combined array of fibers/beamlets output may assume the shape 432 in Figure 8e.

To show how the spinning mirror arrangement of figure
25 8e allows for the generation of pulsating beamlets sequence of figure of figure 8c, consider the a mirror spinning with a rotational velocity ω of 1000 cycles per second. With the aid of a focusing lens 458 the CW beam 440 is focused onto the couplers 456 so that its beam size is $100 \mu\text{m}$ and
30 is focused onto each fiber opening for a dwell time of $1 \mu\text{s}$.

The linear velocity of the beam at the fiber opening (on the circumference of the circle) must then be

$$V = (100\mu\text{m}/1\mu\text{s}) = 100 \text{ m/s}$$

35 With $V=\omega R$ (where R is the radius of the circle of

002030 66T3E960

5 In another preferred embodiment (Figure 8e) the driver
467 which drive the spinning mirror 454 can be a high
precision stepping motor electronically synchronized with
a shutter 465. The CW beam is allowed to pass through the
shutter 465 for a time duration τ (for example τ can be 344
10 in figure 8d) when the Mirror 454 is pointing to towards
one of the coupler 456 for a time duration τ . Following
this desired exposure, the shuttered 465 is turned off and
the mirror 454 which was stationary for the time duration
 τ is moved by the stepping motor 463 to the next coupler
15 456 and fiber 459. After a period ΔT (for example 345 in
figure 8d) the shutter 465 is opened again for a period τ
and the beam is allowed to couple again with the next
coupler 456. As will be evident to those skilled in the
art, the shutter 465 can also be constitute a deflecting
20 mirror or any other optical switch.

In all preferred embodiments the fiber assembly, hollow waveguides, or focus free beams may then be directed
30 into the target so that an ablation spot pattern like the exemplary assembly of holes 432 in figure 8c is formed.

The time-space tailoring of the CW source beam into an array of adjacent pulsing beams (each necessarily time-delayed with respect to the other) as described above carries some additional advantages regarding the

minimization of thermal and mechanical collateral damage. The advantages are due to the arrangement of the fiber and focused free-beams in a configuration of adjacent shapes that looks like 432 in figure 8c and are fired in a sequential manner as illustrated in figure 8e.

ADVANTAGES OF A FIBER BUNDLE AND FOCUSED FREE-BEAMS IN CONFIGURATION OF ADJACENT BEAMS

Using a Continuously Emitting CW source and dividing the CW energy 440 (see figure 8d) into several beamlets of duration t characterized by a certain pulse repetition rate (for example 444 and 445 respectively, in figure 8d) which are then either directly or through fiber / hollow waveguide delivered to the target, carries some additional advantages. It should be noted, however, the benefit of these advantages can also be realized through the use of an inherently pulsed-source (i.e., a source that emits pulse train rather than CW emission) as long as the source pulse repetition rate is larger than the requirement of the present invention and some of the pulses in the source's pulse train can be deflected out of the pulse train and manipulated to be brought to adjacent locations on the target in a manner described bellow.

By arranging the fibers output (for example the fibers 459 of figure 8e), or the focused beamlets (for example, the beamlets 435 in figure 8c) in a spiraling manner 470 of figure 8f the deposition of thermal energy in the tissue can thereby be minimized. Starting to couple the continuously emitting CW source beam 340 to the fibers/beamlets which deliver energy to the center and then proceeding to the fibers/beamlets that deliver energy to the outward locations, subsequent fibers/beamlets ablation actually REMOVE heat generated by earlier shots in the central, already fired fibers/beamlets. In addition, the laterally diffused energy can be used to enhance material ablation and minimize energy and power requirement for the

interaction of these subsequent, laterally displaced pulses.

Such spiraling fibers/beamlets arrangement can be enhanced by varying the time separation 449 between sequential pulses (for example between pulse 444 and 446 in Figure 8d) so that 449 become shorter for pulses directed towards fibers/beamlets at external perimeter of the spiral 481, and 449 is longer for the internal region of the spiral 482. This, in effect, will translate to an increased firing rate from fibers/beamlets on the outsider of the spiral where there is larger linear circumference to be covered and, therefore, the diffusing heat is more effectively encircled, encased, and ablated away.

Similarly, the same sequential distribution of source energy described herein, can be used for non-ablatively modifying a tissue in accordance with the principles of operation of the present invention (that is modifying the target material irreversibly but not ejecting or removing material from the target). Here, the adjacent fibers/beamlets can make use of thermal energy diffusing from earlier, adjacent pulse interactions to enhance to material modification and minimize energy and power requirement for the interaction.

Another preferred embodiment utilizes an alternating rows arrangement shown in Figure 8f. Alternative fibers in subsequent adjacent rows (for example, 472, 473, 474, and 475 in figure 8f) firing in alternating order with respect to each other. Such sequential ablation will also help eliminate at least some of the residual left-over thermal energy generated in the target through the interaction of earlier pulses.

Note that a 10 ms delay between adjacent fibers outputs translates to a thermal diffusion length of about $100\mu\text{m}$. Thus, a single $100\mu\text{m}$ fibers positioned adjacent to one that was fired 10 ms earlier, would be perfectly

002080" 55T2E960

positioned to capture the entire heat which has diffused laterally from the first fiber.

In another preferred embodiment the beam from a very high pulse repetition rate source 314 in Figure 8c, is
5 focused down to 100 a spot size on the target sufficient to allow the generation of power densities in accordance with the requirement of the present invention, and this focused beam is then scanned across the target. The scanning is done in such a way as to allow lateral heat removal in
10 accordance with the principles described above for multiple beamlets. The scan timing is then synchronized to ablate heat as it diffuse within the targeted area as was described in the previous paragraphs.

As pointed out above, the effective pulse repetition
15 rate (PRR) in each fiber/beamlet is the inverse of the time separation 445 before the beam modifier 424 of figure 8c switch another segment of the CW beam 440 into that same fiber/beamlet. Such PRR can reach up to a few hundreds KHz in accordance with the requirement of the present
20 invention. The power requirement for such PRR can be satisfied with CW source of as little as about 1W average power. Such small (and even smaller) power requirement can be used because utilizing a small spot size fibers/beamlets of 100 μm or less, achieve peaks power in excess of 10^{+4}
25 W/cm^2 which are sufficient to initiate ablative interactions. Significantly, many practical commercially available continuously emitting sources can achieve average power level in excess of hundreds of kilowatts and even megawatts.

30 For many practical situations, the spot size of each fiber/beamlet should be on the order on the order of about 100 μm (or less) so that the peak power per unit area is on the order of about $10^7 \text{ W}/\text{cm}^2$. Such power densities have been shown by the inventor to easily result in effective
35 and efficient ablation material removal.

0022080" 66722960

An area of 10X10 fibers (i.e., 100 fibers) will create an Effective Spot Size of about 1 mm² less fiber or more fibers can of course change the size of the Effective Spot Size.

5 An additional advantage of this "time sharing" high
average beam source is that the many spots cool faster.
This is because at the smaller size of the many spots
size, corresponding to the many fibers/beamlets, three
dimensional heat transport dominates. Such three
10 dimensional heat transport and cooling is much more
effective at cooling the many targeted spots than a single
large spot size whose area is equivalent to the sum of the
many spots of the fibers/beamlets.

15 An additional advantage of this preferred embodiment is that utilizing a continuously emitting CW source (for example, diode laser, diode arrays, COWP2 or high power solid state laser) can be considerably less expensive and much easy to handle in a wide range of environments.

Finally, the output of many of the systems described above can be further modified to shift their output wavelength. Such shifting can be towards the shorter wavelength through the use of non-linear crystals. Such crystals are made of, for example, a thin potassium di-deuterium -phosphate (KD*P) crystal or other non-linear crystals such as KTP. They allow frequency doubling, tripling, quadrupling etc. and allow generation of much shorter wavelength. To achieve longer output wavelength, optical parametric oscillators and optical parametric amplifiers can also be used to tune the output beam wavelength to a longer wavelength of up to about several micrometer. Shifting of the output to the wavelength range of 0.8 μm to 11 μm may be particularly beneficial.

Such wavelength tunability achieved through the action of output beam modifiers 326 of figure 8b, allow selection
35 of more highly absorbed wavelengths which, in turn,

increase the power density deposited within the targeted material according to the principle of operation of the present invention. The pulse output characteristics of such output wavelength tuning device will (except for
5 shifting the wavelength) frequently be similar to those of the original input devices and can, therefore be selected to completely conform to the requirement of the present invention.

The described preferred embodiments can also apply to
10 Longer wavelength such as those from about one to eleven micrometer. This wavelength range possess several high absorption peaks (for example at 2.1 and 2.7 μm , at 2.94 μm for Er:YAG and at 9.6 μm and 10.6 μm for CO_2). These wavelengths can be delivered through Hollow waveguides,
15 through silver halides and through Zichronium or Sapphire fiberletes much like the embodiments that was described above. Lasing in the infrared where the absorption is very high and penetration very shallow shall help to increase power density per unit volume in accordance with the
20 requirements of the present invention.

One such embodiment may involve delivering the infrared beams for most of the way through articulated arm and then at the end have a series of fiberletes in a fiber bundle configuration in accordance with principles of the
25 invention as described above. These small fiberletes can be disposable and because they are used for only a short distance - made of relatively higher loss material such as glass or fused silica. As long as the small focusing for overall high power density per unit volume is achieved at
30 the target, favorable results according with the practice of the invention can be obtained.

Turning now to Figure 8g, there is depicted a simplified block level schematic diagram of a material removal apparatus (for example, a dental drilling system or
35 a ceramic cutting instrument) incorporating a variable

0963459-030200

repetition rate laser system 1460 in accordance with the present invention. The material removal apparatus further includes an OPO, KDP, KTP, etc., an optical delivery system, for directing the laser beam to a specific area of a material target. The optical delivery system depends on the design parameters of the material removal system and may alternatively comprise a fiber optic cable 1470, an articulated arm 1466, or an open beam delivery system 1467, including reflectors 1462 and lenses 1464 to focus the beam. A handpiece 1468 is indicated as attached to the distal end of the articulated arm 1466, to allow a dentist, a clinician, or a machinist to maneuver the beam into close proximity with a material target 1474. The handpiece 1468 may also be fitted onto the distal end of the optical cable 1470, to allow the cable to be more easily manipulated.

A laser controller 1478 is connected to the laser system 1460, and controls the activation of the laser, as well as the pulse repetition rate, in response to control signals provided by the operator. An on-off switch 1480 (a foot pedal or alternatively, a hand switch) is connected to the laser controller and provides laser activation signals in response to the dentist or clinician's depressing the switch. Likewise, a pulse repetition rate controller 1482 is also connected to the laser controller and may be provided as a rheostat control which increases or decreases the pulse repetition rate of the laser system in response to the clinicians turning the knob.

A feedback analyzer 1476 and a feedback transducer 1472 operate in conjunction with the laser to allow precise control of ablation end points. Because many embodiment of the variable repetition rate laser system of the present invention involve plasma generation during the material removal process, material-type-differentiation diagnostics and/or tissue-type differentiation diagnostics can be performed on the material target region based on the

In this case, feedback transducer 1472 is provided in the form of a spectroscope which further includes a collection fiber 1473 for collecting emitted light from the plasma generated by the removed tissue. The light is dispersed and analyzed by the feedback analyzer 1476, preferably an intensified, gated, optical multichannel analyzer/spectrograph. Emission peaks characteristic of different tissue types, e.g., dentin, enamel, and pulp, and different tissue states, e.g., diseased versus normal, are compared to reference data contained within the analyzer 1476. When tissue characteristics change, a feedback signal is provided by the feedback analyzer 1476 to the laser controller which then either reduces laser pulse repetition rates or ceases laser delivery in response.

The feedback transducer 1472 may also be provided in the form of an infrared detector (for example, an InSb detector, or a HgCd infrared photodiode), or an infrared detector array or an infrared camera head, suitable for performing evaluation of spatial and temporal temperature distribution diagnostics on the material target. As the laser system is ablating material, the temperatures at the vicinity of a predetermined boundary is monitored continuously by the optical infrared thermograph head.

5

10

25

30

35

structural features of interest are in very close proximity with one another. In addition, because of the proximity and delicacy of the structure associated with such procedures, great care must be taken to process only the target tissue and avoid damaging anything else.

Thus, it can be seen that the characteristics of the laser system of the present invention would be eminently suitable for application in such surgical procedures. In addition, the laser system of the present invention is suitable for use in the field of burn debridement. Skin resurfacing and burn tissue removal are particular applications to which the plasma-mediated pulse high repetition rate laser may be applied. The precision of material removal of the present invention is derived from the fact that only a thin layer of material is removed per laser pulse. By controlling the number of pulses, a surgeon controls the amount of material that is removed. The application of this removal method to burn debridement, in combination with a tissue-differentiation diagnostic feedback apparatus would allow very precise texturizing of the skin surface. By either dithering where the laser beam is directed, by rasterizing, or by controlling the laser beam profile, a clinician is able to sculpt into a pre-defined texture.

Additional procedures in which the laser system of the present invention is suitable include arthroscopic surgery, including partial meniscectomy, synovectomy, chondroplasty, cartilage and tendon removal, and micro perforation, resurfacing, and texturing of cartilage, tendon and bone material.

From the foregoing, it can be seen that the present invention provides an apparatus and method for fast, efficient, precise and damage-free biological tissue removal, including a pulsed laser system having pulse durations on the order of from about 3 fs to about 10 ms.

002080" 66T2E950

The invention requirement on the ratio of per-pulse material removal depth, duration of the laser pulse, and pulse repetition rates in cases where larger volume removal is required, is such that there is minimal transfer of energy from the beam to the target material lattice in the form of thermal energy. As pulse duration becomes shorter, and/or if absorption and power densities become sufficiently large, multiphoton and/or collisional ionization produces a plasma which ablates from the target surface in the time period between pulses. When operating with short pulses, high absorption, and high power densities, energy deposition is localized in a small depth and ablation occurs before significant thermal conduction can take place in the material. While the depth of material removed per pulse is generally small in the practice of the invention, the minimal thermal and mechanical effects associated with plasma mediated ablation allow operation of the laser system at a high pulse repetition rate which, in turn, achieves high material removal rates.

20 Summary of Principles of Operation: High Ratio of Ablation
Volume to Permanently Modified Volume

To further clarify the issues involved in minimization of the zone of thermal damage generated by each pulse, let us consider the following regions as depicted in Figure 9.

25 The energy of a beam of light coming from above will create three principle regions:

1. The outer layer of X_{ab} is ablated which is ejected away;
2. The middle layer of X_{ir} is the zone of irreversible damage - usually thermal (i.e., zone of Coagulation, charring and/or Melting; and
3. The lower region X_{rev} is the zone where light and energy has penetrated and been felt but only in a reversible way.

35 The combined depth of region $X_{ab} + X_{ir}$ is termed X_{observ}

which is the only volume with observed effect.

Figure 10a defines the energy components involved in the interaction. The energy components are the incident electromagnetic energy (E_{inc}), the transmitted electromagnetic energy (E_{trans}), the reflected electromagnetic energy (E_{ref}), as well as E_c , the converted electromagnetic energy now appearing as chemical, acoustical, and thermal energy components generated by the interaction and used for altering the material properties, removing and ablating a portion of the material and energizing the ablated products.

We designate the energy components used for removal of material is shown in Figure 10a as E_{abl} , while the energy left in the unablated tissue and used to irreversibly alter the material is shown in Figure 10a as E_{ir} . It is also possible to define what may be called "the observed energy" E_{obs} , i.e, the energy whose effect can be detected by observing the ablated material after the interaction energy is E_{abs} and is equal to the sum of the energies used for ablation and to irreversibly altering the tissue. Thus $E_{obs} = E_{abl} + E_{ir}$. The sum of all the energy components forms the energy balance for the interaction: $E_{inc} = E_{ref} + E_{trans} + E_{abl} + E_{ir} + E_c$.

When the energy E_{inc} from a single pulse impinges on the material, much of the material may experience transient effects of the incoming energy. Unfortunately, the entire depth of energy deposition can not always be measured. While it is possible to place a thermal, optical or mechanical detectors within the affected portion of the target to observe the transient energy effect, by our definition of Xrev - the zone of reversible energy effects, no permanent alterations remain.

The portion of the energy traversing the material without leaving irreversible damage (for example light energy propagating through the material and/or thermal

002030" 66T2E960

energy diffusing down the material but which does not raise the material temperature above, for example, 50°C, cannot easily be detected (certainly not after the interaction has been completed).

- 5 Consequently, it is convenient to quantify the above requirement by considering only the readily observable, measurable quantities:

$$X_{obs} = X_{abl} + X_{ir} \quad (3)$$

10

Here, X_{abl} = the ablated material depth and, X_{ir} is the zone of permanent, irreversible damage of material which has not been ejected from the bulk.

- 15 X_{obs} is, thus, the depth of material in the tissue to which, as a result of a single pulse action, observable, irreversible changes have occurred. Thus, characterization of the interaction in terms of observable, measurable quantities (X_{abl} and X_{ir}) is achieved. According to the practice of the invention, the objective is to maximize X_{abl} and minimize X_{ir} .

- 20 The depth of the two zones and the ultimate size of X_{obs} are a consequence of two factors: the energy deposition depth, and the energy distribution profile of the incoming energy.

- 25 The energy can arrive at the effected volume of depth X_{obs} , either through direct optical deposition, or through subsequent thermal diffusion of energy.

- Unless heat deposition or coagulation are actually desired, the ideal surgical result is, a situation where X_{ir} approach zero ($X_{ir} \rightarrow 0$) and X_{abl} approaches X_{obs} .
- 30

 In reality, this is impossible as some (even if very small) narrow layer of modified material will always be left behind. It is virtually impossible to design an

002080" 6572E960

interaction where the energy deposition is so finely distributed that the deposited energy density $\epsilon(x)$ is sharply cut off as in a step-function.

Thus, it is useful to quantitatively define the requirement in terms of the invention's practical needs: For most biomedical and some industrial/micro-processing tasks a zone of X_{ir} on the order of $1 \mu m$ is acceptable.

The term "on the order" is generally meant to imply from a single to a few micrometers, i.e., 1 to $9 \mu m$).

The zone X_{ir} is most often modified through thermal effects (although mechanical and chemical alterations must also sometimes be considered). For simplicity let us concentrate on this thermal energy form of material-modification where the zone of thermal modification is on the order of 1 micrometer.

Permanent thermal modification (but not ablation) in soft tissue will occur if temperature rises are less than $100^{\circ}C$. Temperature rise above this level will result in vaporization, explosive vaporization, and ablation.

In hard tissue (e.g., enamel, bone and dentin) melting of hydroxyapatite occurs at temperatures greater than $900^{\circ}C$.

To calculate the energy requirement for material modification consider the following:

In Soft Tissue:

(Consider a exemplary skin tissue with a permanent thermal modification of μm .

In this case the increase from body temperature, ΔT , is: $\Delta T = \Delta T = 100 - 37 = 63^{\circ}C$

and the temperature rise, ΔT , must be smaller than $63^{\circ}C$ to avoid irreversible material modification. Thus, $\Delta T < 63^{\circ}C$

Now, from Thermodynamics:

$$\Delta E = m C \Delta T$$

Where m is the effected mass C is the specific heat

0022080" 66T2E960

capacity and ΔT is the temperature increase,
or, units $m = \rho \Delta v = \rho A_{beam} dz$

$$\Delta E = \rho A_{beam} C dZ DT \quad (4)$$

5

Where ρ is the material mass density, Δv is the modified volume, dz is the depth of the modified volume, X_{ir} , and A_{beam} is the area of the beam

10

Dividing equation 4a by the area we get on the left hand side the increase in Fluence (fluence is the energy per unit area) $\Delta F = \Delta E / \text{Area}$.

And with the depth of the considered altered zone -- $\Delta Z = X_{ir}$, and C of water = $4.35 \text{ KJ}/(\text{Kg } K^0)$ then equation 4 becomes,

$$\Delta F = \rho X_{ir} C DT \quad (5)$$

15

With X_{ir} assumed on the order of $1 \mu m$ and $\Delta T < 63^\circ C$ required to achieve coagulative damage to the tissue, fluence needed is less than,

20

$$\Delta F < (1 \text{ g/cm}^3) (0.001 \text{ cm}) (4.35 \text{ kj/kg } K^0)$$

or

$$\Delta F < 277.2 \text{ E}^{-4} \text{ J/cm}^2 = 0.027 \text{ J/cm}^2$$

i.e.,

$$\Delta F < 0.027 \text{ J/cm}^2$$

25

Or, for an exemplary 0.5 mm Diameter beam, the energy required to modify a $1 \mu m$ thick soft tissue is,

$$\Delta E < 0.05 \text{ mJ.}$$

With typical incident Energy $E_{incidence}$, ranging from 0.3_{mJ} (at threshold for hard tissue ablation) to energy on the order of 15 mJ , the ratio of the required-energy for $1 \mu m$

30

zone of modification to incident pulse energy.

$DE/DE_{\text{incidence}} =$

17 % @ Threshold Energy 0.3 mJ

1.7 % @ typical ablation Energy of 3 mJ, and,

5 0.17 % @ higher fluence of 15 mJ

Again, note that the percentage mentioned, are the percentage of the incident Energy.

Experimentally, (for hard tissue, see Figure 10b) it is observed that for 350 fs (1.06 μm) pulses at 1 J/cm² (i.e., 1 mJ pulses with a spot size of 0.5 mm), it takes 1 mJ to ablate 1 μm which leaves a region of about 1 μm irreversibly modified ($X_{ir} \sim 1 \mu\text{m}$).

Thus, in a 1 mJ short pulse interaction about 7% of the energy is used for permanent tissue modification and the rest is used for ablation, ejection and other energy-consuming components of the interaction.

If a more typical pulse of about 3 mJ is used on a 1mm dentin slice, experimental data show that about 20-30% of the energy is transmitted, less than 2% irreversibly damage a region of about 1 μm of tissue, and the balance (about 70%) of the incident energy is responsible for ablation, energizing the ablation products, plasma formation, or released as acoustical and mechanical and other forms of energy.

Er:YAG (2.94 μm) interaction yield a smaller percentage since it takes a 10 to 20 mJ per micropulse to ablate 1 to 2 μm leaving a zone of thermally damaged material of about 1 μm . Thus, in a 10 mJ micropulse about 0.7% of the energy is used for permanent tissue modification. Also in this case, virtually no energy is transmitted through a 1 mm thick slice, and the vast majority of the incident energy goes into ablation, energizing the ablation products, plasma formation and acoustical and mechanical energy. Since in the Er:YAG interaction 10 to 30 mJ are used to ablate about a 1 to 3

μm while the interaction of ultrashort pulse require 1-2 mJ to ablate the same amount of tissue, the interaction of ultrashort pulse is more efficient (see Figure 10b). While Er:YAG interaction devote smaller fraction to material modification and negligible amount is transmitted, a much larger fraction is used to energizing the ablation components, or is released as mechanical and acoustical energy.

With Ho:YAG ($2.1 \mu\text{m}$) about 4 mJ per micropulse are required to ablate $1 \mu\text{m}$, (Figure 10b) leaving X_{ir} of tens of microns of thermal damage. The micropulse energy is 4 mJ and thus about 1.75% of the energy is used for material removal and most of the incoming pulse energy is spread over tens of microns resulting in such deep irreversible tissue modification. In this case a few percent of the pulse energy is transmitted through a 1mm thick slice and most (~80%) of the energy is spread through tens of microns of thermally damaged tissue.

For the XeCl ($0.308 \mu\text{m}$) about 10 mJ is required to ablate $1 \mu\text{m}$ leaving (Fig. 10b) X_{ir} of tens of microns of thermal damage. The entire 15 ns pulse energy is 80 mJ and in this case perhaps 20-30% of the pulse energy is transmitted through a 1 mm thick slice and 10-30% results in tissue heating. The rest of the energy (40% to 70%) is used for ablation, energizing the ablation products, generating and heating plasma, or used in acoustical and mechanical energy.

Thus, an estimate of about 10% of the energy is used for permanent tissue modification of several tens of microns. This large amount of energy, however, is distributed over a much larger damaged tissue volume.

The above first two examples correspond to small thermal damage X_{ir} zones and high X_{ab}/X_{obs} ratio (from about 0.2 to about 0.5).

The last two examples (Ho:YAG and XeCl) correspond to

deeper thermal damage X_{ir} and small X_{ab}/X_{obs} ratio (from about 0.01 to about 0.1).

Further Comments Regarding Principles of Operation:

High Repetition Rate

5 An additional insight of the role, according to the present invention, of high pulse repetition rate operation can be obtained by considering Figure 11. 210 in Figure 11 shows a sequence of pulses 1,2,3,... N-1, N, N+1....M. This train of pulses is incident on the targeted material
10 (where pulse number 1 is the first pulse to reach the material and the pulse M is the last pulse in the train).

212 shows three exemplary curves illustrating a one-dimensional thermal energy distribution as a function of distance from the target material surface. The curves 214,
15 215, 216, show that with time, the deposited thermal energy associated with first pulse diffuses into deeper regions (larger X values) of the targeted material. Here 214 represents an exemplary thermal energy distribution following the first pulse energy deposition at $t=0+\tau$ (where
20 τ is the pulse duration). If τ is short enough (for example, less than 1 μs in an exemplary water-like material) so that thermal diffusion is negligible (e.g., less than 1 μm) 214 will essentially correspond to the optical energy distribution where optical energy has been
25 converted to thermal energy. The curve 215 is the thermal energy distribution at some later time, and 216 is the thermal energy distribution at a later time yet. The sequence of the three distributions at three subsequent times (for example - 100 μs , 1 ms and 100 ms) show the
30 general characteristics of thermal energy diffusion. They show that as the thermal energy penetrates (diffuses) deeper into the material its amplitude is lowered at the surface is reduced (the surface is being cooled) while its amplitude at deeper regions is increased (deeper regions
35 are being heated up).

002080"66T2E960

5
10
15

20

25
30

35

equation 2 above.

Where the slope of the lines 222, 224, 226, and 228 is equal to $(a_r v)$, the product of a_r , the ablation rate per pulse and the pulse repetition rate v (nu), the pulse repetition rate. If, for example, for a given laser system a substantially constant ablation rate per pulse (for example 1 $\mu\text{m}/\text{pulse}$) is assumed, then the slope of the curves representing the ablation front of various pulse repetition rates are proportional to the pulse repetition rate, v . Thus, as can be seen from Figure 5a, high pulse repetition rate will or a high ablation rate per pulse a_r will yield a steep slope as in 222. On the other hand, a low pulse repetition rate or a low ablation rate per pulse a_r will yield a shallow slope as in 228.

Significantly, Figure 5a reveals a very important feature of the present invention. If the material processing system is allowed to operate long enough, the depth of the ablation front (or material removal) will ultimately surpass the depth to which heat from the original pulses has reached, and the ablative interaction itself will completely remove any residual heat that was deposited in the material by earlier pulse.

This effect also can be seen in Figure 11. If pulses $N=1, 2, 3, \dots N$ remove slabs of a relatively constant thickness a_r , and do so at a faster rate than the rate of heat diffusion, the sequence of ablation slabs will eventually catch up with and completely eliminate all thermal energy due to pulse 1. If we use 212 in Figure 11 as an example, the spatial position of the thermal diffusion front increases from 214 to 215 to 216 but the increase in position is slower at later times. Thus, the constant rate of ablation will eventually remove the entire heat deposited by the first pulse (pulse 1).

If we differentiate equation (1) with respect to time, we obtain an expression for the TIME RATE OF CHANGE of

thermal penetration depth (i.e., the time rate of change of the position of the heat diffusion front). This expression is given by, $dX/dt = 0.5 (K/t)^{1/2}$.

As can be seen from this expression and also from the curve 220 in Figure 5a, for small values of time t (i.e., earlier times after the electromagnetic pulse energy deposition) the heat diffuses very fast (indeed this rate approaches very large values for very small values of time).

As a consequence, if a sequence of pulses 210 of Figure 11 is incident upon a material surface, the heat from the most recent pulses will move faster than the ablation front and part of the heat of the most recent pulses will not be removed by later pulses as the system is stopped at some finite time. However, heat from earlier pulses will not diffuse as fast and will eventually be contained within a volume that will ultimately be completely removed by the interaction.

The point can be made clearer by considering an exemplary system operating at 1000 pulses per second for 3 seconds and represented by curve 224 in Figure 5a. In a water-like material, energy from the first pulse to interact with the sample will diffuse a distance of 1 mm into the material at about 1 second. The ablation front, assuming ablation rates of 1 μm per pulse and 1000 pulses per second, will cut 1 mm of material in 1 second as well. Thus, the point at which the ablation front overtakes the first-pulse heat diffusion front, designated in Figure 5a as X_{x_0} , and named by the inventor the "cross-over depth", is approximately at 1 mm depth. The cross-over occurs approximately 1 second after the start of the interaction for an exemplary high water content tissue or material. This point in time is, consequently, named the "cross-over time" and is labeled t_{x_0} and corresponds to the vertical line 230 of Figure 5a.

Each system, characterized by its pulse repetition rate, by the material heat diffusion rate, and by the specifics of the interactions between the pulse and material (which, in turn, define the ablation rate per pulse), will have its own unique cross-over time t_{xo} . An exemplary system with a low pulse repetition rate or low ablation rate per pulse may operate for a long time the ablation front reaches the cross over distance X_{xo} . If such a cross over time is very long the ablation front may never reach X_{xo} before the end of the procedure.

This is shown in Figure 5a by comparing the distance X_{diff} of curve 220 to that of X_{abl} of curve 228 for some exemplary time t . As can be seen in Figure 5a, the positions given by curve 220 are always of higher value than those given of curve 228 and the system defined by the curves 220 and 229 never reaches a cross-over time.

Since for the line 228 the diffusion front is (for all times shown in Figure 5a) ahead of the ablation front, not all the heat deposited by the first pulse is removed by the ablation of subsequent pulses during the procedure and some of the heat of even the very first pulse remain in the target material.

The situation is different for the ablation front described by 224. Here, pulses launched after t will ablate material that has not been exposed to the first pulse energy at all, since all the heat of the first pulse has been removed by pulses launched from $t=0$ to $t=t_{xo}$.

For an exemplary heat diffusion curve 220 and ablation front curve 224, corresponding to an exemplary ablation rate of $1 \mu\text{m}$ per pulse and pulse repetition rate of 1000 Hz in an exemplary water-like dielectric (e.g., material with water-like characteristics), subsequent pulses (to pulse $N=1000$) will ablate the entire heat generated by second pulse ($N=2$), and then additional pulses will remove all of the thermal energy deposited by pulse 3 ($N=3$), then $N=4$,

etc.

On the other hand, it is equally clear that the heat generated by the very last pulse ($N=M$) will not be ablatively removed at all since no subsequent pulses follow that pulse.

In the above numerical example (curve 224) of ablation of water-like material (i.e., 1 KHz, and 1 $\mu\text{m}/\text{pulse}$), the situation for the last 1000 pulses is unique. These pulses are characterized by the fact that the time remaining in the irradiation procedure is shorter than the time necessary for the ablation front to overtake their thermal diffusion front position by the time the end of the procedure is reached. An exemplary pulse L (within the last 1000 pulses) interacting with the material a time t_{lp} before the source ceases operation, will have its heat diffused to a position X_{lp} , which is deeper than the depth of material removed by the (vt_{lp}) pulses left within the time interval t_{lp} before the source ceases operation.

As Figure 5a shows, however, even these last few pulses have some of their residual heat removed by the subsequent pulses. For instance, in the exemplary 1000 Hz system, if a total of 3000 pulses is applied, pulses just after pulse 2000 will have most of their heat removed by the subsequent, nearly 1000 pulses. On the other hand, pulse number 3000 and the last few pulses in the sequence will have none or very little of their residual heat removed by subsequent pulses.

Interestingly, the inventor also recognized that the fraction of the deposited heat left by each one of the last 1000 pulses in the exemplary 1000 Hz system, is proportional to the ratio of the distance between the depth of thermal diffusion and the position of the ablation front (i.e., the distance between position $x_{diff} - X_{ab}$), and the total diffusion depth, X_{diff} . A more precise analysis of this is provided below. Furthermore, the total amount of

5

10

15

20

25

35

ablated by the subsequent pulses M-N which follow the pulse N (and thus, the depth of material removed by the number of pulses remaining in the train and following each pulse N, (where $M > N > M-1000$)).

5 The curves 252 and 258, correspond to pulse trains with, respectively, higher and lower pulse repetition rates.

10 To simplify the analysis we make an assumption that the energy density in the tissue is uniformly distributed throughout the volume of material where incident electromagnetic energy is being deposited. This assumption is clearly not correct and, instead, represents a worst-case situation. Normally optical deposition does not behave like a "step function" where energy is uniformly distributed throughout some penetration depth.

(i.e., a step - function distribution is given by,

$$\epsilon = \epsilon_0 \text{ for } X < X_0$$

and

$$\epsilon = 0 \text{ for } X > X_0$$

20 Instead, an actual electromechanical energy deposition follows some exponential decays (for example, Beer Law) where the beam intensity falls off according to an expression such as $I = I_0 e^{-(x/\delta)}$.

25 Similarly, as Figure 11 illustrates, that the thermal diffusion front at any given time also drops-off according to an exponential decay and does not progress as a step function.

30 Our simplification, however, assumes that the source's deposited energy is distributed uniformly (as in the step function described above) throughout the material volume where the incident energy was deposited. This volume is given by the product of the beam spot size, A, and the thermal diffusion front position X_{diff} .

$$V = A X_{diff}$$

35 Thus, the one-dimensional energy density, as a

00632159-080200

function of depth into the tissue X , and time, t , since the pulse energy was deposited in the tissue is simply given by:

$$\epsilon(X, t) = E_0 / (A X_{diff}) \quad (6)$$

5 Where ϵ_0 is the total incident pulse energy. Since our goal is to calculate the residual single pulse energy and residual total energy left in the tissue (i.e., the fraction of the incident energy which will actually thermally damage the tissue), and since more energy is
10 actually concentrated in the shallower layers of the material than in the above assumption of a step function deposition, the above approximation represents a worst-case scenario.

15 Given the above, the amount of energy left in the material (E_{lo}) at the end of the pulse train, due to the energy deposition of the (single) N_{th} pulse and after the removal of the heated material by pulse $M-N$ through M (where M is the last pulse in the train), is given by the product of the energy density and the remaining heated
20 volume:

$$E_{lo} = \epsilon(X, t) A (X_{diff} - X_{abl}) \quad (7)$$

Again, E_{lo} is the total left-over energy due to the Nth pulse (a single pulse) with incident energy E_0 .

25 Substituting from the expression for the energy density $\epsilon(X, t)$ from equation (6) we have:

$$E_{lo} = (E_0 / (A X_{diff})) A (X_{diff} - X_{abl})$$

Which translates to:

$$E_{lo} = E_0 (1 - X_{abl}/X_{diff}) \quad (8)$$

The total amount of energy left over in the tissue is

00632199-030200

just the sum over the energy contributions E_{10} from each one of the M-1000 last pulses in the ablating pulse train.
i.e.,

$$E_{total-LeftOver} = E_{TLO} = \sum (E_{lo}) \quad (9)$$

5

For example, in the case of a 1000 Hz system ablating a water-like substance, we sum from pulse $N = M - 1000$ to pulse $N = M$.

10 A more general expression can be formulated if we
consider that the last pulses in the pulse train (see
Figure 12) i.e., those pulses in the train whose energy
will not be completely removed, are the pulses contained
within a time interval equal to the cross-over time prior
15 to the end time of the pulse train. (Again, this is so
because, by definition, this is the time duration required
by subsequent pulses in the train to ablate the entire
energy deposition of a single pulse).

To get the total amount of left-over energy, we substitute the expression for a single-pulse left-over energy, E_{10} , from equation (8) into equation (9) and replace the summation over the last few individual pulses that leave residual energy in the material by an integration over the corresponding time interval.

25 Since the total number of pulses in a pulse train of
pulse repetition rate v in an interval of time t is given
by, $(\# \text{ of pulses}) = vt$, and since an increment of d ($\#$ of
pulses) is given by $d(vt) = vdt = (v dt)$, (because in this
discussion the pulse repetition rate, v is held constant
30 with respect to time). Thus, the summation over the last
pulse in the train that contribute to the left-over thermal
energy (i.e., the summation over $(\# \text{ of pulses})$), becomes an
integration over time, i.e., $\sum \rightarrow \int v dt$

and from equation (8) and (9) we obtain the integral expression,

$$E_{tLO} = E_o \int (1 - X_{abl}/X_{diff}) v dt \quad (10)$$

Where the integration is carried out over the time interval
5 from $t=0$ to $t=t_{xo}$

Substituting the values for X_{diff} and X_{abl} from equation (1) and equation (2) and integrating, we obtain the general expression:

$$E_{tLO} = E_o v t_{xo} [1 - (2/3) a_r v (t_{xo}/k)^{1/2}] \quad (11)$$

10 Where a_r is the ablation rate per pulse (e.g., micrometer per pulse).

If, for example, the 1000 Hz system interacting with a water-like substance is considered, $t_{xo}=1$ second and equation (11) is

15 reduced to,

$$E_{tLO} = E_o v [1 - (2/3) a_r v (1/k)^{1/2}] \quad (12)$$

In general t_{xo} for a given system can be found by setting $X_{ab}=X_{diff}$ which yields

$$t_{xo} = k / (a_r v)^2 \quad (13)$$

20 substituting (13) in (11) we obtain,

$$E_{tLO} = (1/3) E_o (k/v) 1/a_r^2 \quad (14)$$

002080" 66T2E960

Note that by dividing and multiplying by δ^2/δ^2 . Equation 14 can also be written as:

$$E_{tlo} = (1/3) E_0 (k/v) 1/f^2 \quad (15)$$

Where:

5 $f = a_r/\delta$

the ratio of ablation rate to optical deposition depth.

Equation 14 provides some important insight regarding the amount of left-over energy in the tissue and its relation to the important parameters E_0 , k , v and the
10 ablation rate a_r (or the ratio of ablation depth per pulse to optical deposition depth, f).

From equation (14) it can be seen that the total left-over energy E_{tlo} :

- Increases with the incident pulse energy E_0
- 15 • Increases with increased thermal diffusivity k
(since more energy is able to escape ablative removal by being conducted to deeper layers of the material).
- Drops with increase pulse repetition rates are able to catch up and ablate more material.

20 (Note however that from equation (8), for small values of t_{xo} , small values of a_r or large values of k , E_{tlo} will initially increase with v and only later, after reaching a maximum value of left-over energy will begin to decrease again with increasing pulse
25 repetition rates, v . A more detailed discussion of the dependence of E_{tlo} on the laser pulse repetition rate is provided below.)

- Drops with increased ablation rate per pulse, a_r , (or f) as the square of these quantities.
- 30 (Again, this makes sense since a larger ablation per pulse leave less residual energy in the material and thus the smaller E_{tlo} is.)

Another interesting observation is that from equation

002080" 65T2E960

(11) the ratio of the total left-over energy to the total incident energy impinging on the sample between the time t_{xo} prior to the end of the pulse train and the end of the pulse train is a constant and is equal to 1/3. This is so because the Total incident pulse energy for this interval of time is $E_{inc} = E_0 \nu t_{xo}$.

Thus, the ratio between the total left-over energy and incident energy becomes, after substituting E_{tlo} from the equation (11) and t_{xo} from (13):

$$E_{tlo}/E_{inc} = 1/3 \quad (16)$$

10

It is also interesting to note that if the source's pulse sequence is applied to a time interval t shorter than the cross-over time for a given set of laser parameters, (i.e., $t < t_{xo}$) then obviously the value of t_{xo} from equation 9 cannot be used, and the ratio R of left-over residual energy to that of Total Incident Energy is given by:

15

$$R = \frac{E_{tlo}}{E_{inc}} = [1 - (2/3) a_r \nu (t/k)^{1/2}] \quad (17)$$

20

This is an important expression because it describes the ratio of left-over energy to incident energy for ALL interactions where the interaction time is less than the Cross-over time and thus all interactions where complete ablative removal of the heat from ANY of the incoming pulses is not achieved.

25

Again, analysis of the ratio of equation (17) shows that the ratio, R ,

- Decreases with ν

This is interesting because the ratio R , unlike E_{tlo} , decreases with increasing pulse repetition rate and does

002030" 65422960

not show a maximum. This behavior is so because while the total incident energy increases with frequency, the total left-over energy decreases with as more ablation pulses are packed into each time interval.

- 5 • Decreases with a_r and f .

The larger the amount of material removed with each pulse, the more heated material that is removed and the less left-over energy remaining in the material.

- Decreases with the square root of the time ($t^{1/2}$) the pulse train is interacting.

The longer the ablation interaction continues the more heated material (and its content of thermal energy) is removed.

- Increases with one over the square root of the thermal diffusivity k .

Again, with a larger thermal diffusivity, a larger portion of the left-over residual energy remaining after each pulse is able to diffuse to deeper regions of the material and the less likely this energy is to be removed through ablative interaction with subsequent pulses. Three other important observations are:

- We also see from equation (17) that for all cases where t_{x_0} has been reached, t_{x_0} can be substituted and R is equal to 1/3 ($R=1/3$) or, put differently, about 33.3% of the incident energy arriving between the last pulse M and pulse $(M - v t_{x_0})$ is left in the material as residual energy.
- R is independent of a_r (and f), if t_{x_0} has been reached. In this case, the ratio R of the left-over energy to the incident energy is constant and equal 1/3 regardless of the amount of material removed with each pulse and the ratio of this amount to that of the optical deposition depth.

35 This point is important because it clearly demonstrates that once a high pulse repetition rate

ablation is allowed to go on for a sufficiently long period of time so that t_{xo} is reached, the control of the residual heat in the material is dominated by the total removal of most of the interaction energy by the rapid sequence of pulse themselves.

- Finally, it is also important to note that if v is such that the cross-over is not reached, a_r (and f) do play a role in the determining the amount of left-over thermal energy.

Ultimately, however, it is not the ratio R that is of most importance in determining the amount of thermal damage that can be caused, but rather, the absolute value of the total left over energy, left in the tissue following an interaction of a pulse train. This expression was given by equation (11) for a general time $t < t_{xo}$.

$$E_{tlo} = E_0 v t [1 - (2/3) a_r v (t/k)^{1/2}] \quad (18)$$

This expression clearly indicates the presence of a maximum E_{tlo} as the pulse repetition rate v is varied. This is so because there are two competing effects to the pulse repetition rate v . First, E_{tlo} is increased with the increase in the deposited energy which increased with v . On the other hand, two: as the pulse repetition rate v is increased so does the removal rate due to the ablation term (second term) in equation (18).

To find the maximum we differentiate E_{tlo} with respect to v ,

$$d(E_{tlo})/dv = E_0 t [1 - (4/3) a_r v (t/k)^{1/2}]$$

A

When the pulse repetition rate is very low i.e., $v \rightarrow 0$
Then from (18) $E_{tlo} \rightarrow 0$ as well [More precisely E_{tlo} is
simply equal to the incident energy deposition rate which
is:

$$\epsilon_0 (A (\delta - a_r)) v$$

With ϵ_0 being the incident energy density Per Pulse.
Obviously $E_{tlo} = \epsilon_0 A (\delta - a_r)$ per second for 1Hz and E_{tlo} goes to
zero as v goes to zero].

B

By setting the derivative (19) equal to zero, we find the
frequency for which maximum left-over energy occurs.

$$v_{max} = (3/4) (1/a_r) (k/t)^{1/2} \quad (20)$$

Substituting back in (8) yields

$$\begin{aligned} (E_{tlo})_{max} &= (3/8) E_0 (tk/a_r^2)^{1/2} \\ &= (3/8) E_0 t v_0 \\ &= (3/8) E_{inc} \end{aligned} \quad (21)$$

Also we note that when:

C

$$v = 3/(2a_r) (k/t)^{1/2} \quad (22)$$

$$E_{tlo} = 0 \quad (23)$$

Ci

If we are evaluating the above at $t = t_{xo}$ for some frequency ν_0 then, by the definition of t_{xo} ($\nu_0 = (1/a_r)(k/t_{xo})^{1/2}$) equation 22 becomes:

$$\nu = 3/2 \nu_0 \quad (24)$$

5

and,

$$E_{t1o} = 0 \quad (25)$$

In other words:

10

Since for a time selected for evaluation, t_0 there is a corresponding frequency ν_0 for t_0 which is the cross-over time, operating at a frequency given by $(3\nu_0/2)$ will result in no residual thermal energy deposition leftover in the material.

15

We can also rewrite case B in terms of $\nu_0 = (1/a_r)(k/t_0)^{1/2}$ since equation (20) can be expressed as:

Bi

$$\nu_{max} = (3/4) \nu_0 \quad (26)$$

So that equation (8) yields

20

$$\begin{aligned} E_{t1o} \text{ Max} &= (3/8) E_0 (tk/a_r^2)^{1/2} \\ &= (3/8) E_0 t \nu_0 \\ &= (3/8) E_{inc} @ \text{crossOver} \end{aligned} \quad (27)$$

Finally when ν is exactly equal to ν_0 then the time is precisely the crossOver time and (recall from earlier discussion),

D

$$v = v_0 \quad (28)$$

So that equation (18) yields

$$E_{tlo} = (1/3) E_0 v_0 t \quad (29)$$

5 Or

$$E_{tlo} = 1/3 E_{inc} \quad (30)$$

@ cross-over time t_{xo}

The dependence of E_{tlo} on the pulse repetition rate v is illustrated in Figure 13.

10 From Figure 13 and from

$$\begin{aligned} E_{inc} &= E_0 v_0 t \\ v_0 &= (1/a_r) (k/t)^{1/2} \end{aligned}$$

We see that both E_{inc} and v_0 are proportional to $1/a_r$.

15 Thus the larger a_r is the lower E_{tlo} is and the lower the frequency $v_{max} = (3/4) v_0$ at which the maximum total left-over energy, E_{tlo} occurs. (i.e., the entire curve in the figure above shift to the left and to the bottom)

Typical numbers for a 1 second treatment with a 1 KHz, 1 mJ system, would be:

$$\begin{aligned} 20 \quad E_{inc} &= E_0 v_0 t = \\ &= (1 \text{ mJ}) (1000 \text{ pulses/sec}) (1 \text{ sec}) = 1 \text{ J} \\ &= (E_{inc} = 1 \text{ J}) \end{aligned}$$

which yield a maximum left-over energy of only

$$E_{tlo-max} = 0.33 \text{ J} = 330 \text{ mJ}$$

$$25 \quad \text{at } v = 750 \text{ Hz}$$

Since the actual amount of per-pulse energy transformed to heat is only 10% to 30%, the total left-over

002089-66T2950

energy in the target is in the range of 30 to 100 mJ per interaction. Also note that because of the self-heat removal described by the principle of operation of the present invention - this total amount of left-over energy is constant regardless of the length of overall operation time. This feature is a unique characteristic of the operation of the present invention.

QUASI-DYNAMIC RELATIONSHIP BETWEEN THE ABLATION FRONT AND THE THERMAL DIFFUSION FRONT

As we saw from the discussion above, all of the thermal energy deposited in the target material prior to the last cross-over time interval t_{xo} , is completely removed from the material by subsequent pulse ablation. An interesting question however, is how much ahead of the ablation front can the thermal energy from each pulse get?

The answer is given again by Figure 5a and 11. For each pulse, the distance "diffusion front to ablation front" is given by:

$$\Delta X = X_{diff} - X_{abl} = (K^{1/2} t^{1/2} - (a_r v) t) \quad (31)$$

Up to the point where ΔX becomes negative (i.e., when all heat is removed by the ablation front).

If we wish to find the maximum distance ΔX as a function of time we simply take the derivative with respect to time then:

$$d(\Delta X)/dt = 1/2 (K^{1/2} / t^{1/2}) - (a_r v) \quad (32)$$

Which gives a value of:

$$t_{max} = K / (2a_r v)^2 = t_{xo} / 4 \quad (33)$$

i.e., the maximum distance that the thermal energy diffusion front gets ahead of the ablation front occurs at

0022780" 6T2E265

one fourth of the cross-over-time.

Substituting this in the expression for ΔX above gives:

$$\Delta X_{\max} = k/4 (a_r v) \quad (34)$$

5 For our exemplary 1 KHz 1 μm /pulse water-like system this means about 40 μm ahead of the ablation front.

$$\Delta X_{\max} \sim 40 \mu\text{m}$$

For a 100 KHz system:

$$\Delta X_{\max} \sim 0.4 \mu\text{m}$$

10 A 100 Hz system on the other hand will result in a distance of 400 μm

For a 10Hz system:

$$\Delta X_{\max} \sim 4 \text{ mm}$$

15 While a 10Hz system with ablation rate of 10 μm per pulse will yield $\Delta X_{\max} \sim 0.4 \text{ mm}$

20 The calculation above also holds for the distance that each one of the last $M - vt_{x_0}$ pulses has reached ahead of the ablation front when the system is turned off. The time t in equations 31-34 above simply corresponds to pulse number $M - tv$ where M is the last pulse in the pulse train.

Thus, the pulse whose heat has diffused the furthestmost from the ablation front when the laser is turned off is given by pulse number: $M - t_{\max} v$

25 Where M is the last pulse and t_{\max} is given by equation (33).

A more elaborate way for describing the interaction is provided by the additional discussion below:

A) A SINGLE PULSE INTERACTION:

30 Light is deposited within an optical deposition zone, δ . Note, however, that the optical deposition zone changes with Wavelength, material type, and the light intensity. It is, for example, different for low intensity pulses as compared to high intensity pulse. δ may also change within

002080"06T22E40

a single pulse as the leading edge of the pulse modifying the optical properties of the target material.

Depending on how the incident pulse optical energy E_0 is deposited in the material (i.e., the optical energy distribution and coupling to the matter as a function of time and spatial location), some of the material will be ejected (e.g., to a depth $X = a_r$) and some will be permanently modified, (e.g., to a depth X_{ir}) while the rest of the material will either not be irreversibly modified or may be unaffected by the incident energy at all.

The exact interaction path may be thermally dominated (e.g., vaporization or rapid vaporization of matter and water, leading to explosive ejection of material), mechanically dominated (generation of shock wave, mechanical transient, or spallation), chemical alteration of the material (changes in chemical properties of the target matter are effected), or plasma-mediated (e.g., either multiphoton ionization or thermo-ionization of the material and the material and the creation of an ion/electron plasma which, in turn, alter pulse energy coupling, reflection, or transmission into the material).

The complex dependence of δ , and a_r both static and dynamic properties of the beam and targeted material can, according to this invention, be simplified. Using experimental technique one can observe the ablation rate a_r (i.e., the amount of ablated material per pulse), the depth of the zone of irreversible modification (created by a single pulse), optical deposition depth δ .

If a_r and δ are known, the mount of energy left by a single pulse, E_{lopp} , can then be estimated:

$$E_{lopp} = E_0(1 - a_r / \delta) \quad (35)$$

Where E_0 is the incident energy of each pulse. The amount of energy left over in the tissue after each single

002030-06T2960

pulse is proportional to a_r/δ . Thus, as a_r/δ is diminished (either because a_r becomes larger or because δ becomes smaller) E_{lopp} diminishes and becomes smaller as well.

5 The optical energy in the pulse-initially deposited in the optical deposition zone δ , begins to diffuse deeper into the tissue with a characteristics diffusion rate given by:

$$dZ/dt = (k/t)^{1/2} \quad (36)$$

10

where k is the material thermal diffusivity coefficient (for example, for water, $k=1.4 \cdot 10^{-7} \text{ m}^2/\text{sec}$).

15 Depending on the pulse duration, the thermal diffusion into the material will take place either already during the pulse (during the optical deposition time) or mostly after the optical energy deposition has been coupled.

If the pulse duration τ is such that:

$$\tau < \delta^2/k \quad (37)$$

20 when heat does not diffuse out of the optical deposition zone during the pulse duration and the optical deposition process is known as "thermally confined".

25 For a dielectric with water-like thermal diffusivity, $k = 1.4 \cdot 10^{-7} \text{ m}^2/\text{sec}$ and thermal energy diffuses about a single μm within a μs time duration. If we consider pulses shorter than 100 microsecond the diffusion distance is only 10 micrometer. IN 10 ms, thermal energy diffusion is limited to 100 micrometer. For most practical situations the optical deposition is greater than at least 1 μm and more likely between 1 and several tens μm . Thus, for most
30 practical situations under consideration of this invention, heat does not substantially diffuse out of the optical zone

during the optical energy deposition cycle.

The situation can thus be approximated by the picture depicted in Figure 14 where the horizontal axis depicts the time axis and the vertical axis depict the depth to which pulse energy has reached (either through optical or through thermal diffusion).

Optical energy is deposited to an optical deposition depth δ , 505. The curved line 506 represents additional energy penetration due to thermal diffusion. The line 507 represents the propagation of the ablation front due to subsequent pulses removal of material. It shows that ultimately all the first pulse energy will be removed from the material.

We begin by calculating the time required for complete removal - by subsequent ablation pulses - of the entire amount of thermal energy deposited by the first pulse (or any arbitrarily selected pulse N in an incoming pulse train of M pulses).

The time, termed by the inventor cross-over time t_{xo} , is found by setting the distance reached by the thermal diffusion front, Z_{thrm} :

$$Z_{thrm} = \delta + (kt)^{1/2} \quad (38)$$

equal to the ablation front term:

$$Z_{abl} = a_r v t \quad (39)$$

Which leads to:

$$kt = (a_r v t - \delta)^2 \quad (40)$$

Which may be expanded to:

$$a_r^2 v^2 t^2 - (2 \delta v a_r - k) t + \delta^2 = 0 \quad (41)$$

5

Defining the coefficient in front of the t^2 term as α , and the one in front of the t -term as β we have a simple quadratic equation with a solution:

10

$$t_{1/2} = [-\beta \pm (\beta^2 - 4\alpha\delta^2)^{1/2}] / (2\alpha) \quad (42)$$

If we substitute α and β in Equation (42) we obtain:

$$\begin{aligned} t_{xo} = \\ t_{1/2} = & \quad (43) \\ & [(2a_r v \delta - k) / (2a_r^2 v^2)] \\ & [1 \pm [1 - (4 a_r^2 v^2 \delta^2) / (4a_r^2 v^2 \delta^2 - (4a_r v \delta k + k^2))]^{1/2}] \end{aligned}$$

15

Equation (43) shows that the cross over time, the time at which the progressing ablation front will completely eliminates the thermal energy deposited by a single pulse of energy, is a complex function of k , a_r , v and δ .

20

This complicated relation can be simplified if we consider the two extremes:

$$A) \quad (2 \delta v a_r) \ll k$$

(Thermal diffusion dominates the energy diffusion process)
(e.g., If the ablation per pulse is on the order of the

optical penetration which is on the order of a micrometer, and if with pulse repetition rate of about 1000 Hz, the term $(2 \delta v a_r)$ is on the order of 10^{-9} while k is on the order of 10^{-7} .)

5 In this case equation (43) reduces to the cross over times:

$$t_1 = k / (v a_r)^2 \quad (44)$$

10 and to the non-practical solution is $t_2 = 0$.

If on the other hand:

B) $(2 \delta v a_r) \gg k$

(for example if the optical penetration depth is on the order of a few millimeters or more)

15 Then, in this case the cross-over time is given by:

$$t_{1/2} \rightarrow t_1 = \delta / v a_r \quad (45)$$

B) Multiple-Pulse Effects:

20 An important contribution of the present invention is that after a time period equal to the cross-over time, t_{xo} , no thermal energy due to the pulse N under consideration remains in the target material. This is so due to the ablative removal by subsequent pulses.

25 Figure 14 shows the relationship between the thermal diffusion front due to pulse number N and the progressing ablation front due to subsequent pulses. The inventor has recognized that the same figure may also represent the amount of heated material left in the target after an energy deposition by each of the N pulses (between pulse L
30 and the last pulse M) due to the action of subsequent

002080" 66F2E960

remaining pulses M-N that follow each pulse N.

Figure 15 depicts the position of the thermal diffusion front (relative to the position of the original surface) for each pulse at the time of pulse train termination, and also the position of the ablation front (relative to the position of the original surface) for each pulse at the time of pulse train termination.

The total amount of left-over energy due to a pulse train of M pulses ($N = 1, 2, 3, \dots, L, \dots, M$. Where M is the last pulse in the pulse train, and L is the pulse arriving at a time $t=t_{xo}$ before the pulse train termination) can be calculated.

As was mentioned above the thermal energy due to the first pulses in the train, (if the pulse train total time duration, T is longer than t_{xo} , i.e., $T > t_{xo}$) will be ablated away. This is the case if these pulses occur at times t such that $T - t > t_{xo}$. (i.e., if these pulses interact with the target in times earlier than the cross over time t_{xo} prior to the end of the pulse train.

For the last few pulses (from pulse L to pulse M occurring at times t such that $T - t < t_{xo}$, the situation is different. These pulses do not have enough subsequent pulses behind them to have their own heat completely removed.

With the aid of Figure 15, we can estimate the amount of total energy left over by these last pulses, (which is also the total amount of left over thermal energy E_{tlo} , due to the entire pulse train.

$$E_{tlo} = \sum [E_{lopp}(1 - Z_{abl} / Z_{diff})] \quad (46)$$

Where the summation is carried over the last M-L pulses. Since the incremental number of pulses in the sum is given by $\# = v dt$ the summation can be written as an integral of the corresponding interval of time, i.e., the time from T

to $T=t_{xo}$.

Since the integration is invariant to the direction in time, we can simply integrate from $t = 0$ to $t = t_{xo}$.

Equation (46) thus becomes:

$$E_{tlo} = v E_{pp} \int_0^{t_{xo}} [1 - [tv a_r / (\delta + (tk)^{1/2})] dt \quad (47)$$

5

Where the integration is from $t = 0$ to $t = t_{xo}$.

The integral (47) can be executed in parts leading to the following expression:

$$E_{tlo} = t_{xo} v E_{pp} - v^2 E_{pp} a_r \int_0^{t_{xo}} t dt / (\delta + (tk)^{1/2}) \quad (48)$$

10 With the same limits of integration as in (47)

The integral in equation (48) is an indefinite integral and can be performed using Equation 19 on page 929 in the tables of indefinite integral given by Korn and Korn: It is given by:

$$E_{tlo} = t_{xo} v E_{pp} [1 - v^2 a_r (1/t_{xo}) (\zeta)] \quad (49)$$

15

Where:

$$\zeta = (1/k^2) [Y^3/3 + (3/2)\delta Y^2 + (3\delta^2 Y) - \delta^3 \ln Y] - \delta^3 (29/6 - \ln \delta) / k^2 \quad (50)$$

and where

$$Y = \delta + (kt_{xo})^{1/2}$$

20

Equation (49) is the general expression for the left-over energy E_{tlo} .

Considering the limiting cases can simplify equation (48):

25 Limit i) THERMAL CONDUCTION DOMINATES ENERGY PROPAGATION
 $\delta/t \ll (k/t)^{1/2}$

002080-66T2E950

In this case Equation (48) yields:

$$E_{tlo} = E_{lopp} k / (3v a_r^2) = E_{inc}/3 \quad (51)$$

Where:

$$E_{inc} = E_{lopp} t_{xo} v$$

5 In this limit the cross over time is given by:

$$t_{xo} = k / (a_r^2 v^2)$$

In The Other Limit:

10 Limit ii) OPTICAL DEPOSITION DOMINATES ENERGY PROPAGATION

$$\delta/T \ll (k/t)^{1/2}$$

In this case equation 48 becomes:

$$E_{tlo} = t_{xo} E_{lopp} v [1 - v a_r t_{xo} / (2\delta)] = E_{inc}/2 \quad (52)$$

Where:

15 $E_{inc} = E_{lopp} t_{xo} v$

In this limits the cross over time as given by:

$$t_{xo} = \delta / (a_r v)$$

20 C) Left-Over Energy And Damage Zones Due To Multiple Pulse Action.

Table 1 summarizes the cross-over time t_{xo} , the thermal diffusion depth corresponding to t_{xo} , and the total incident energy, total left over thermal energy and the realistic values for E_{tlo} (since only about 10-30% of the incident energy couples to the target material) - during t_{xo} . Finally the table also shows the expected depth of zones of irreversible damage.

30 The following system parameters are assumed for the example in table 1: Optical penetration depth, $\delta = 1 \mu m$, ablation depth per pulse $a_r = 1 \mu m$ thermal diffusivity, $k=1.4$

000000-000000

$10^{-7} \text{ m}^2/\text{sec}$, and the incident energy per pulse E_{inc} is assumed at 1 mJ.

Table 1.

v	t_{x0} (Sec)	$(tk)^{1/2}$ (m)	E_{rc} (mJ)	E_{x0} (mJ)	E_{10} -realistic (only 10% coupled)	Z_{dang} 0.1 mJ/ μm
100	10	1.2 E-3	1000 Diffusion Dominates	330	33	330 μm
300	1	4 E-4	300	100	10	100
1 KHz	0.1	E-4	100	33	3.3	33
3000	0.01	4 E-5	30	10	1	10
10KHz	0.001	E-5	10	3.3	0.3	3
30,000 Hz	10^{-4}	4 E-6	3 δ -Zdiff "optical deposition dominates"	1	0.1	1
100KHz	10^{-5}	E-6	1	0.3	0.03	0.3

In the above a damage energy threshold of approximately 0.1 mJ per μm was assumed.

Thus, for optical deposition depth δ of 1 micrometer one need not worry about δ in the expression for E_{t10} until a pulse repetition rate of over 10KHz.

For this regime $\delta \ll (tk)^{1/2}$

and $t_{x0} = k/(ar v)^2$

and $E_{t10} = k E_0 / (3 a_r^2 v) = E_{\text{inc}}/3$

For the regime $\delta \gg (tk)^{1/2}$

$t_{x0} = \delta/(ar v)$

$E_{t10} = \delta E_0 / (2 a_r) = E_{\text{inc}}/2$

at 100 KHz, $\delta \sim 1 \mu\text{m}$, the left-over energy is approximately 0.5 mJ, which corresponds to Z_{damage} of about 5 μm .

It is worth noting that at 1 KHz the total left over energy is 33 mJ (regardless of how long the laser has been

on. This should be contrasted, for example, with conventional Nd, Ho, or Er:YAG lasers with pulse energy on the order of 300 mJ per single pulse. (i.e., this KHz system E_{tlo} is only 1% of these lasers.)

5

D) Combined Effect Due To High a_r/δ Ratio And High PRR

i) Thermal Conduction Dominates Energy Propagation

$$\delta/T \ll (K/T)^{1/2}$$

10 Here E_{tlo} becomes:

$$E_{tlo} = (1-a_r/\delta) E_0 k / (3v a_r^2) = (1/3) E_{inc} (1-a_r/\delta) \quad (53)$$

Where E_0 is the per-pulse incident energy

or

$$E_{tlo} = E_0 k / (3v a_r^2) - a_r / E_0 k / (3v \delta a_r) \quad (54)$$

15

Thus, from equation (54) if a_r approaches δ then the left-over energy (regardless of the pulse repetition rate) becomes negligible.

Also, if v becomes very large then the left over energy becomes negligible.

Thus, if either, a_r appreciates δ or v becomes very large, then the total left-over energy becomes negligible.

On the other hand, if a_r is very small or k is very large then the E_{tlo} becomes larger.

25

i.e., if

$$a_r \rightarrow 0$$

$$\text{or } k \rightarrow \text{infinity}$$

then

$$E_{tlo} \rightarrow \text{infinity}$$

30

ii) Optical Deposition Dominates Energy Propagation

$$\delta/t \gg (k/t)^{1/2}$$

002080* 66TCE950

$$E_{t_{lo}} = (1/2) \delta E_0 / a_r - (1/2) E_0$$

thus,

if $a_r \rightarrow \delta$

$$E_{t_{lo}} \rightarrow 0$$

5 But if

$$\delta \gg a_r$$

Then

$$E_{t_{lo}} \rightarrow (1/2) E_0 \delta / a_r$$

10 This means that in cases where optical penetration is very large (e.g., A_r^+ ion laser of \sim/cm) and ablation is small (e.g. $\sim/\mu m$) (then the ratio (a_r/δ) is on the order of 10^{-4} , and the total left-over energy is $E_{t_{lo}} = (1/2) v t_{xo} E_0 (1 - a_r/\delta)$,

which approaches:

15 $E_{t_{lo}} \rightarrow (1/2) v t_{xo} E_0 = (1/2) E_{inc}$

This is reasonable since only negligible ablative removal takes place.

As became clear from the above, part of the practice of the present invention is based on the requirement of
20 removal of portion of the pulse energy by subsequent pulses. It is, therefore, important in the practice of the present invention to identify failure of at least some of the pulses to accomplish such ablative removal of heat so that the number of pulses may be reduced until operating
25 parameters allow ablative removal of heat to be restored.

To identify an ablative event by a an electromagnetic pulse at least some luminescence emission is collected from the interaction site and delivered to a detector which then compare the emission intensity and spectral content to a
30 predetermined reference characteristic ablative emission.

Figure 16a shows a typical emission spectrum from an ablative interaction followed by the formation of plasma. While the peaks 1604 are characteristic to the exemplary type of tissue ablated (Dentin) and to the Calcium atoms
35 and ions generated by this interaction, the broad spectral

002080 66T22960 09632199 080300

continuum 1606 is a general characteristic of all ablation-induced plasmas and is indicative of ablative interaction. For comparison, the luminescence emission from ablative interaction of 193nm beam with corneal tissue is also shown
5 in Figure 16b. While in this case, the characteristic tissue-specific Calcium peaks are absent and instead a single OH⁺ peak at 660 nm is the main dominating spectral structure, as was indicated above, the background emission is always present. In the absence of ablative interaction,
10 no background emission can be detected.

Figure 17 shows a typical collection and diagnostic setup with feedback means for monitoring ablation and controlling the Electromagnetic beam source. A source of electromagnetic radiation conforming with the principles of
15 operation of the present invention (for example a pulsed laser source) 1702, emits a beam which is directed to a Pockels cell 1704. The Pockels cells acts as a shutter in response to instructions from the controller/computer 1714. In its normal operating condition the beam would be allowed
20 to propagate through a beam splitter 1706 and into a focusing lens 1708. The focusing lens send the beam either directly into the target 1712 or through delivery fiber or hollow wave guide 1710 to the target 1712. Following the ablative interaction, luminescence emission from the
25 ablated target site is collected by the same delivery system (for example, the fiber and imaging lens). The collected emission is then reflected by the beam splitter 1706 to the diagnostic / feedback / controller unit 1714. The unit 1714 consists for example, of a detector to detect
30 the intensity level of the collected luminescence emission (or, alternatively, of a spectrometer to detect and evaluate both intensity and spectral distribution of the collected luminescence emission), of a computer processor to analyze and compare the collected radiation to that
35 expected from ablation luminescence emission, and finally,

002030" 66T2E960

means to feedback a signal to the control unit of the beam source so that adjustment to the source pulse repetition rate may be made.

5 If the diagnostic unit 1714 does not detect
sufficiently high level of luminescence emission, the feed
back circuit instructs the source control unit to slow the
pulse rate to 10 pulses per second (or any exemplary X
pulses per second pulse repetition rate, sufficiently low
to avoid significant deposit of energy within the "linear"
10 interaction regime). This process is shown in figure 18:
here, the operating pulse repetition rate of Y Pulses per
second is shown in 1810. The luminescence emission level
corresponding to ablative interaction is shown for pulse
number 1 through N-1 in 1812. When a decrease in
15 luminescence emission level is detected for pulse N and N+1
in 1812, the corresponding pulse repetition rate is
automatically changed to lower rate of X Pulses per second
as shown in 1814. The lower repetition rate must be
sufficiently low to bring non-ablative thermal deposition
20 to a fraction of the value for threshold of irreversible
material damage. Meanwhile, luminescence emission is
continued to be monitored while the laser parameters are
changed (either automatically through computer control or
through actions taken by the operator). When Luminescence
25 emission is restored following the action of pulse M as
shown in 1816, the pulse repetition rate is restored to its
original frequency as shown in 1814.

Ablative interaction is restored through one of the following adjustments:

- 30 • Increasing pulse energy or beam power
- Decreasing spot size (e.g. optically, or by
moving the fiber/HWG/delivery arm etc. closer or
further away from the target).
- Decreasing time scale
- 35 • Changing wavelength (e.g. through OPO/OPA

002080"06T0950

nonlinear crystal insertion) to a more absorbing wavelength.

Alternatively, in the practice of the present invention and the use of an ablation detection feedback, the luminescence emission signal may be replaced by a transducer detector for detecting the presence of a mechanical recoil momentum, shock waves, thermoelastic stresses or any other transient mechanical or thermal effects which uniquely characterize an ablative interaction of the beam with the targeted material. Such a transducer feedback means may, in a manner similar to that described above, further provide a control signal in response to a change in the transducer detector output signal so that the electromagnetic source pulse repetition rate may be slowed down to an interrogative pulse repetition rate (or operation may be completely terminated) in response to such a feedback signal.

Such a transducer feedback means carrying a response signal which is a consequence of phenomena which occur only during an ablative event (such as, mechanical recoil momentum, shock waves, thermoelastic stresses or any other transient mechanical or thermal effects caused by the ablative interaction of the beam with the targeted material) shall further provide a control signal. In response to such changes in the transducer detector output, the electromagnetic source pulse repetition rate may be slowed down or operation may be completely terminated.

Those skilled in the art will appreciate that the foregoing examples and descriptions of various preferred embodiments of the present invention are merely illustrative of the invention as a whole, and that variations in wavelength, pulse duration, pulse repetition rate, as well as beam energy density, may be made within the spirit and scope of the invention. Accordingly, the present invention is not limited to the specific

002080-66722960

5

10

15

25

30

d) wherein ablating the material with directed energy defined according to step (b) minimizes undesirable permanent modification of the material.

3. The method as recited in Claim 2, wherein the
35 directed energy comprises laser radiation.

4. The method as recited in Claim 2 wherein the directed energy comprises light from at least one of an LED, a fluorescent lamp, and/or incandescent lamp.

5. The method as recited in Claim 2 where the directed energy comprises incandescent light.

6. The method as recited in Claim 2, wherein the step of determining at least one characteristic of the material being ablated comprises:

a) ablating the material with a pulse of the directed energy;

b) determining the approximate quantity of the material ablated; and

c) determining the approximate quantity of the material permanently modified.

7. The method as recited in Claim 2 wherein the characteristic(s) of the material determined comprise at least one of the thermal conductivity, effective electromagnetic energy penetration depth, material energy gap between valence and conduction bands, material density, material strength.

8. A method for ablating a material, the method comprising the steps of:

a) directing a plurality of pulses of energy at the material so as to ablate a quantity of the material and so as to permanently modify a quantity of the material, the pulses having a sufficient pulse rate as to increase a ratio of the quantity of the material which is ablated thereby with respect to the quantity of the material which is permanently modified thereby;

b) wherein ablating the material with a plurality of directed energy pulses having a sufficient pulse rate as to increase a ratio of the quantity of the material which is ablated thereby with respect to the quantity of material which is

002080-6572960

permanently modified thereby minimizes undesirable permanent modification of the material.

9. A method for ablating material with directed energy, the method comprising the steps of:

5 a) determining at least one characteristic of the material to be ablated;

 b) defining a pulse rate of the directed energy which increases a ratio of a quantity of the material which will be ablated thereby with respect to the quantity of the material which will be permanently modified thereby, the characteristic(s) of the material determined in step (a) at least partially defining the pulse rate; and

10

 c) ablating the material with a plurality of directed energy pulses which are defined according to step (b);

15

 d) wherein ablating the material with a plurality of directed energy pulses defined according to step (b) minimizes undesirable permanent modification of the material.

20

10. A method for ablating material with directed energy, the method comprising the steps of:

 a) determining at least one characteristic of the material to be ablated;

25

 b) defining both a pulse of the directed energy and a pulse rate of the directed energy, the combination of which increases a ratio of a quantity of the material which will be ablated thereby with respect to a quantity of the material which will be permanently modified thereby, the characteristic(s) of the material determined in step (a) at least partially defining the pulse; and

30

 c) ablating the material with at least one pulse of directed energy which is defined according to step (b);

35

002030 66T2E960

11. A method for enhancing precision and volume of
5 material removed per unit time via laser ablation while
mitigating undesirable damage caused thereby, the method
comprising the steps of:

b) wherein removing a substantial amount of the energy absorbed by the material minimizes residual energy deposition while ablating, so as to mitigate collateral thermal damage to the material.

13. The method as recited in Claim 11, wherein the step of ablating material comprises ablating material using a laser having a sufficiently high pulse repetition rate to cause a substantial amount of energy absorbed by the material to subsequently be removed therefrom with ejected material.

15. The method as recited in Claim 11, wherein the
35 step of ablating material using a laser comprises defining

characteristics of a laser beam pulse based upon properties of the material so as to provide a plasma, the plasma being generated by at least one of multiphoton ionization and thermal ionization, the plasma effecting an electromagnetic energy deposition depth which is approximate to a depth of the material removed by the pulse.

16. The method as recited in Claim 11, further comprising the step of adding doping agents to the material being ablated, the doping agents causing the laser to provide an electromagnetic energy deposition depth which is approximately equal to a depth of the material removed by a laser pulse.

17. A method for a high precision, highly controllable, variable rate, material removal by a pulsed electromagnetic radiation beam, the interaction between the pulsed electromagnetic radiation beam and the material effecting a material removal depth approximately of the same order magnitude as the energy deposition depth within the material, the method comprising the steps of:

a) providing an electromagnetic radiation source capable of generating an output beam comprised of a sequence of electromagnetic pulses, each having a pulse duration in the range of approximately 1 femtosecond to approximately 10 milliseconds;

b) operating the source and manipulating the beam parameters so that the electromagnetic pulses' power densities within the region targeted for energy deposition is in the range of approximately 10^5 W/cm³ to approximately 10^{18} W/cm³ and is larger than the power density threshold for material ablation;

c) ablating the material with electromagnetic energy from the source so that a substantial portion of deposited electromagnetic energy is removed from the target material with an ejected portion of the material;

d) repeating the ablation of the material at a pulse repetition rate greater than 0.1 pulses per second so that a substantial portion of the cumulative residual thermal energy left in the material by the electromagnetic energy is removed by ablation, and at a pulse repetition rate smaller than about 1,000,000 pulses per second, until a desired depth of material has been removed.

18. The method of Claim 17, wherein the electromagnetic beam's energy deposition depth within the material defines a volume so that the power density within the volume is greater than the threshold power density for material ablation.

19. The method of Claim 18, wherein the pulsed electromagnetic radiation source produces an output beam having a wavelength in the range of approximately 10 nanometers to approximately 1,000 micrometers.

20. The method of Claim 19, wherein each pulse of the pulsed source has an energy in the range of approximately 0.001 microjoule to approximately 50 Joule, and the output beam has a diameter at the material target such that the target material experience an energy fluence in the range of approximately 0.0001 Joule per square centimeter to approximately 100 Joule per square centimeter.

21. The method of Claim 20, wherein the pulsed beam exhibits a material removal rate in the range of approximately 0.01 micrometers to approximately 100,000 micrometers per pulse, the removal rate being substantially constant.

22. A method for precise, highly controlled, variable rate material removal by a pulsed electromagnetic radiation beam, the interaction between the pulsed electromagnetic radiation beam and the material effecting the formation of plasma, the method comprising the steps of:

a) providing a source capable of generating an

002080" 66T2E960

electromagnetic energy deposited in the material is removed with the material ejected.

25. The method of Claim 24, wherein the pulsed electromagnetic energy source produces an output beam having a wavelength in the range of from 10 nanometers to 50 micrometers.

26. The method of Claim 25, wherein each pulse of the pulsed source has an energy in the range of from approximately 0.001 microjoule to approximately 100 Joule, the output beam having a diameter at the material target such that the material experiences an energy fluence in the range from approximately 0.001 to approximately 100 Joule per square centimeter.

27. The method of Claim 26, wherein the pulsed beam
15 exhibits a material removal rate in the range of from
approximately 0.01 to approximately 100 micrometers per
pulse, the removal rate being substantially constant
without regard to material chromophore, material hardness
or material state.

20 28. A method for ablating target material below a
surface layer without ablating the surface layer, wherein
the target material is substantially transparent to the
linear propagation of the electromagnetic pulses comprises
focusing the beam below the surface of the target material
25 so that the beam intensity exceeds plasma formation
threshold only at approximately the point of focus and the
material is substantially removed at that desired point
below the surface.

29. A method for a controlled variable rate material
30 removal by a pulsed electromagnetic radiation beam, the
interaction between the pulsed electromagnetic radiation
beam and the material so that a removal depth is
approximately of the same order of magnitude as the energy
deposition depth within the target material, and the
35 formation of plasma, the method comprising:

a) providing a source capable of generating an output beam comprised of a sequence of electromagnetic pulses, each electromagnetic pulse having a pulse duration in the range of approximately 1 femtosecond to approximately 10 millisecond;

b) operating the pulse source and manipulating the beam parameters so that the electromagnetic pulses' peak intensity is in the range of approximately 10 W/cm^2 to approximately 10^{16} W/cm^2 and adding to the target material absorption or scattering centers, defects, highly absorbing or highly scattering components, so that the electromagnetic radiation is substantially confined to a volume to be modified.

30. The method of Claim 29 further comprising the step of allowing the electromagnetic energy absorbed by the material to complete the material disintegration and explosive ejection of the targeted material deposition volume, so that a substantial portion of the deposited energy is removed from the target material with the ejected portion of the material.

31. The method of Claim 30 further comprising the step of operating the pulse source at a pulse repetition rate greater than approximately 0.1 pulses per second and smaller than approximately 500,000 pulses per second until a desired depth of material has been removed.

32. The method of Claim 29 wherein plasma is formed and expanded, substantially preventing excess pulse energy from directly reaching the material and so that excess pulse energy does not substantially effect ablation depth.

33. The method of Claim 32, wherein the plasma is allowed to decay such that a layer of the material is removed and substantially most of the electromagnetic radiation pulse energy deposited in the material is removed with the layer.

changes to optical properties, thermal properties, chemical and physical breakdown, disintegration, ablation, melting and vaporization;

5 d) operating the pulse source at a pulse repetition rate greater than 0.1 pulses per second until a desired volume of the material has been modified.

10 36. The method of Claim 35, wherein the target material is substantially transparent to linear beam propagation and threshold volumetric power density is achieved at a desired target location below the material surface and within the material volume.

15 37. The method of Claim 36, wherein scattering and/or absorption centers, defects, or highly absorbing components, are added to the target material with spatial and/or temporal selectivity to specific, predetermined locations within the target material.

20 38. The method of Claim 37, wherein the pulsed beam exhibits a material modification rate in the range of from approximately 0.01^3 micrometers per pulse to approximately $100,000^3$ cubic micrometers per pulse, the modification rate being substantially constant depending substantially on the volumetric power density threshold characteristics of the material and on the target-beam characteristics.

25 39. A device for ablating a material, the device comprising:

a) an energy radiating device for providing a pulse of energy; and

30 b) a controller for controlling the energy radiating device, the controller configuring the pulse directed therefrom so as to increase a ratio of the quantity of the material which is ablated thereby with respect to the quantity of the material which is permanently modified thereby;

35 c) wherein ablating the material with a

002080" 6672360

directed energy pulse configured to increase a ratio of the quantity of the material which is ablated thereby with respect to the quantity of the material which is permanently modified thereby minimizes undesirable modification of the material.

40. A device for ablating material with directed energy, the device comprising:

a) a sensor for determining at least one characteristic of the material to be ablated;

b) an energy radiating device for directing a pulse of energy at the material; and

c) a controller for controlling the energy radiating device, the controller configuring the pulse directed therefrom so as to increase a ratio of the quantity of the material which will be ablated thereby with respect to the quantity of the material which will be permanently modified thereby;

d) wherein configuring the pulse directed from the energy radiating device so as to increase a ratio of the quantity of the material which will be ablated thereby with respect to the quantity of the material which will be permanently modified thereby minimizes undesirable permanent modification of the material.

41. The device as recited in Claim 40, wherein the energy radiating device comprises laser radiation.

42. The device as recited in Claim 40 wherein the energy radiation device comprises at least one of a LED, a florescent lamp, and an incandescent lamp.

43. The deice as recited in Claim 40 wherein the energy radiating device comprises a source of incoherent light.

44. A device for ablating a material, the device comprising:

a) an energy radiating device for directing a plurality of pulses of energy at the material, the

pulses having a sufficient pulse rate so as to increase a ratio of the quantity of the material which is ablated thereby with respect to a quantity of the material which is permanently modified thereby; and

5 b) wherein ablating the material with a plurality of directed energy pulses having a sufficient pulse rate as to increase the quantity of the quantity of the material which is ablated thereby with respect to the quantity of material which is permanently modified thereby minimizes undesirable modification of the material.

10 45. A device for ablating material with directed energy, the device comprising:

15 a) a sensor for determining at least one characteristic of the material to be ablated;

 b) an energy radiating device for directing a pulse of energy at the material; and

20 c) a controller for controlling the energy radiating device so as to define a pulse rate of the directed energy which increases a ratio of the quantity of the material which will be ablated thereby with respect to the quantity of the material which will be permanently modified thereby;

25 d) wherein ablating the material with a plurality of directed energy pulses having a sufficient pulse rate as to increase the ratio of the quantity of the material which will be ablated thereby with respect to the quantity of the material which will be permanently modified thereby minimizes undesirable permanent modification of the material.

30 46. A product made by a process comprising the steps of:

35 a) ablating a material by directing a pulse of energy at the material, the pulse being configured to increase a ratio of the quantity of the material which

0022080" 65T22960

5

10 of:

15

20

25

30

35

c) wherein ablating the material with a directed energy pulse configured to increase ratio of

the material which is ablated thereby with respect to the quantity of the material which is permanently modified thereby;

49. The device of Claim 48, further comprising
5 feedback means for analyzing a material characteristics during the material modification or ablation process.

50. The device of Claim 49 wherein said feedback means further comprises a spectrograph, the feedback means evaluating a luminescence emission formed by said pulses
10 output beam interaction with said material, feedback means further providing a control signal in response to a change in said luminescence emission whereby said control signal further causes the device controller to change the device operating parameters or to cease operation.

51. The device of Claim 49, wherein said feedback means further comprises of a device for optically evaluating the amount of material removed by each pulse, said material characteristic represented by a depth of material removed, feedback beams further providing a
20 control signal in response to said depth reaching a predetermined value, whereby said control signal further causes the device controller to change the device operating parameters or to cease operation.

52. The device of Claim 49, wherein said feedback
25 means further comprises of a device for evaluating the morphology and/or texture of the material, feedback means further providing a control signal in response to said depth reaching a predetermined value, whereby said control signal further causes the device controller to change the
30 device operating parameters or to cease operation.

53. The device of Claim 49, wherein said feedback means for the comprises of a device for evaluating the target material temperature during the interruption of the beam with the target material, feedback means further
35 providing a control signal in response to said temperature

0032090" 66T22960

reaching a predetermined value whereby said control signal further causes the device controller to change the device operating parameters or to cease operation.

54. A device for material modification and processing, the device comprising:

a) an energy radiating device for directing a plurality of pulses of energy at the material, the pulses having a sufficient pulse rate so as to increase a ratio of the quantity of the material which is ablated thereby with respect to quantity of the material which is permanently modified thereby; and

b) a controller for controlling the energy radiating device, the controller configuring the pulse directed therefrom so as to increase a ratio of the quantity of the material which is permanently modified thereby;

c) a means for modifying said energy radiating device output energy wavelength;

d) wherein ablating the material with a plurality of directed energy pulses and with output parameters configured to increase the ratio of the quantity of the material which is ablated thereby with respect to the quantity of the material which is permanently modified thereby minimizes undesirable modification of the material.

55. A method for a high precision, highly controllable, variable rate, material removal by a continuously emitting, continuous wave (CW) beam of electromagnetic radiation, the interaction between the electromagnetic radiation, and the material being such that a material removal depth within is approximately equal to an energy deposition depth within the target material, the method comprising the steps of:

a) providing a source capable of generating an output beam comprised of continuously emitted

002209-08000

electromagnetic radiation;

b) redistributing the beam in time and space to form at least one modified beam comprising a plurality of pulses;

5 c) directing said modified beam(s) so that their energy distribution at any given location on the target material forms a sequence of electromagnetic pulses, each electromagnetic pulse having a pulse duration between approximately 1 femtosecond and
10 approximately 10 millisecond;

d) operating said source and manipulating parameters of the beam so that the electromagnetic pulse's power densities within the region targeted for modification are between approximately 10^4 W/cm³ and
15 approximately 10^{18} W/cm³ and are larger than a power density threshold for material ablation;

e) allowing the electromagnetic energy absorbed by the material to complete the material ablation, so that substantially most of the deposited
20 electromagnetic energy is removed from the target material with an ejected portion of the material;

f) repeating said electromagnetic energy absorption, ablation, and energy removal steps at a pulse repetition rate greater than 0.1 pulses per
25 second so that substantially most of the cumulative residual thermal energy left in the material by a pulse train is removed by the commutative ablation, and at a pulse repetition rate less than approximately 100,000 pulses per second until a sufficient depth of
30 material has been removed while mitigating transfer of thermal or mechanical energy into the remaining material and thus mitigating collateral damage thereto.

56. The method of Claim 55 wherein the step of
35 redistributing the beam comprises deflecting sequential

00632199-000000

portions of the beam and re-directing them to separate locations so that the net effect at each location is that of a sequence of pulses of a desired duration and a desired pulse repetition rate.

5 57. The method of Claim 55 wherein the step of redistributing the beam comprises directing the beam to a device selected from the group consisting of:

- a) a rapidly rotating mirror;
- b) a Kerr cell;
- 10 c) a Pockels cell;
- d) acousto-optic modulator; and
- e) electro-optic modulator.

15 58. The method of Claim 56 wherein the switching device sequentially redirects the original beam energy into an optical guiding device selected from the group consisting of;

- a) at least one optical fiber; and
- b) at least one hollow waveguide light conductor; and
- 20 c) at least one optical guiding device such as an articulated arm or an open beam guidance apparatus.

25 59. The method of Claim 58 further comprising the step of focusing the output of the optical guiding device to a spot size so that power density within the volume targeted for material removal is greater than a threshold power density for material ablation.

30 60. The method of Claim 55 wherein the step of redistributing the beam comprises redirecting the beam into at least one focusing device and allowing the beam to propagate to separate locations on the target material.

61. The method of Claim 56, wherein said pulsed electromagnetic radiation source produces an output beam having a wavelength in the range of from 10 nanometers to 50 micrometers.

35 62. The method of Claim 57, wherein each pulse of

0022080" 65T22960

said continuously emitting beam source has an average power in the range of from approximately 0.0001 Watt to approximately 500 KWatts, and said output beam having a diameter at the target material such that said target material experiences a power per unit area in the range of approximately 1 Watt per square centimeter to approximately 10^{14} Watts per square centimeter.

63. The method of Claim 55, wherein said beam is configured to provide a material removal rate in the range of approximately 0.01 micrometers to approximately 10,000 micrometers per pulse, said material removal rate being substantially constant.

64. The method of Claim 56, wherein each of the redistributed beams comprise of a sequence of electromagnetic pulses each having a pulse duration in the range of from approximately 1 femtosecond to approximately 0.1 pulses per second and less than approximately 100,000 pulses per second.

65. The method of Claim 56 wherein each of the redistributed beams comprise a sequence of electromagnetic pulses and is directed to a target location adjacent one another such that the beams cooperate so as to remove at least some thermal energy generated by preceding pulses in these adjacent beams.

66. The method of Claim 56 wherein the step of redistributing the beam further comprises changing the beam wavelength.

67. A device for a high precision, highly controllable, variable rate, material removal by a continuously emitting, continuous wave beam of electromagnetic radiation, the interaction between the electromagnetic beam and the material being such that a material removal depth is approximately equal to an energy deposition depth within the target material, the device comprising:

00632199-080200 66722960

a) an energy radiating device for providing continuously emitted electromagnetic energy; and

b) a first controller redistributing the beam into at least one redistributed beam which is redistributed in space and time; and

c) a second controller for redirecting said redistributed beam(s) so that their energy distribution at any given location on the target material forms a sequence of electromagnetic pulses each having a pulse duration in the range of approximately 1 femtosecond to approximately 10 millisecond.

68. The device of Claim 67 wherein the first controller comprises a switching device which deflects sequential portions of the beam and re-directs them to a separate locations to that the net effect at each location is that of a sequence of pulses of specific duration and specific pulse repetition rate.

69. The device of Claim 67 wherein the first controller comprises a switching devices selected from the group consisting of:

- a) a rapidly rotating mirror;
- b) a Kerr cell;
- c) a Pockels cell;
- d) acousto-optic modulator; and
- e) electro-optic modulator.

70. A method for ablating a material, the method comprising the steps of:

a) directing energy at the material so as to ablate a quantity of the material and so as to permanently modify a quantity of the material, the pulse being configured to increase a ratio of the quantity of the material which is ablated thereby with respect to the quantity of the material which is permanently modified thereby; and

5 c) wherein ablating the material with an energy
pulse configured to increase the ratio of the quantity
of the material which is ablated thereby with respect
to the quantity of the material which is permanently
modified thereby minimizes undesirable permanent
0 modification of the material.

15 by each pulse ablative interaction with said material,
feedback means further providing a control signal in
response to a change in luminescence emission intensity.

operatively responsive to said control signal such that the laser either slows down to an interrogative pulse repetition rate or ceases operation upon receipt of the control signal and subsequently increases the interrogative pulse repetition rate when an ablative indicator is restored.

30 represented by particular ones of characteristic peaks comprising the plasma spectrum, feedback means further for providing a control signal in response to a change in particular ones of said characteristic peaks intensity.

74. The laser system of Claim 73, wherein the
35 feedback means is operatively coupled to the laser, the

laser being operatively responsive to said control signal such that the laser either ceases operation or slows down to an interrogative pulse repetition rate in response to said controller signal, and subsequently increases when the ablative indicator is restored.

75. The method of Claim 74, wherein ablative interaction is restored by performing at least one adjustment selected from the list consisting of:

- a) increasing pulse energy or beam power;
- b) decreasing spot size (e.g., optically, or by moving the fiber/HWG/delivery arm etc., closer or further away from the target);
- c) decreasing time scale; and
- d) changing wavelength to a more absorbing wavelength.

76. The laser system of Claim 70, wherein said feedback means further comprises a spectrograph, the feedback means spectrographically evaluating said luminescence emission formed by each pulse, said material characteristic represented by particular ones of characteristic peaks comprising the plasma spectrum and generated within the ablative interaction, said characteristic peak are absent if ablative interaction did not take place, feedback means further for providing a control signal in response to a change in particular ones of said characteristic peaks intensity.

77. The laser system of Claim 73, wherein the feedback means is operatively coupled to the laser, the laser operatively is responsive to said control signal such that the laser either ceases operation or slows down to an interrogative pulse repetition rate in response to said controller signal, and subsequently increases back when the ablative indicator (luminescence emission) is restored.

78. The method of Claim 70, wherein said feedback means comprises a transducer detector for detecting the

81. The device of Claim 79, the controller operatively coupled to the laser, the laser operatively is responsive to said control signal such that the laser
5 either ceases operation or slows down to an interrogative pulse repetition rate in response to said controller signal, and subsequently increases when the ablative indicator is restored.

5

A method and apparatus is disclosed for fast precise material processing and modification which minimizes collateral damage. Utilizing optimized, pulsed electromagnetic energy parameters leads to an interaction regime which minimizes residual energy deposition. Advantageously, removal of cumulative pulse train residual energy is further maximized through the rapid progression of the ablation front which move faster than the thermal energy diffusion front, thus ensuring substantial removal of residual energy to further minimize collateral thermal damage.

15

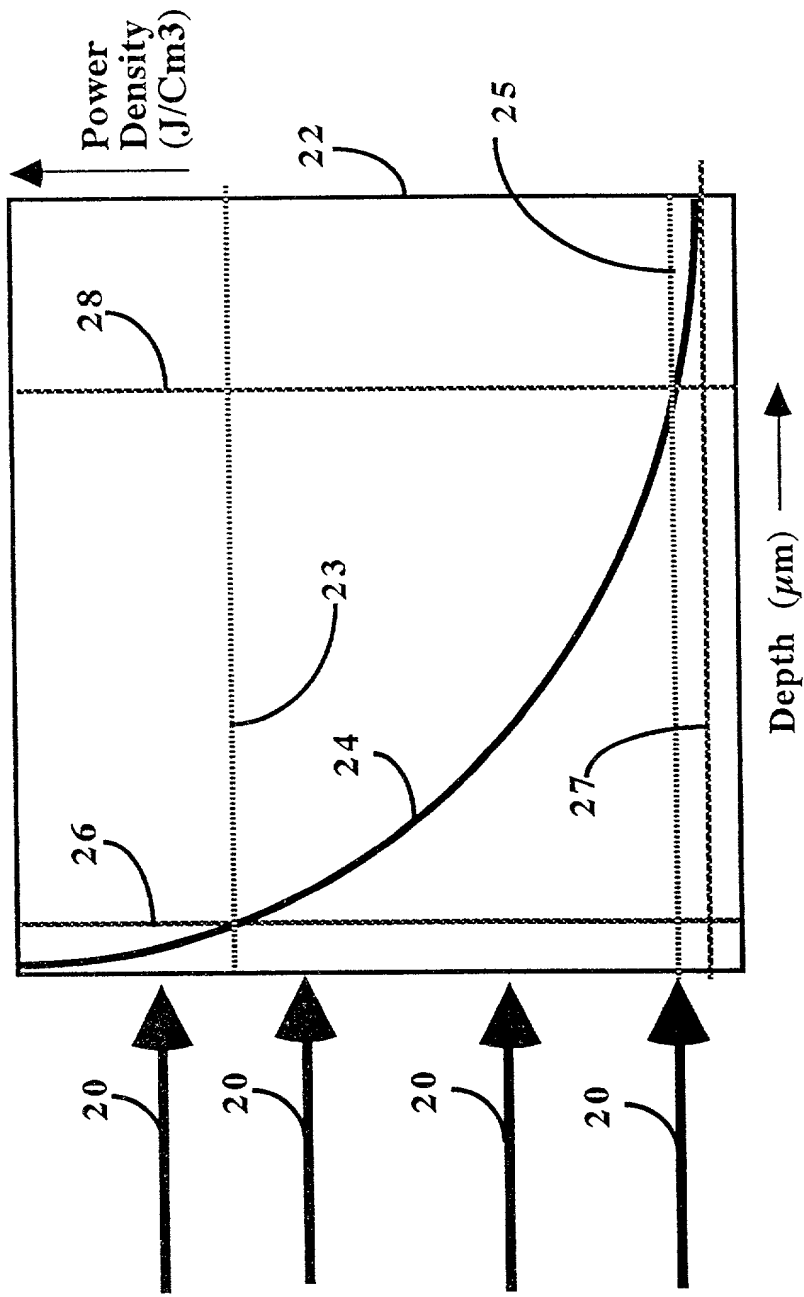


Fig. 1

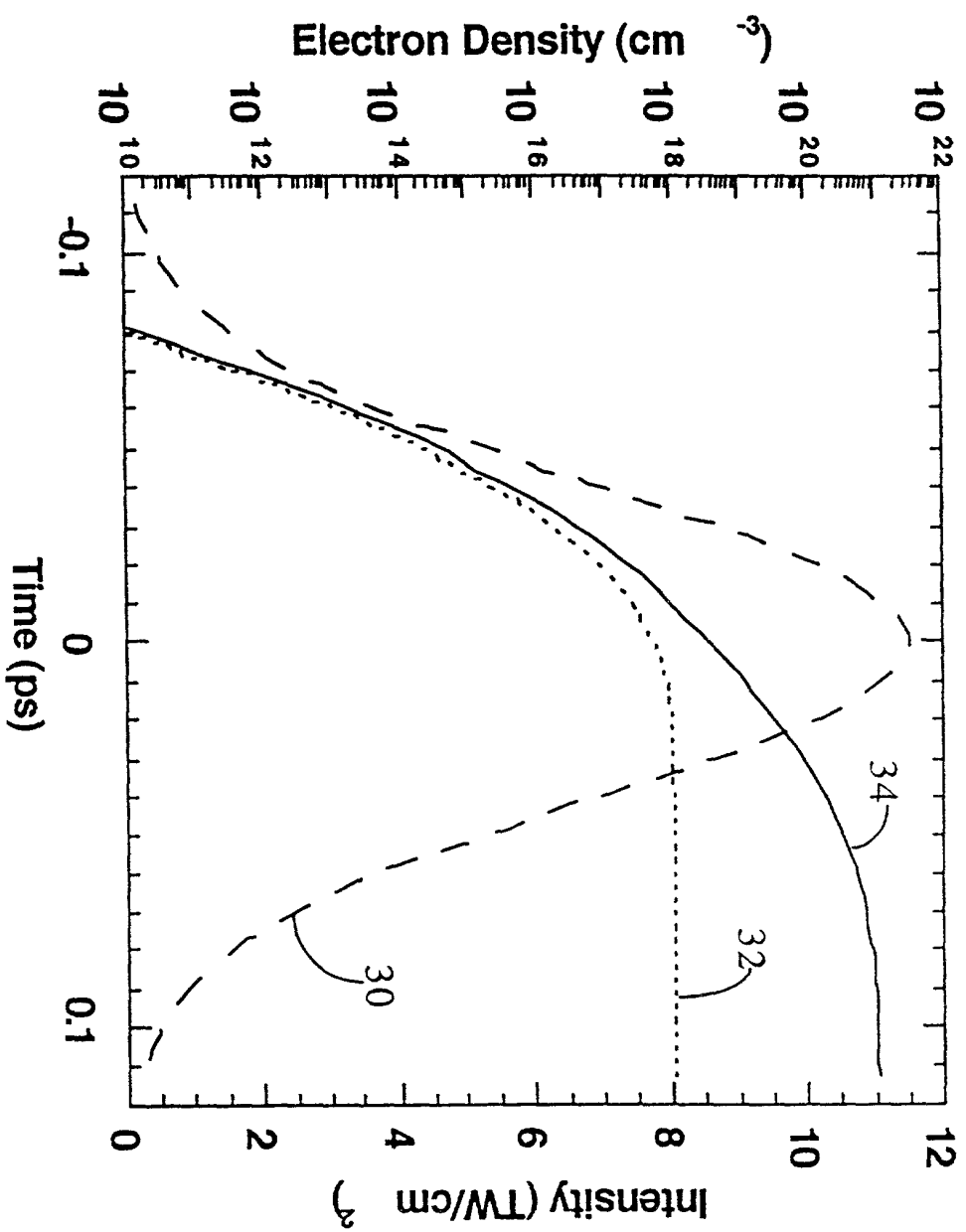


FIG. 2a

09632199.080200

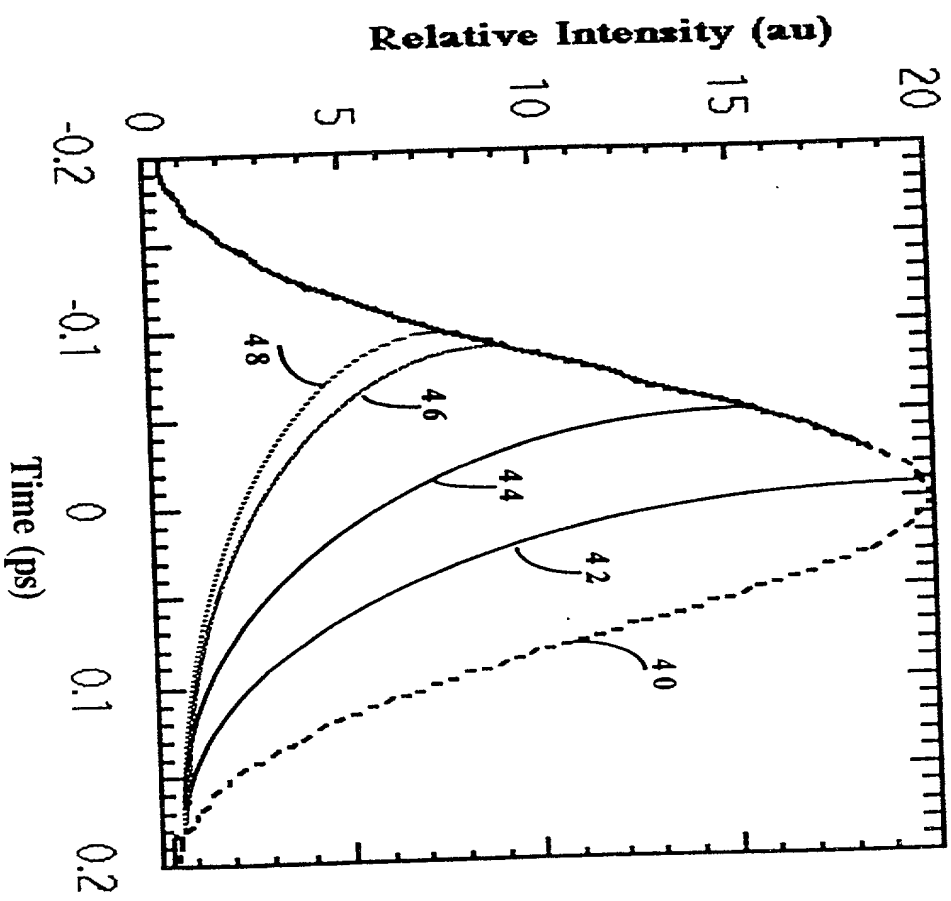


FIG. 2b

09632199.080200

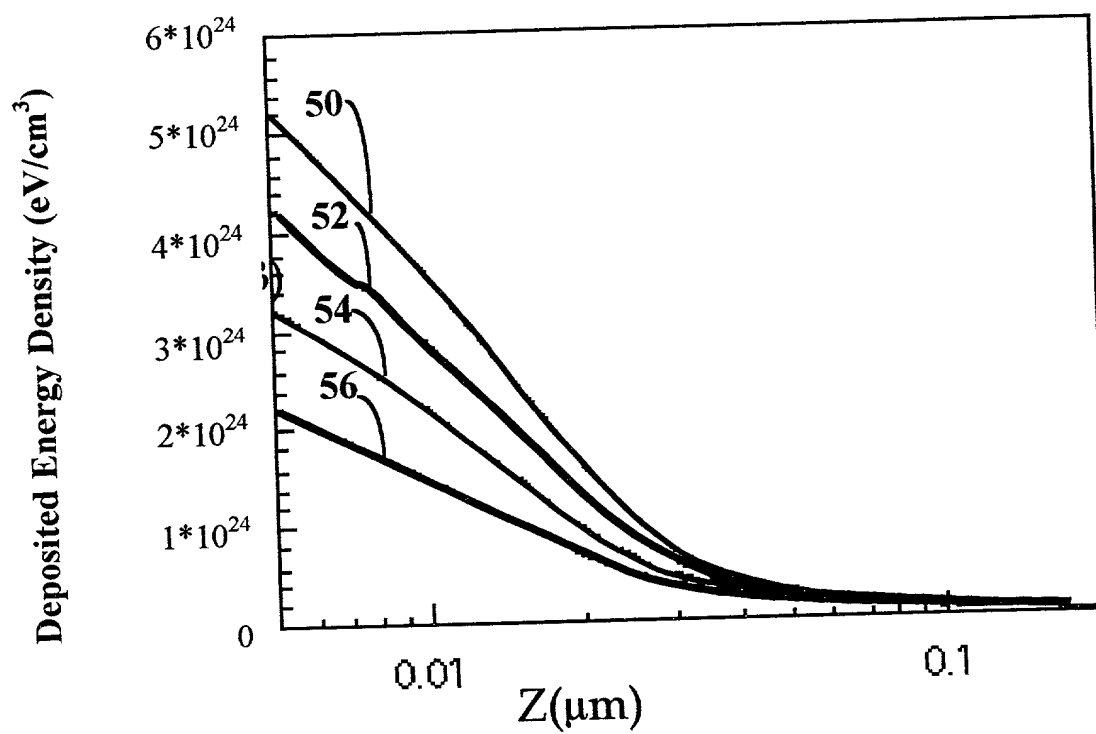
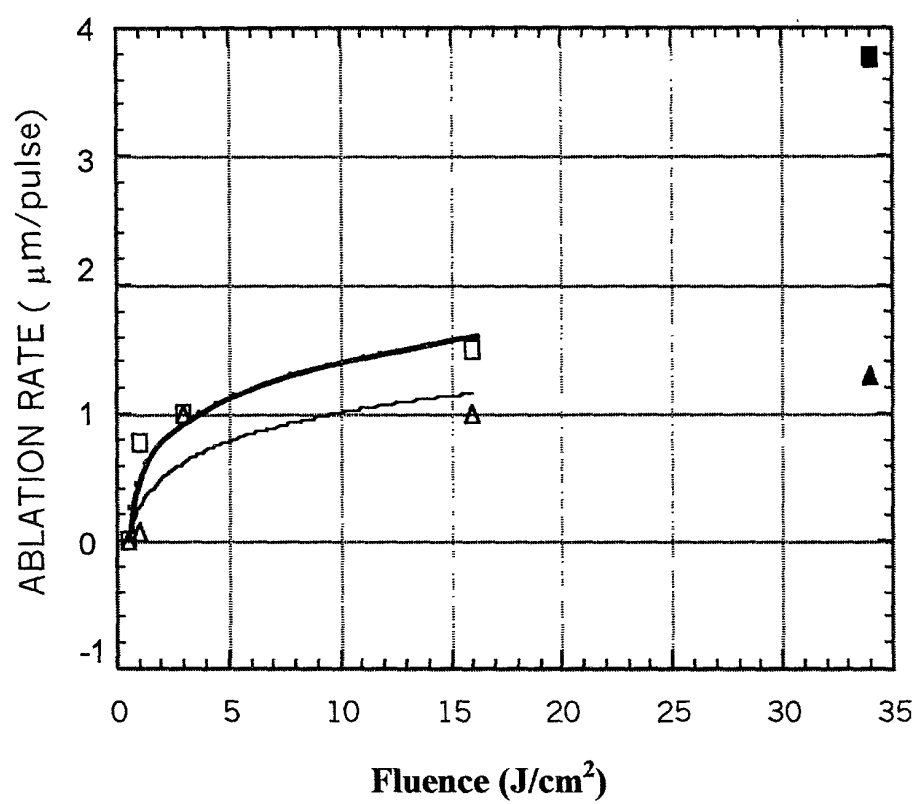


FIG. 2C

**FIG. 3A**

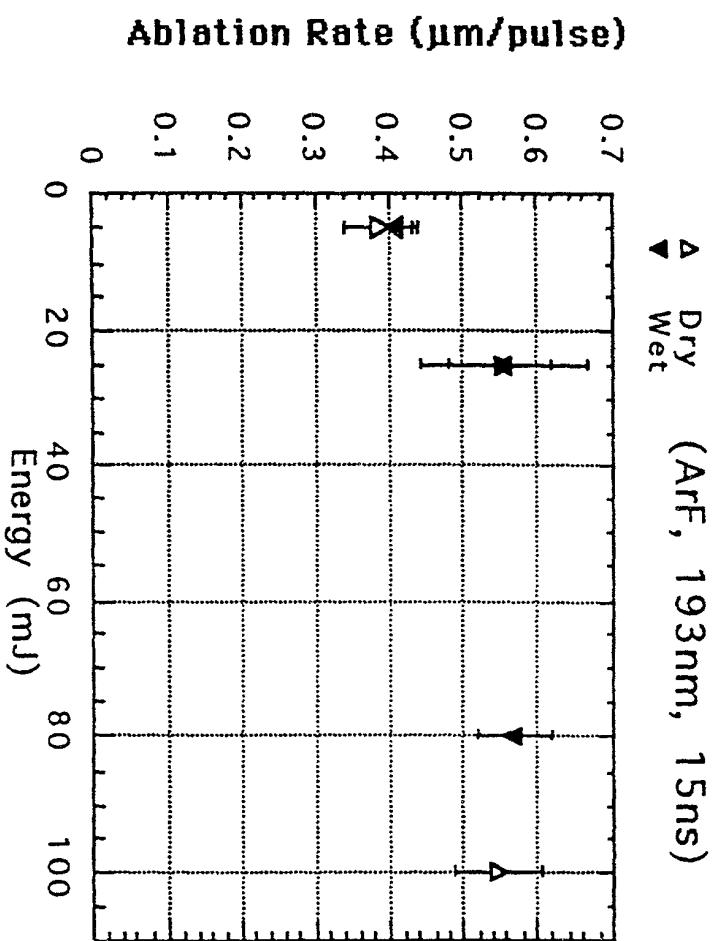


FIG. 3b

09633199, 030200

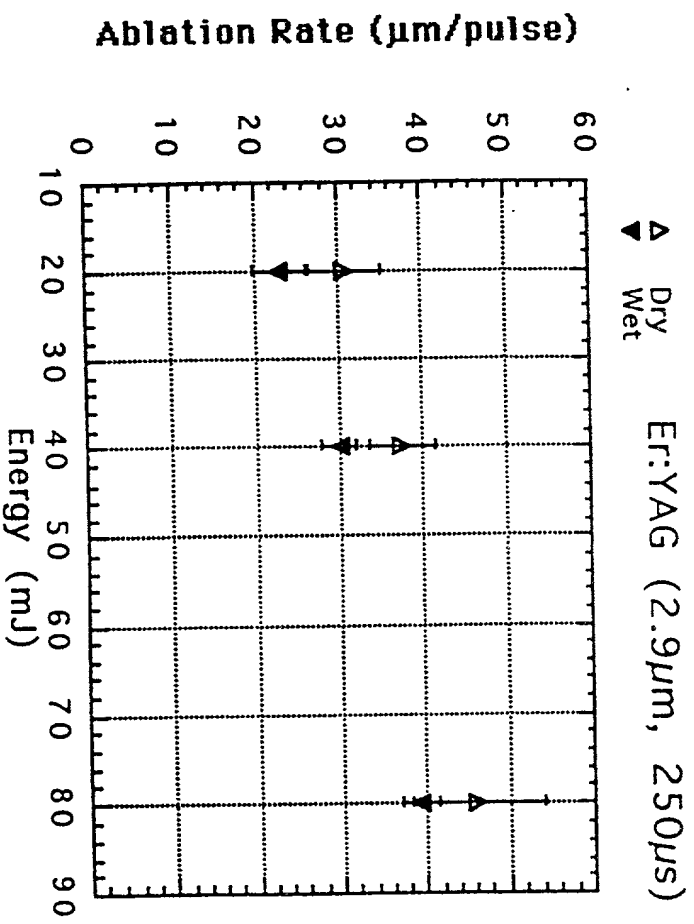


FIG. 3c

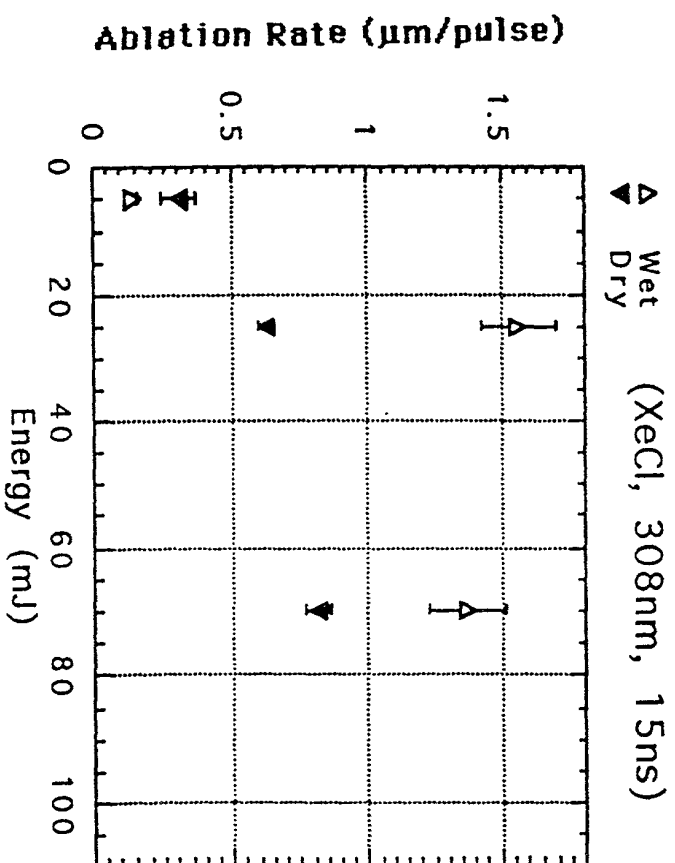


FIG. 3d

09632499, 080200

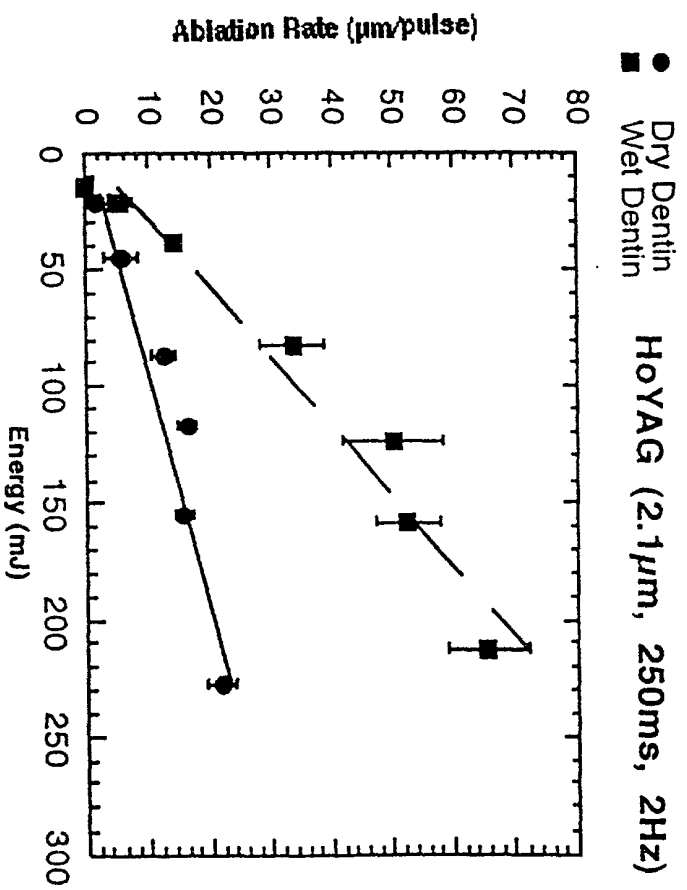


FIG. 3e

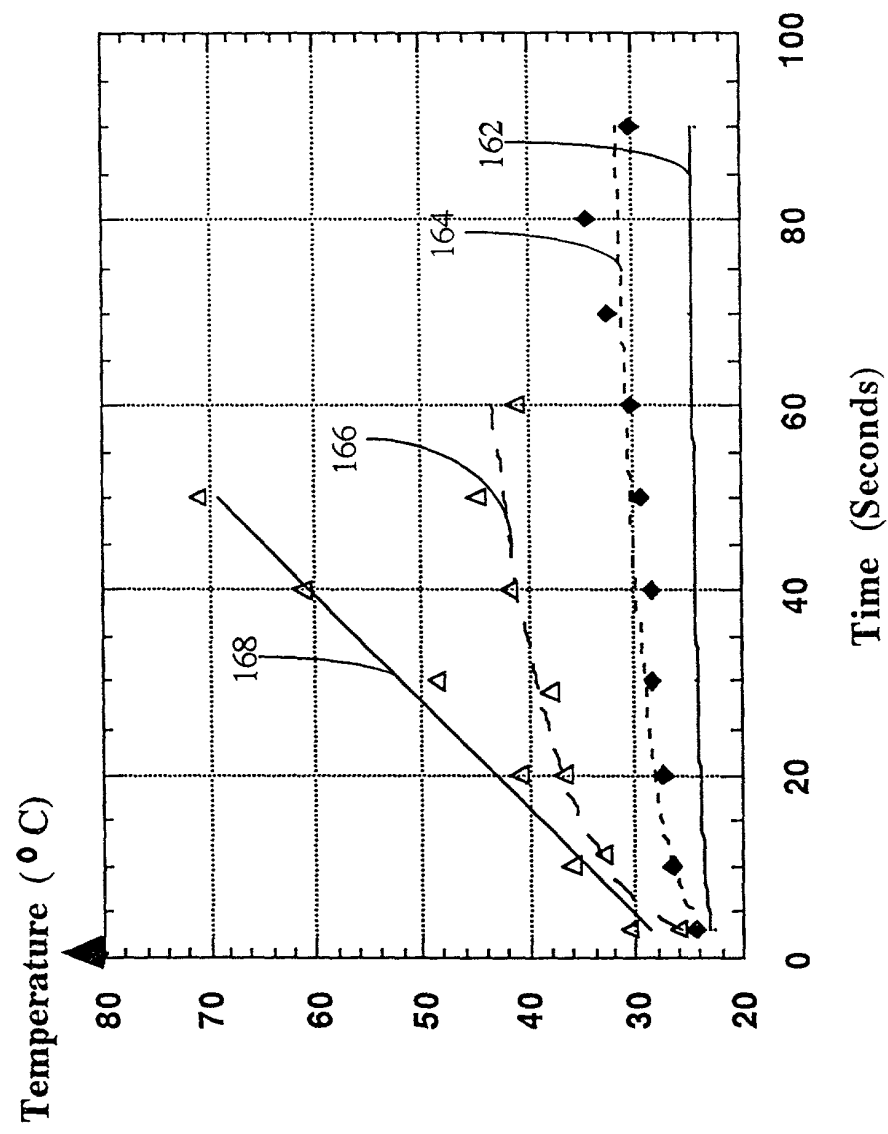


Fig. 4a

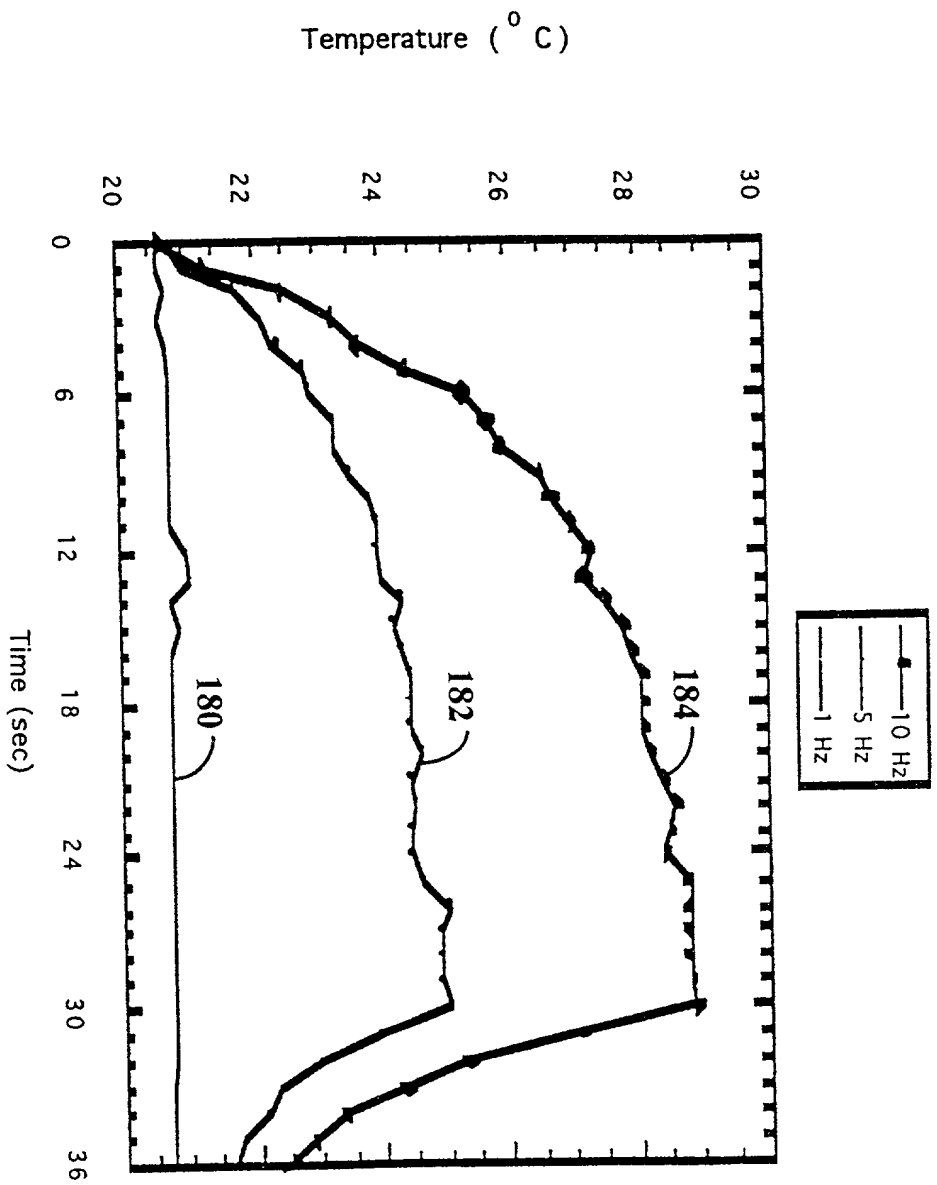


FIG. 4b

09632499, 080200

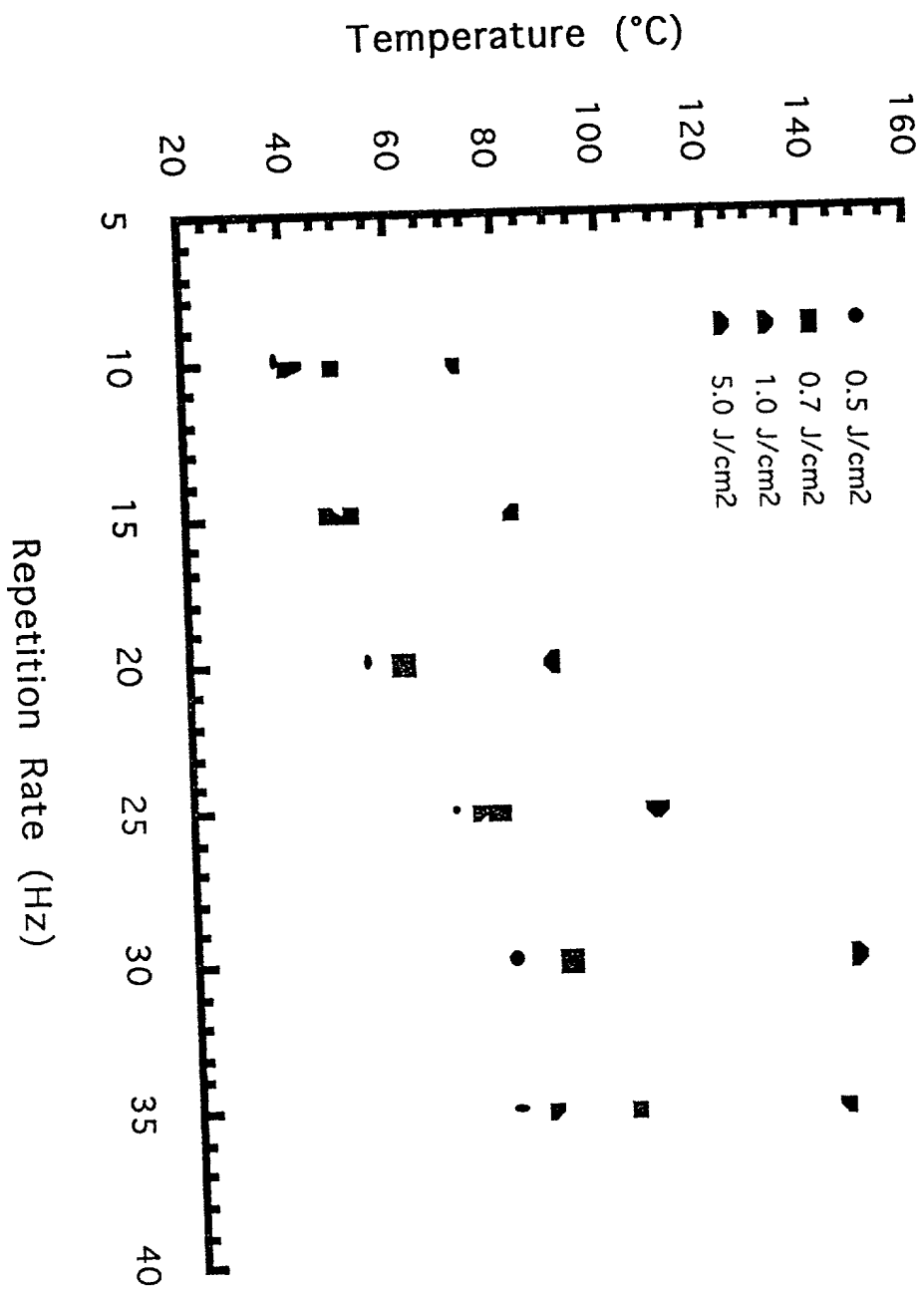


FIG. 4c

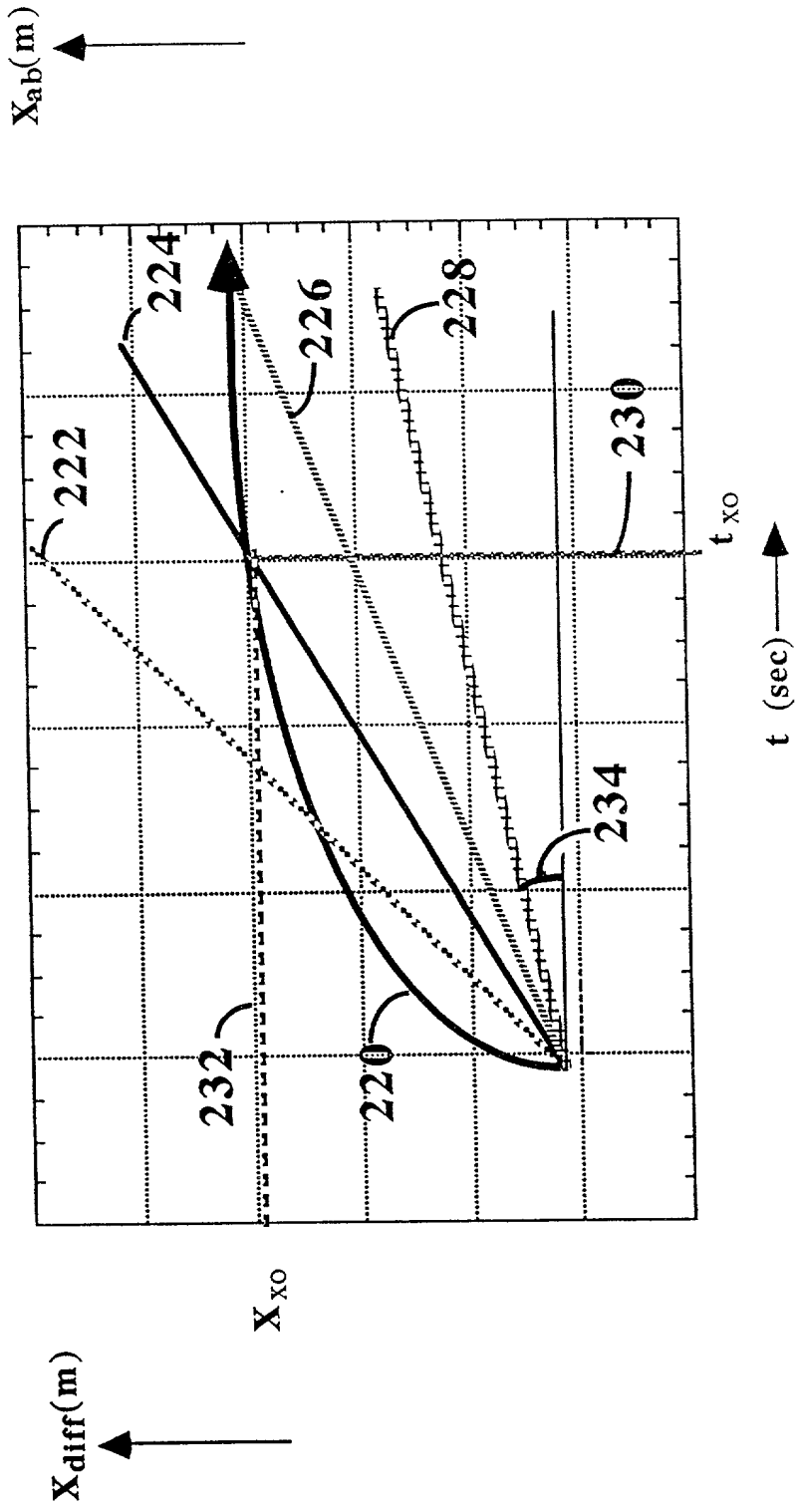


FIG. 5a

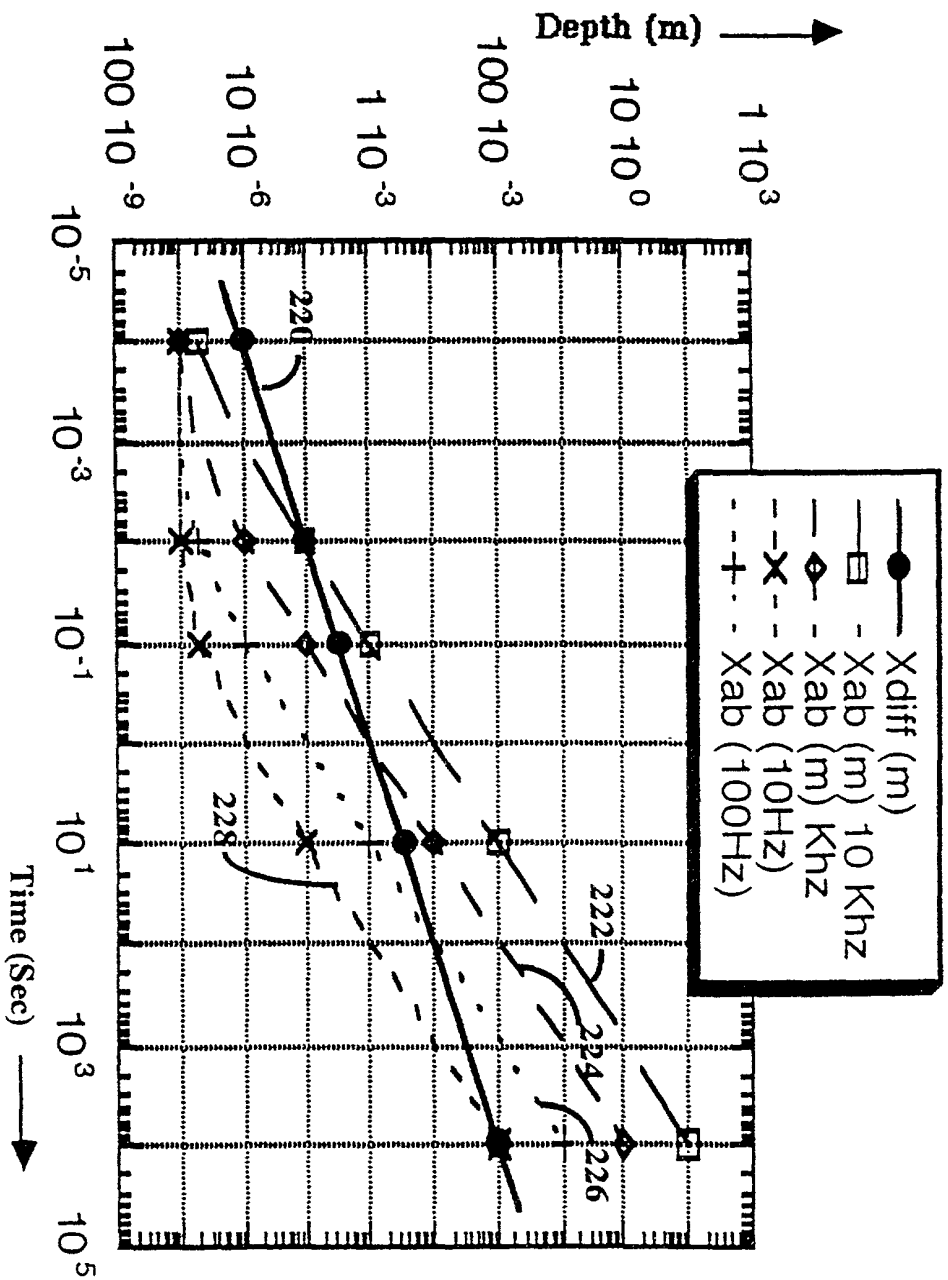


FIG. 5b

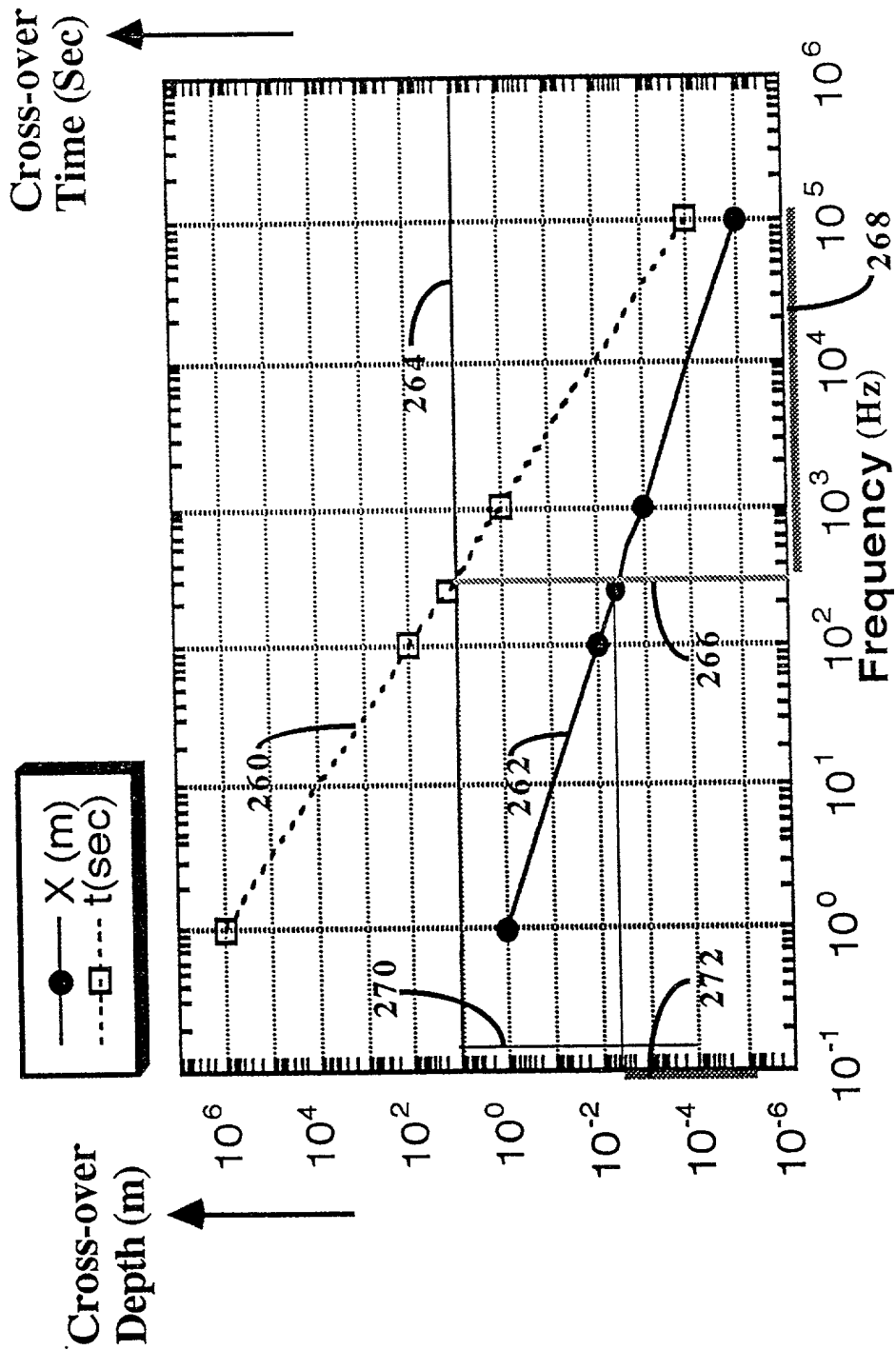


FIG. 5c

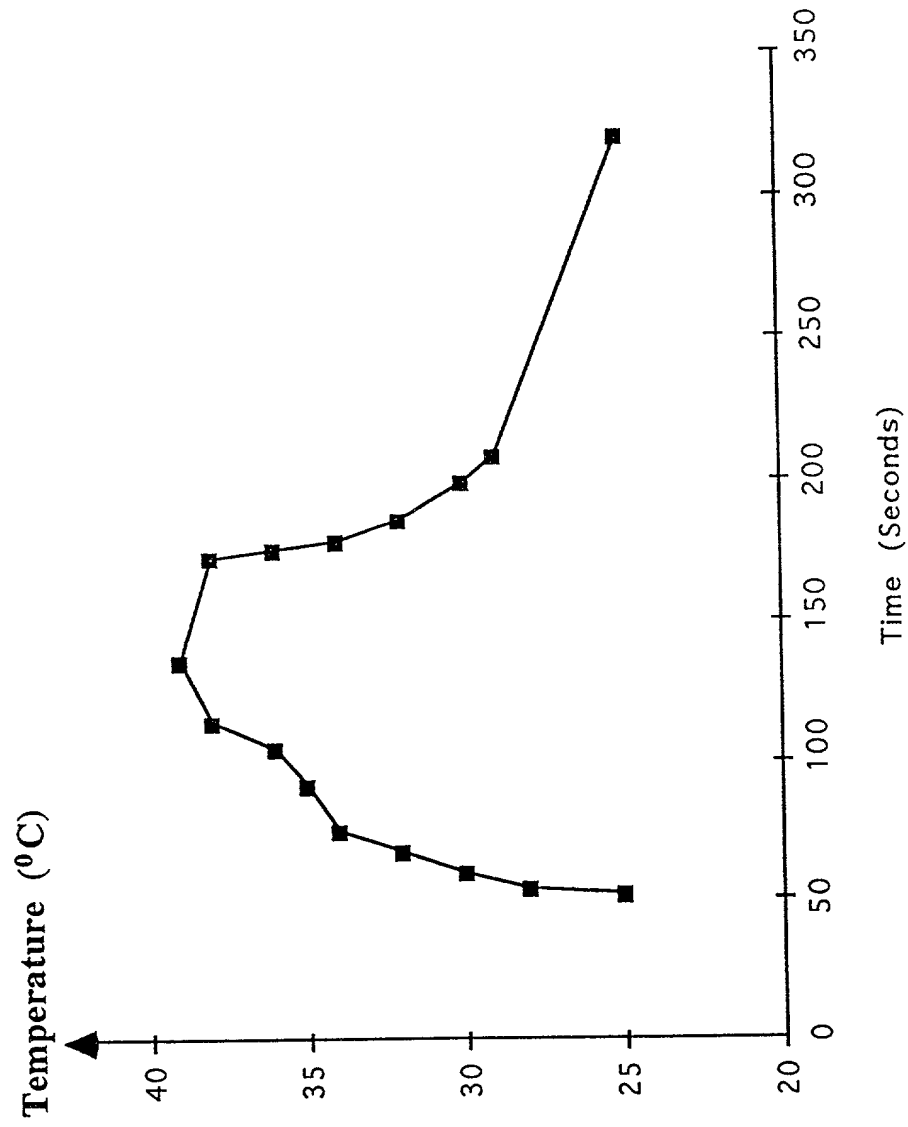


Fig. 5d

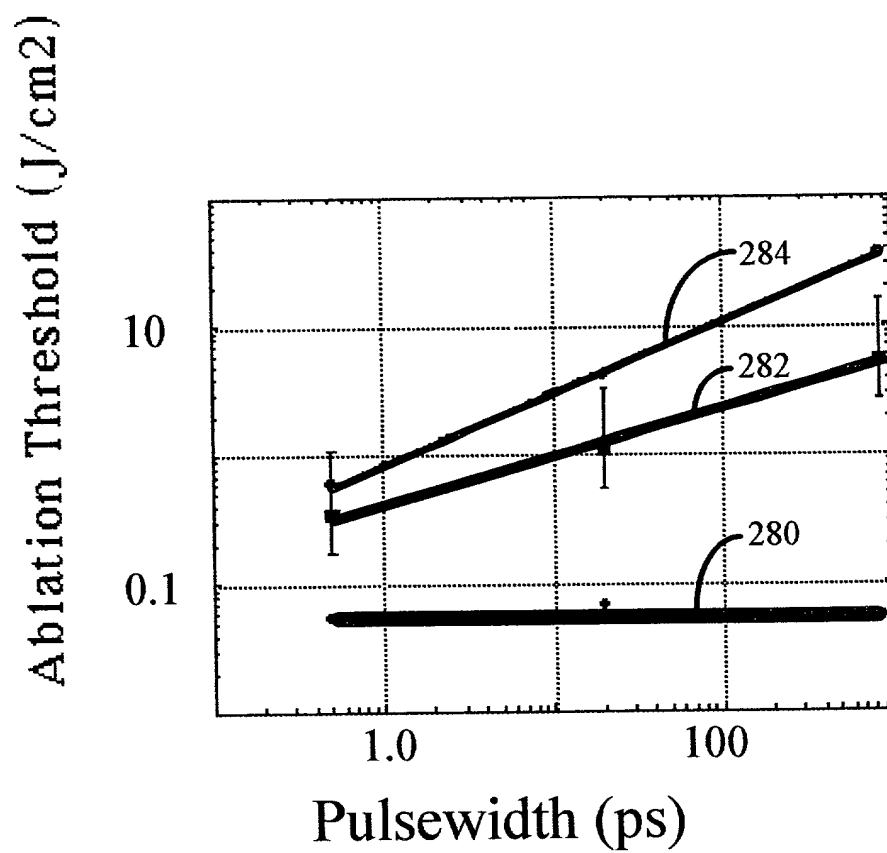


FIG. 6A

FIG. 6b

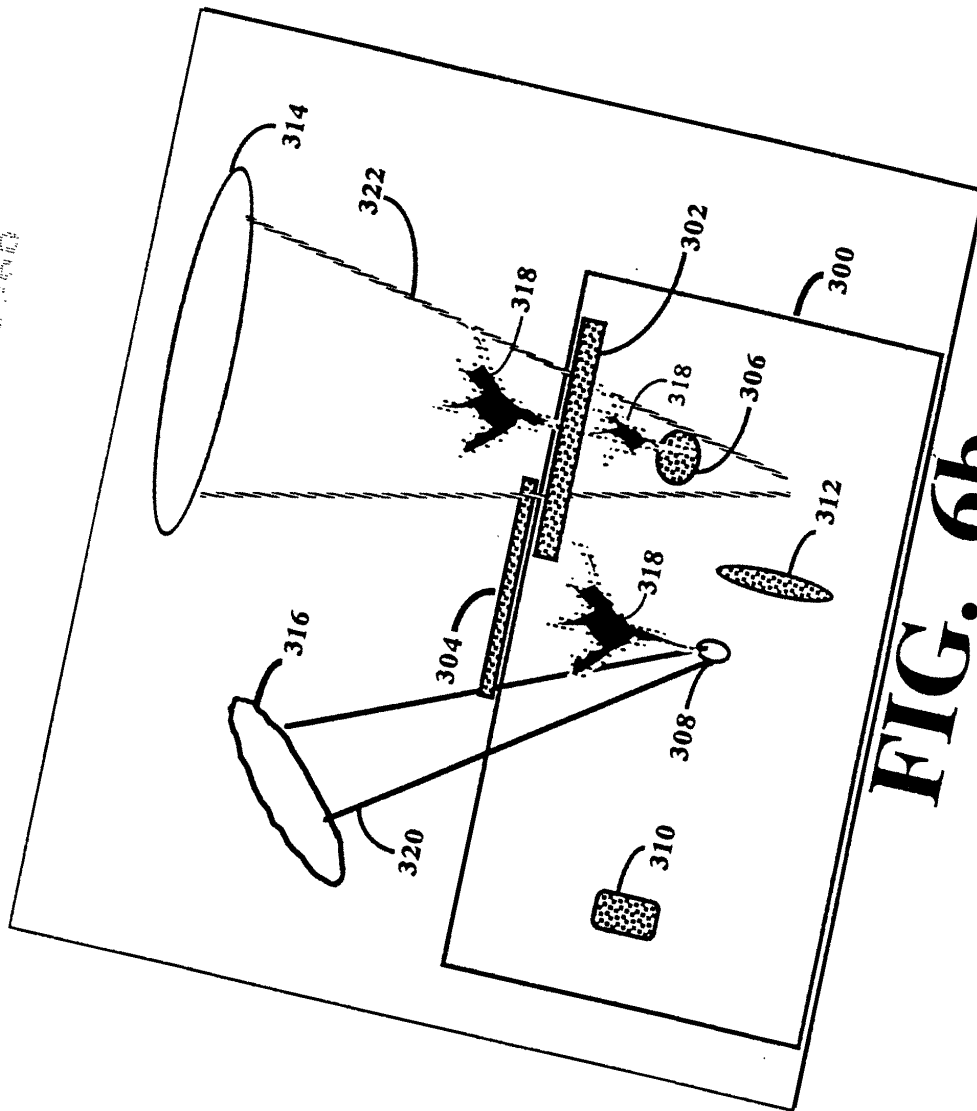


FIG. 6b

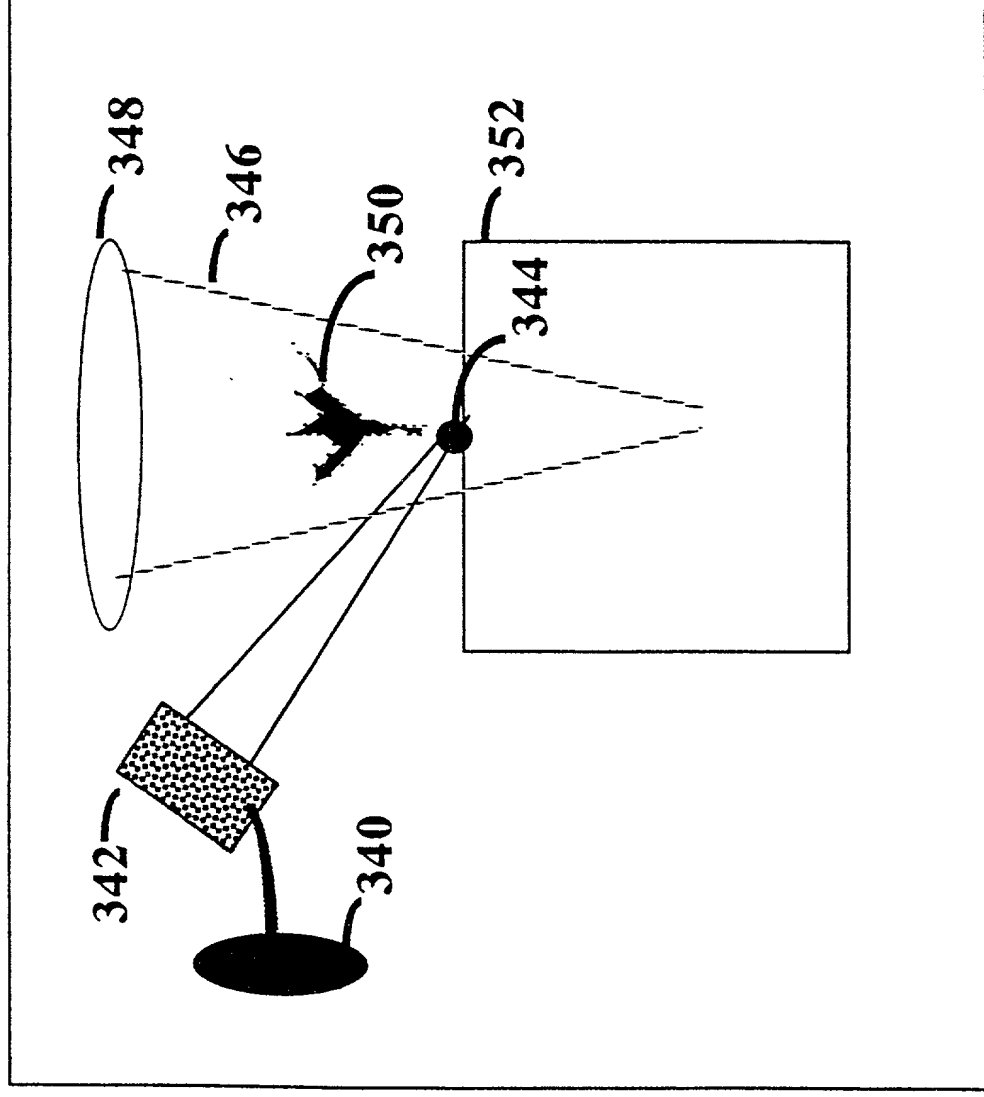


FIG. 6c

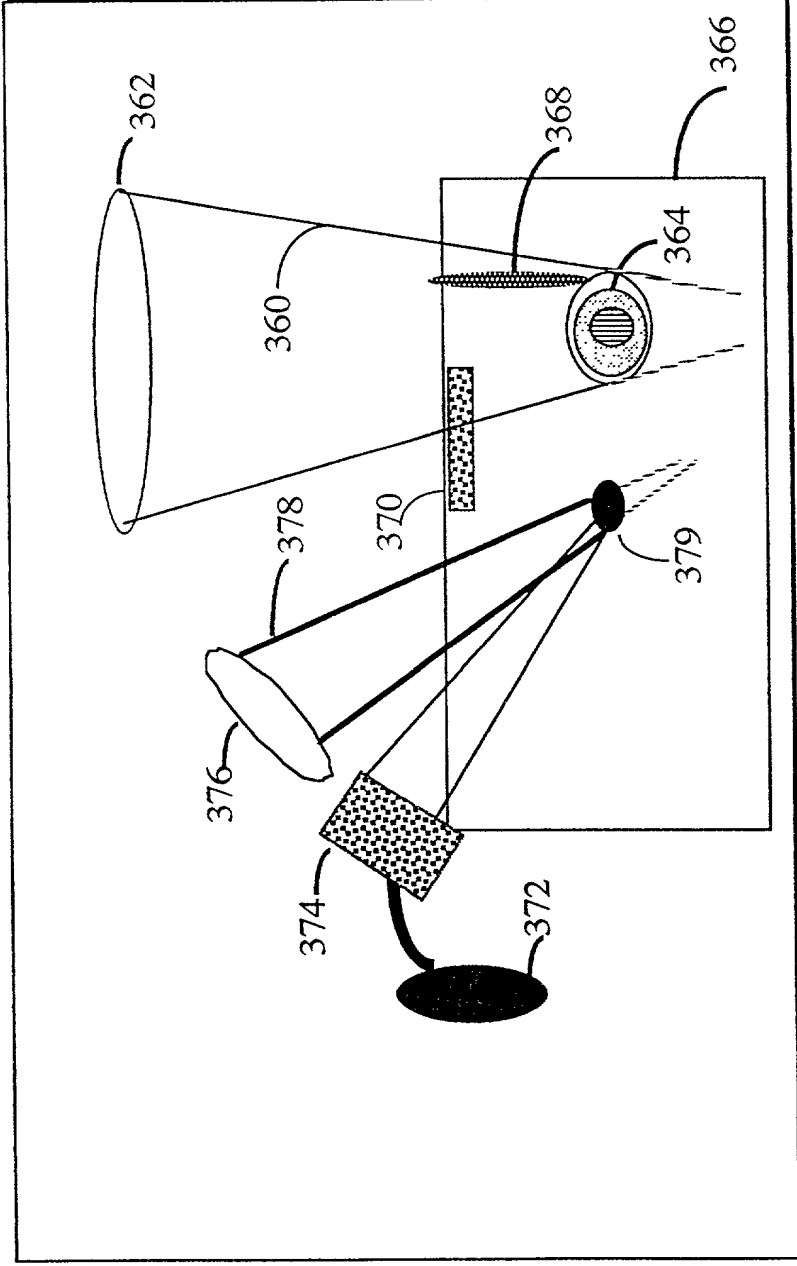


FIG. 7

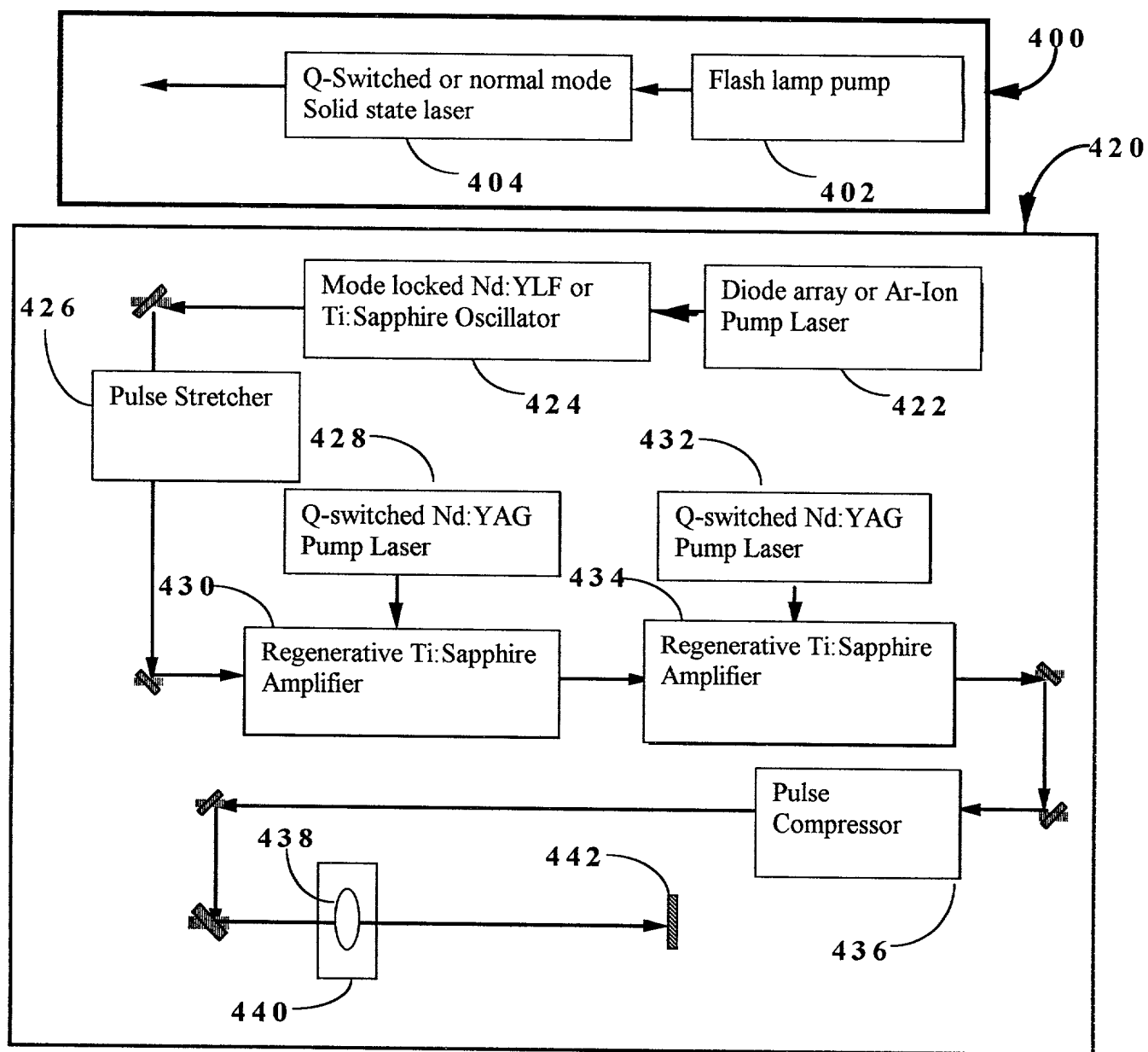


Fig. 8a

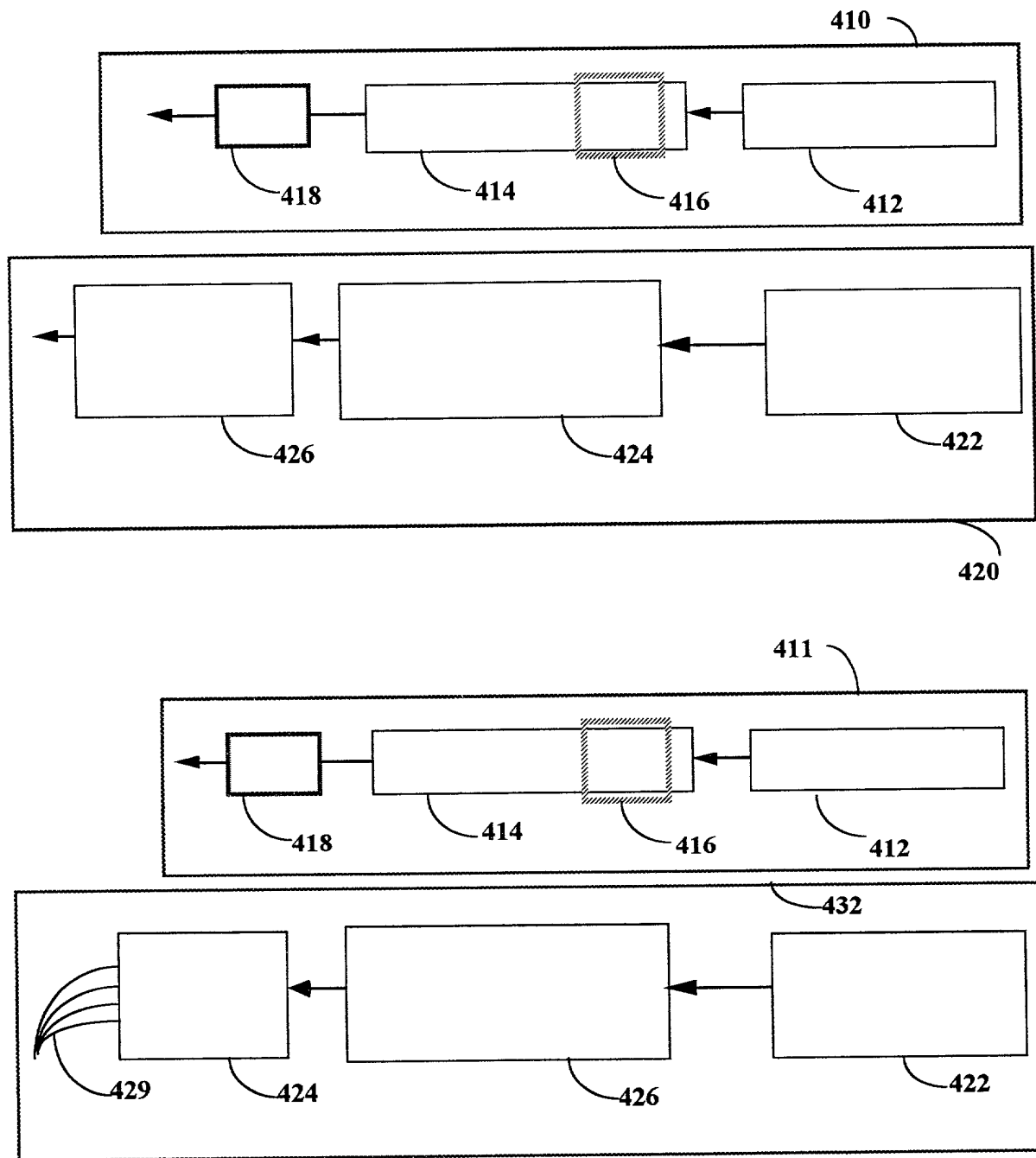


Fig. 8B

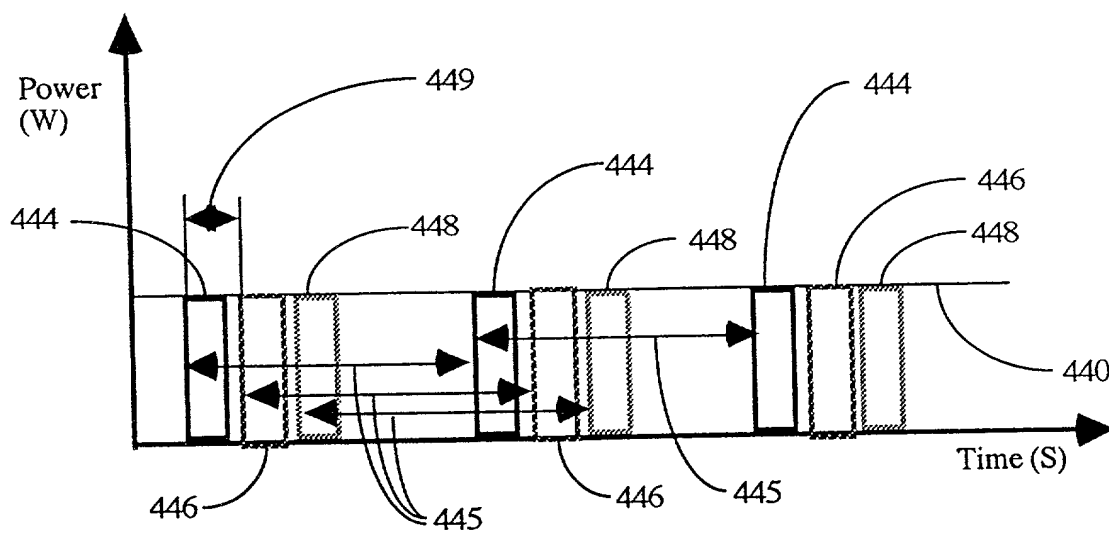
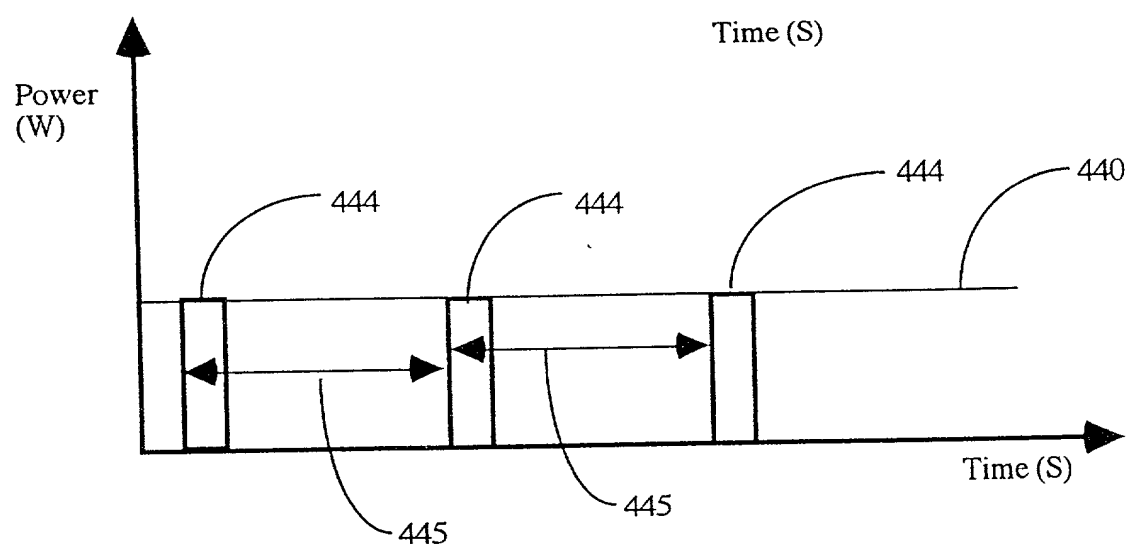


Fig. 8d

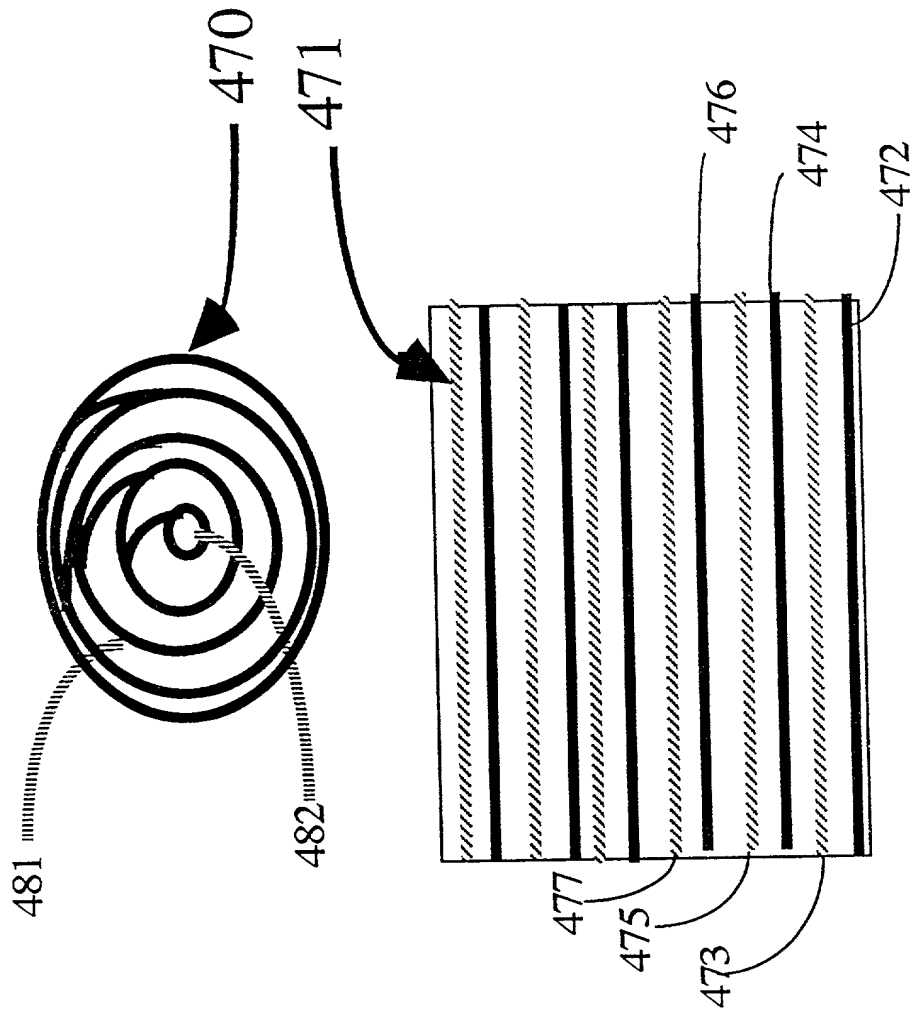


FIG. 8f

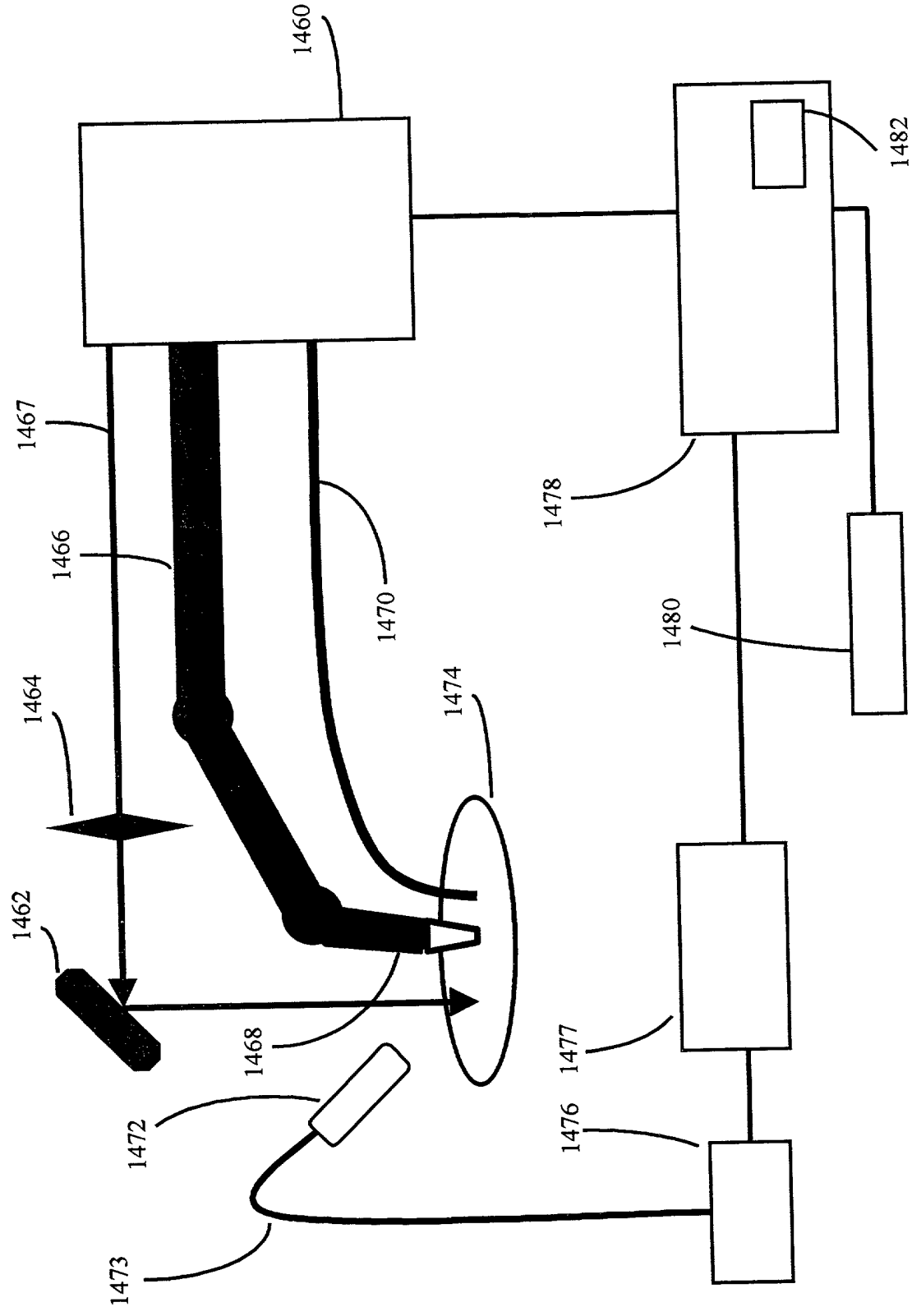


Figure 8G

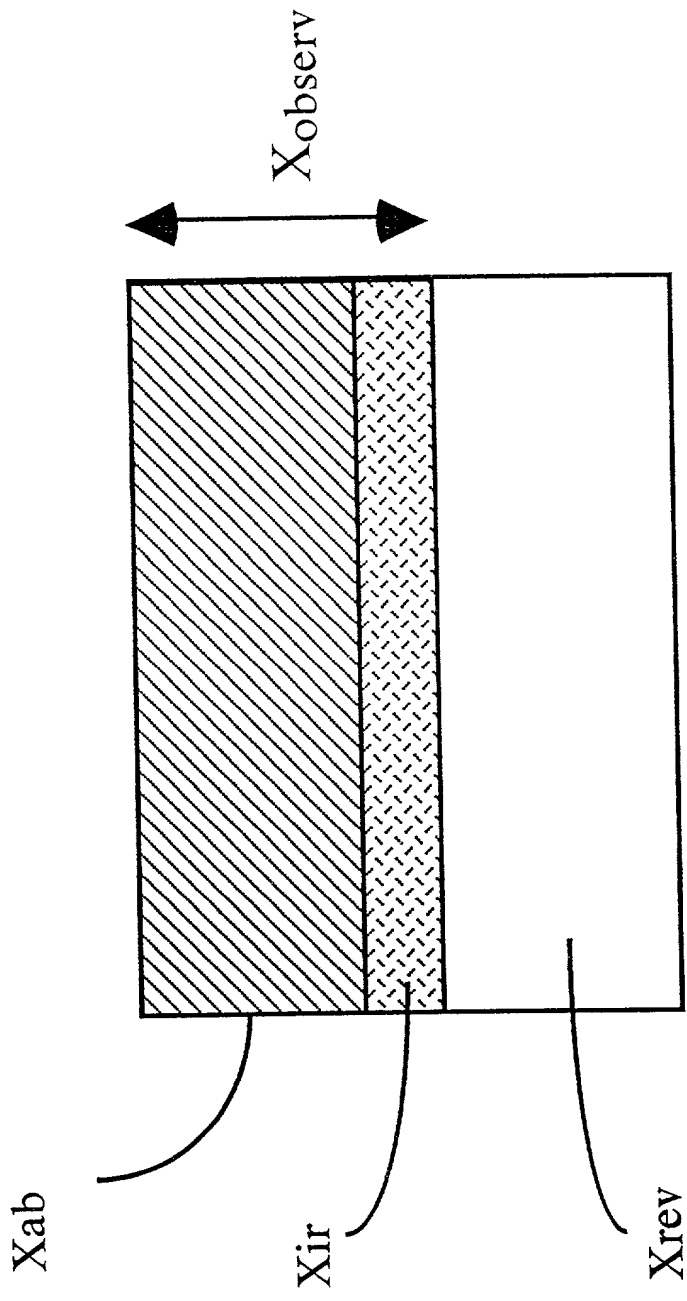


Fig. 9

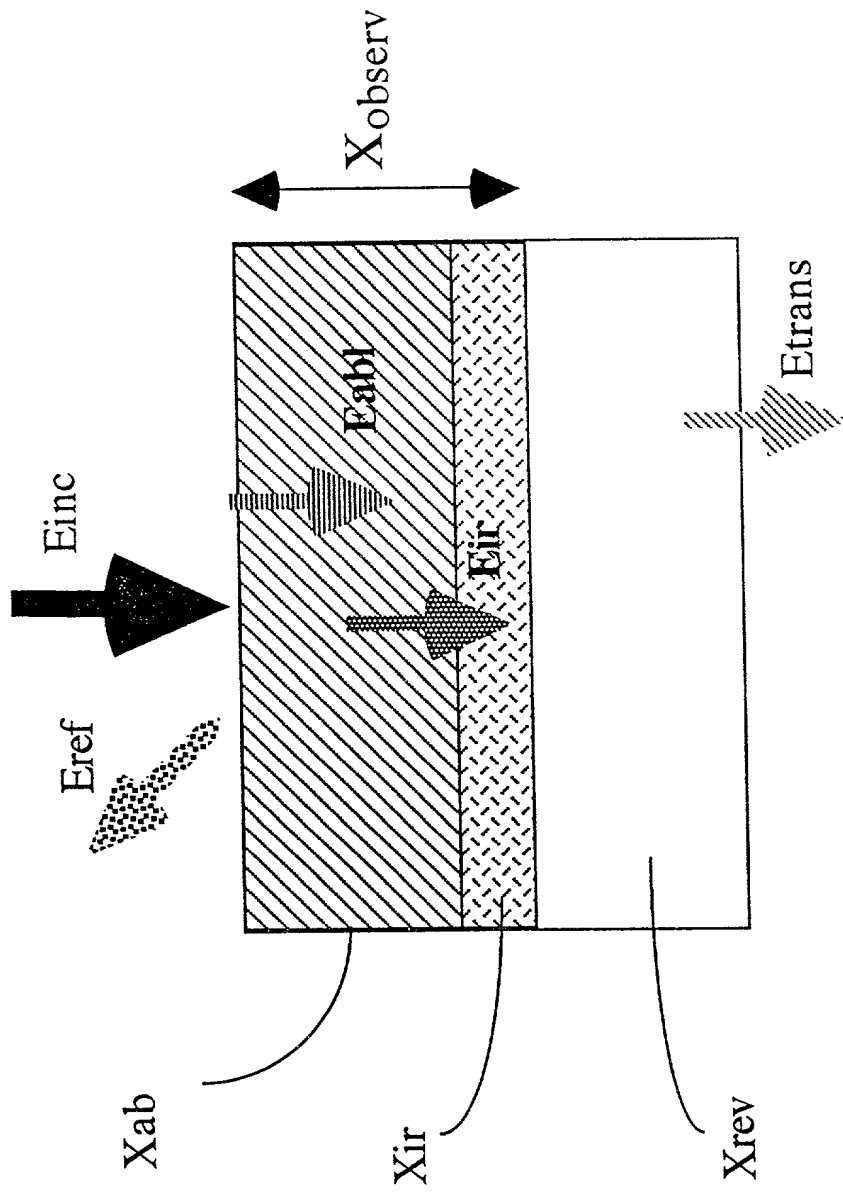


Fig. 10a

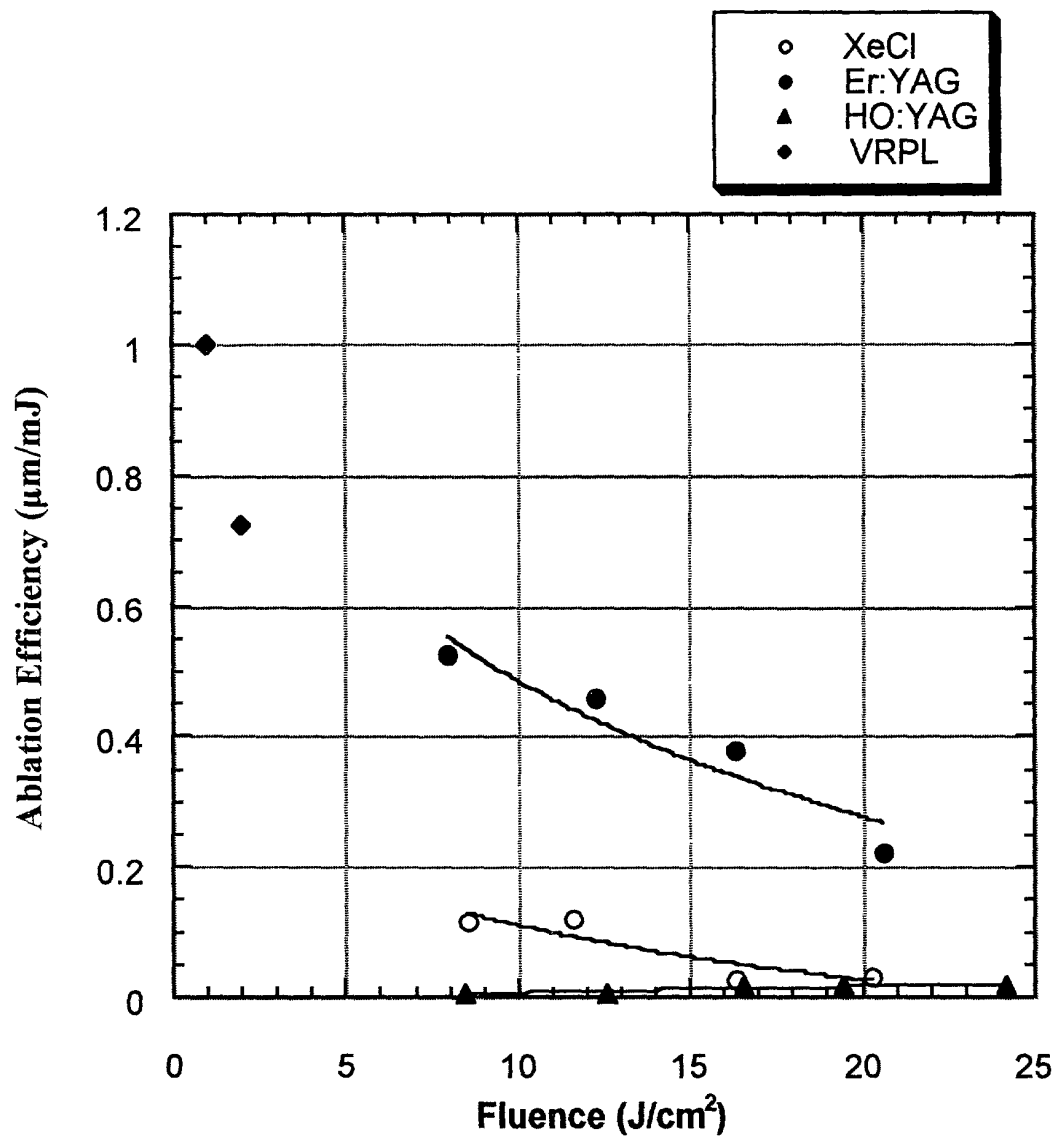


Figure 10b

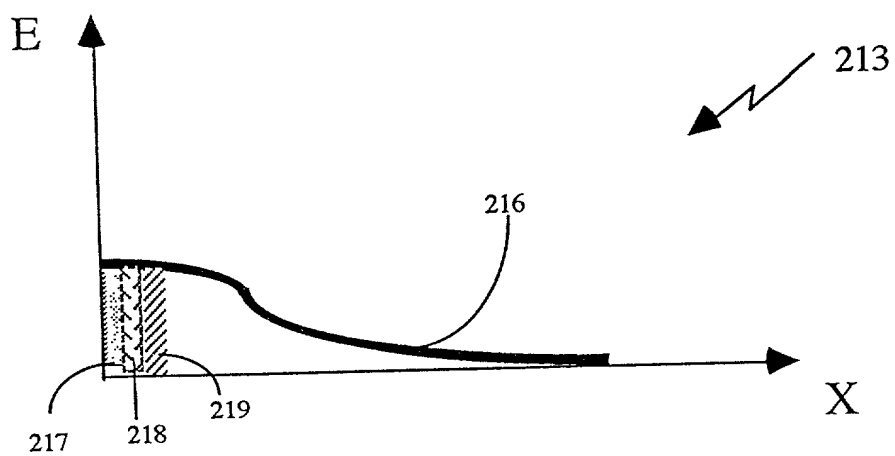
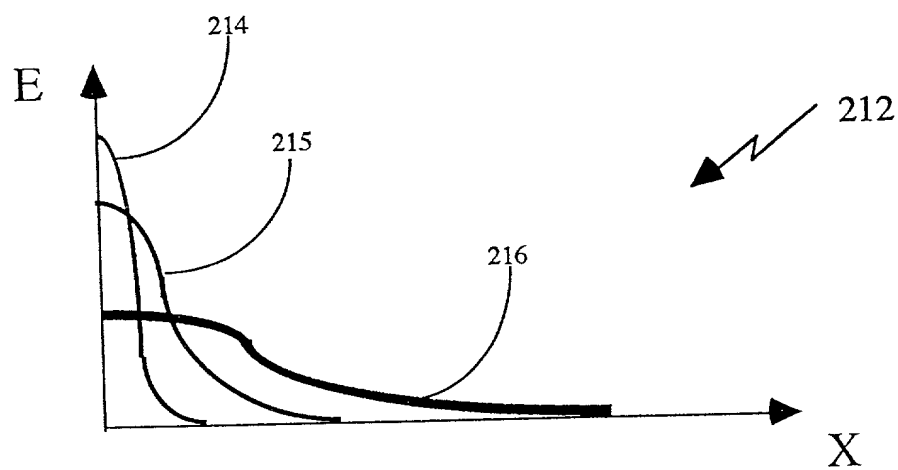
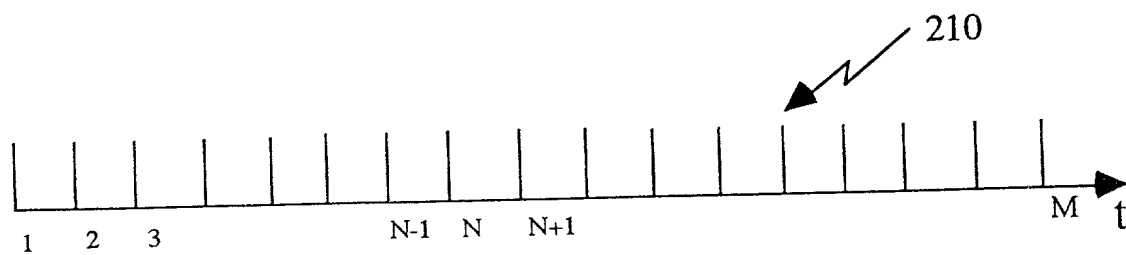


Fig. 11

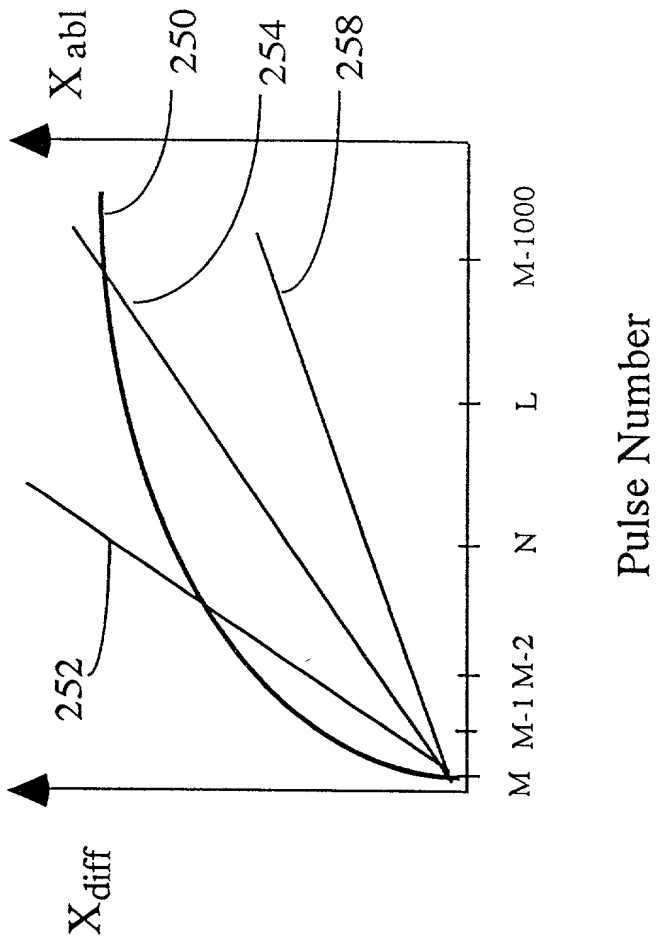


FIG. 12

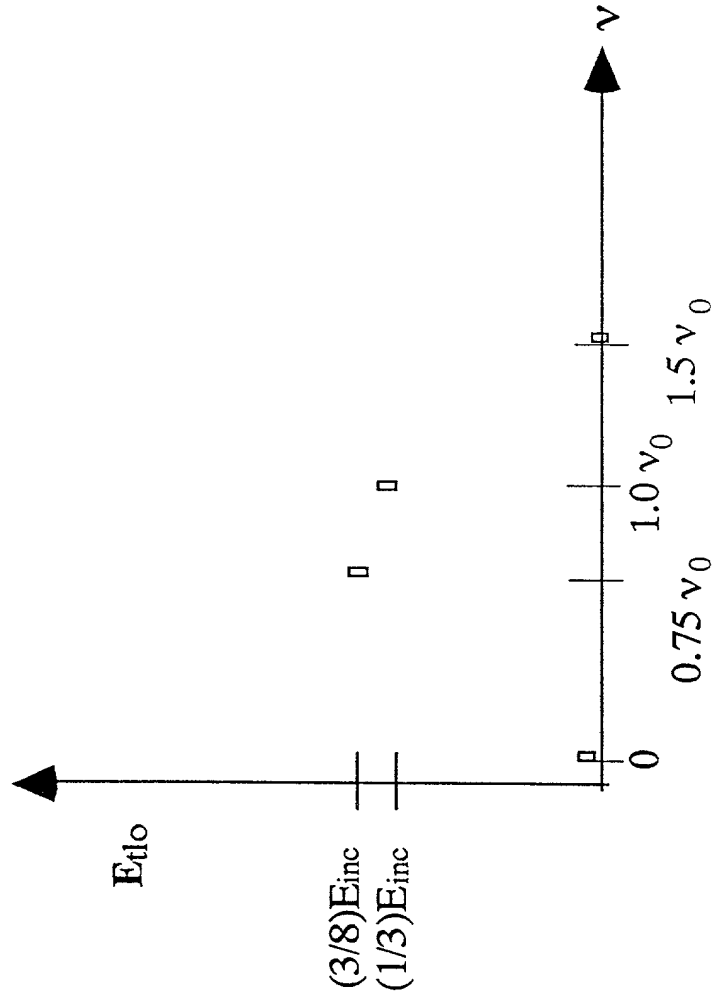


FIG. 13

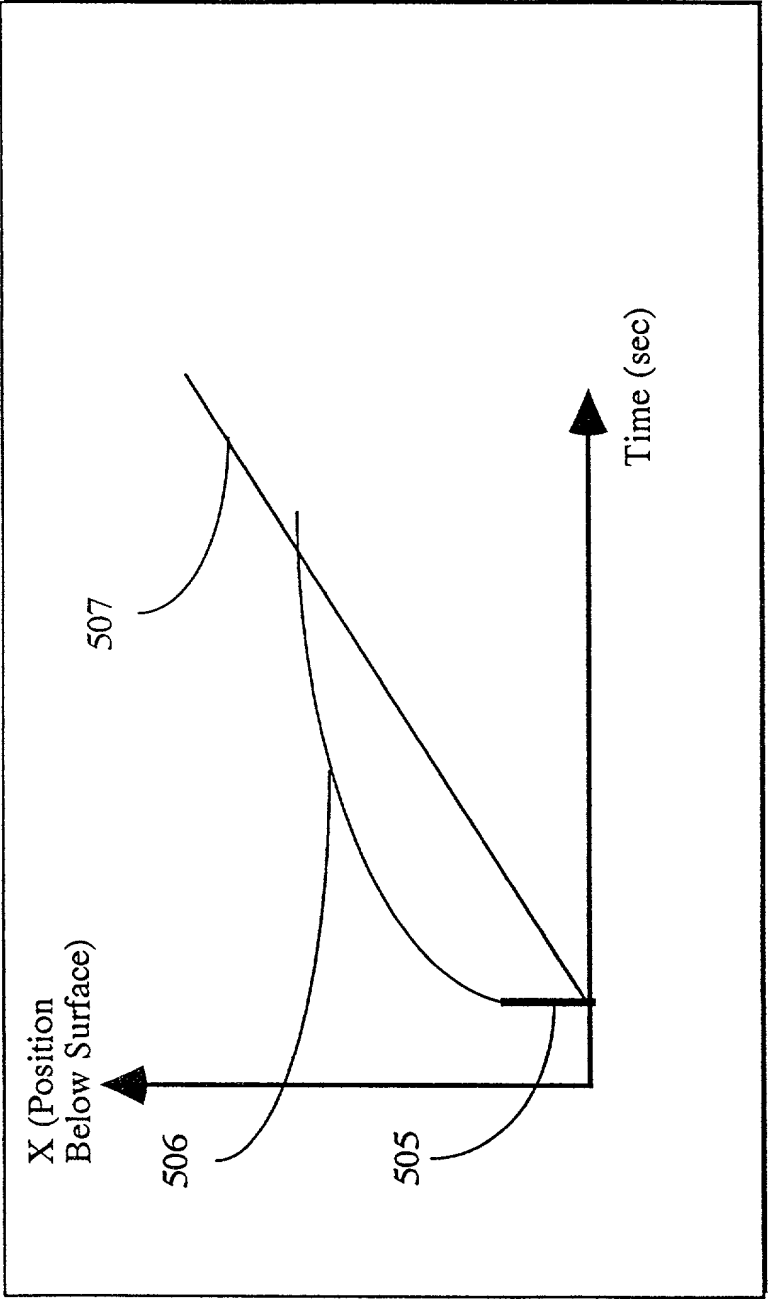


Fig. 14

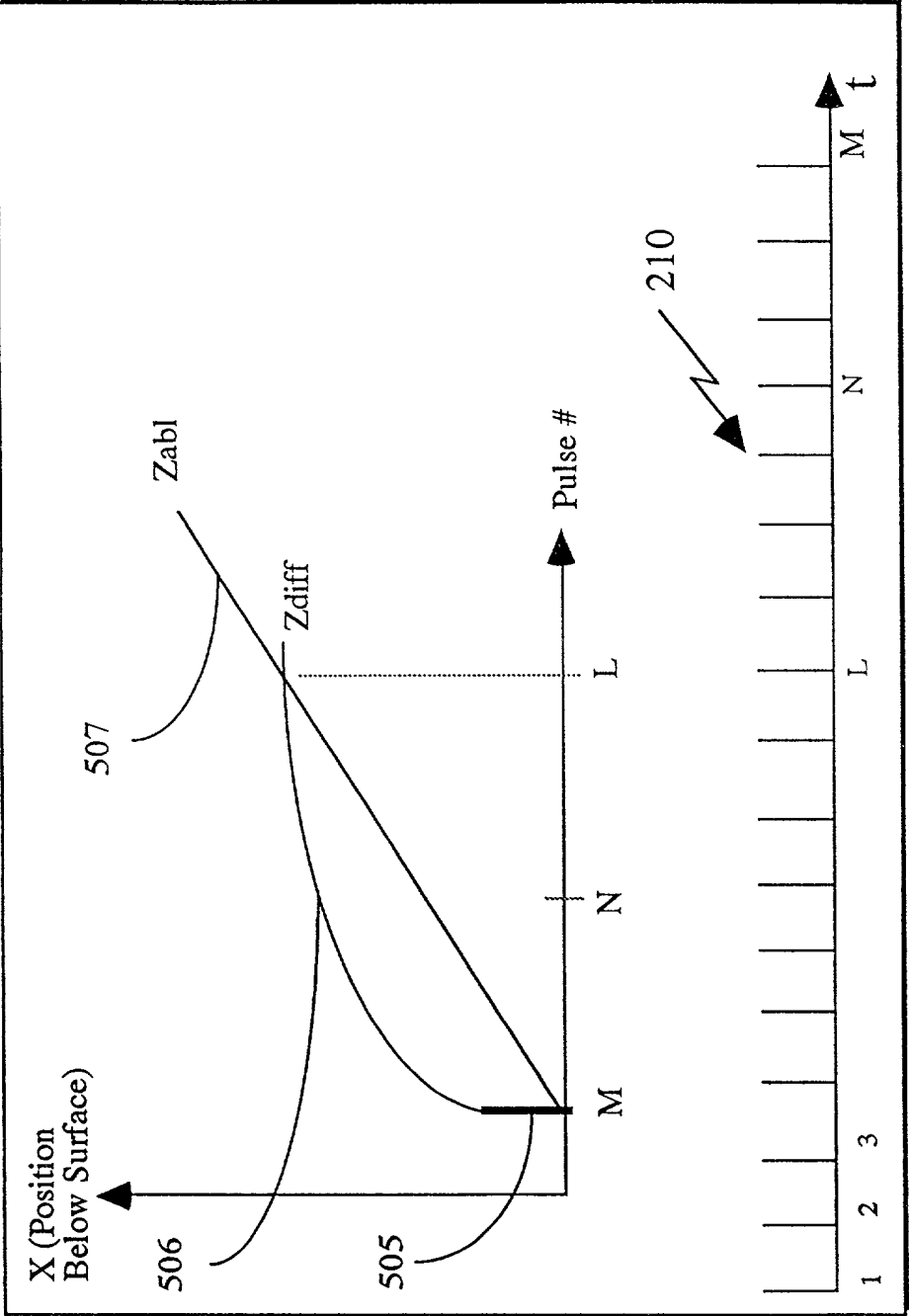


Fig. 15

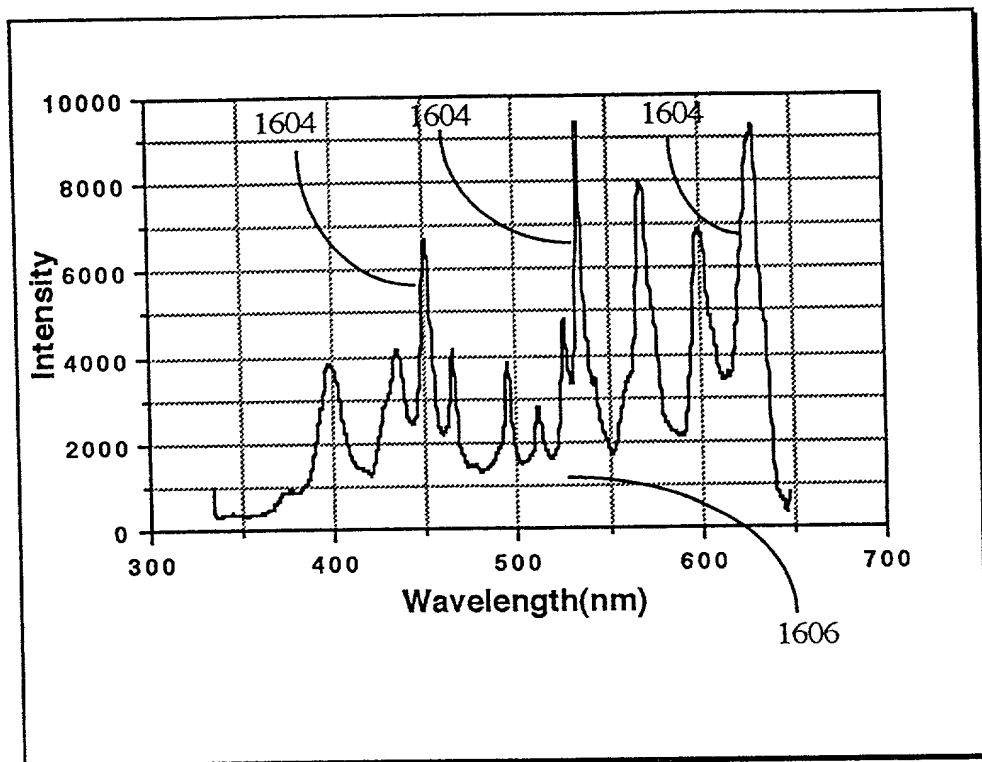


Fig. 16a

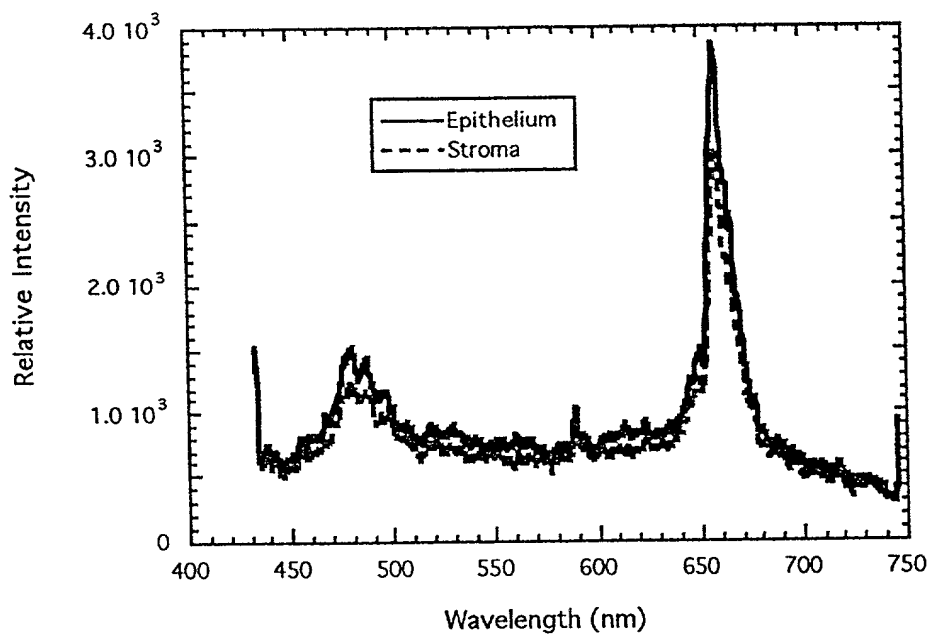


Fig. 16b

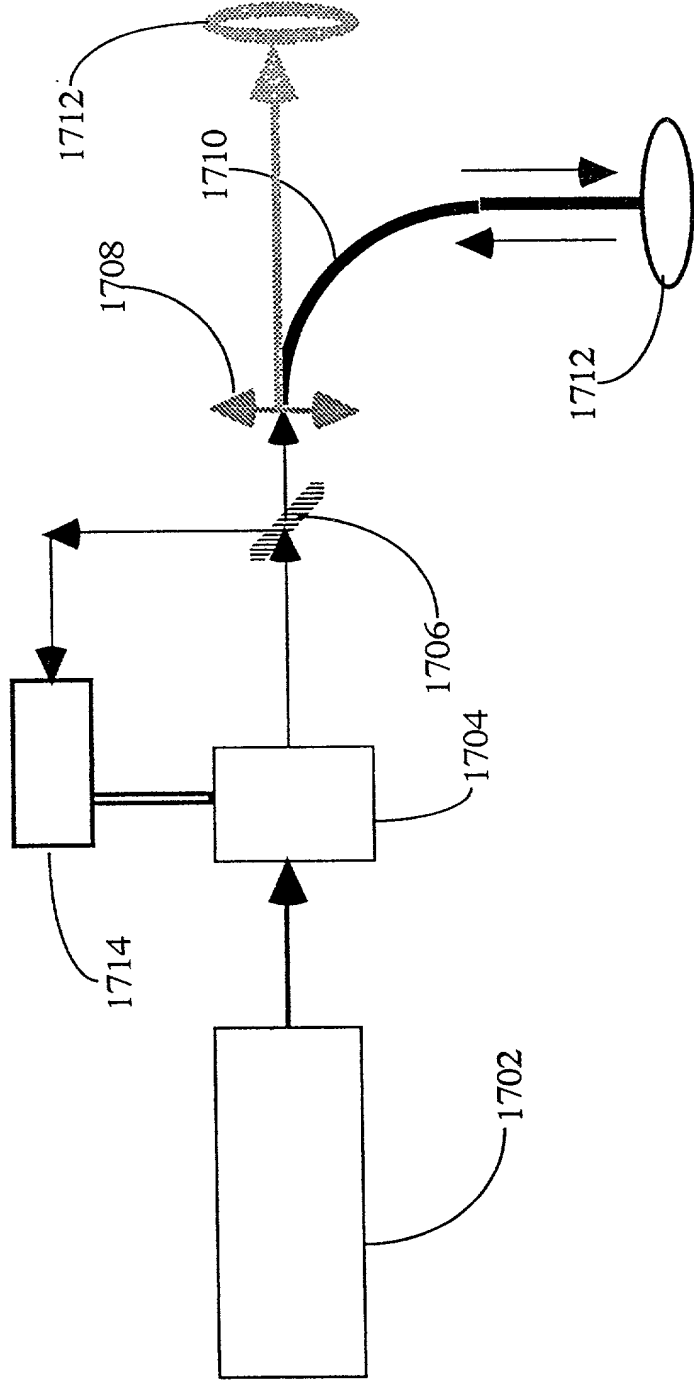


Fig. 17

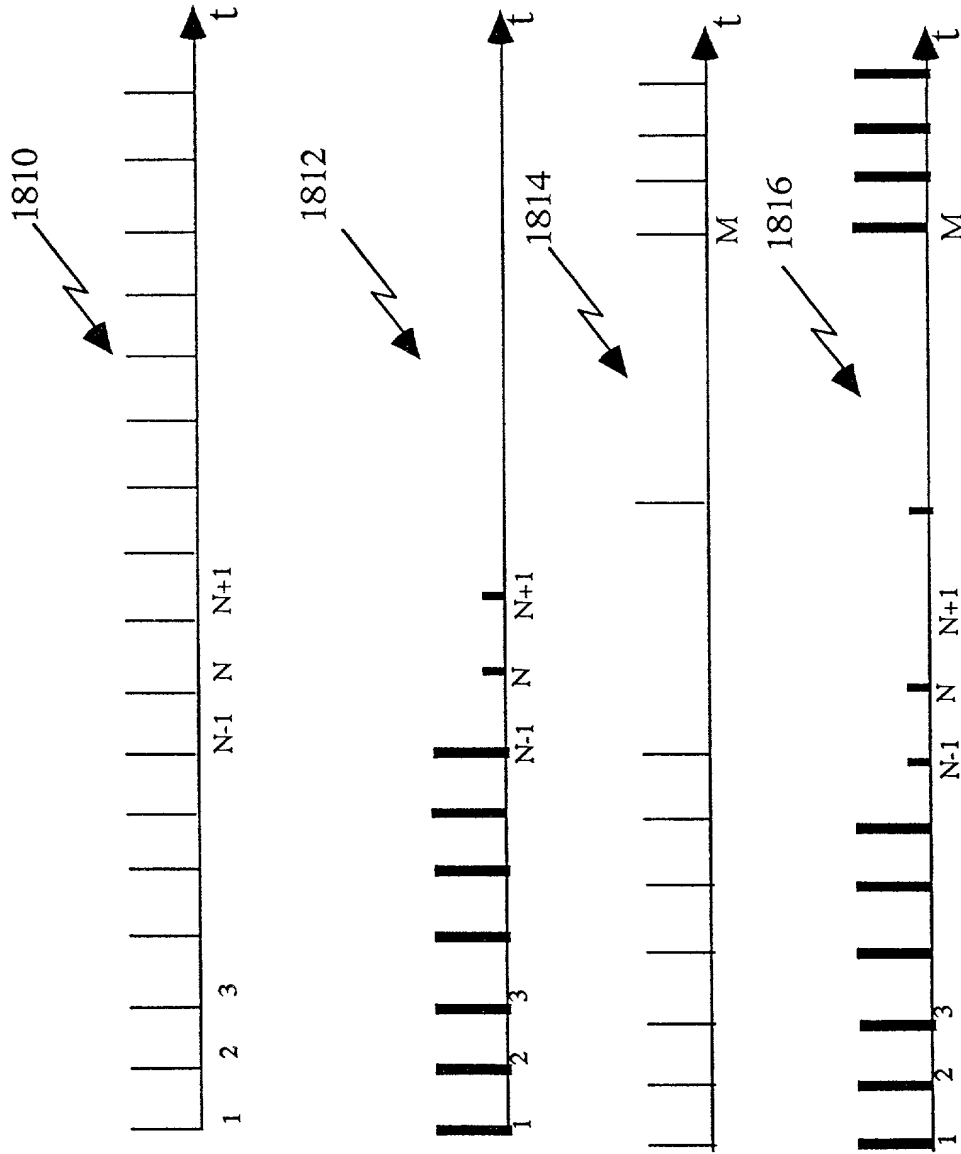


Fig. 18

**DECLARATION AND POWER OF ATTORNEY
FOR PATENT APPLICATIONS**

PATENT

Docket No. : 39428/NEC/Y54

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **METHOD AND APPARATUS FOR HIGH PRECISION VARIABLE RATE MATERIAL**, the specification of which is attached hereto unless the following is checked:

___ was filed on ___ as United States Application Number or PCT International Application Number ___ and was amended on ___ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of the foreign application(s) for patent or inventor's certificate, or § 366(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

<u>Application Number</u>	<u>Country</u>	<u>Filing Date (day/month/year)</u>	<u>Priority Claimed</u>
---------------------------	----------------	-------------------------------------	-------------------------

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below.

<u>Application Number</u>	<u>Filing Date</u>
---------------------------	--------------------

60/050,416	June 4, 1997
------------	--------------

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) or any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

<u>Application Number</u>	<u>Filing Date</u>	<u>Patented/Pending/Abandoned</u>
---------------------------	--------------------	-----------------------------------

09/054,834	April 3, 1998	Pending
------------	---------------	---------

POWER OF ATTORNEY: I hereby appoint the following attorneys and agents of the law firm **CHRISTIE, PARKER & HALE, LLP** to prosecute this application and any international application under the Patent Cooperation Treaty based on it and to transact all business in the U.S. Patent and Trademark Office connected with either of them in accordance with instructions from the assignee of the entire interest in this application;

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATIONS

Docket No. 39428/NEC/Y54

or from the first or sole inventor named below in the event the application is not assigned; or from __ in the event the power granted herein is for an application filed on behalf of a foreign attorney or agent.

R. W. Johnston	(17,968)	Wesley W. Monroe	(39,778)	Mark Marcia	(31,953)
D. Bruce Prout	(20,968)	Gregory S. Lampert	(35,581)	Gary J. Nelson	(44,257)
Hayden A. Carney	(22,653)	Grant T. Langton	(39,739)	Raymond R. Tabandeh	(43,945)
Richard J. Ward, Jr.	(24,187)	Constantine Marantidis	(39,759)	Phuonh Quan Hoang	(41,839)
Russell R. Palmer, Jr.	(22,994)	Marilyn R. Khorsandi	(45,744)	Kathy Mojibi	(41,409)
LeRoy T. Rahn	(20,356)	Daniel R. Kimbell	(34,849)	Cynthia A. Bonner	(44,548)
Richard D. Seibel	(22,134)	Craig A. Gelfound	(41,032)	Jun-Yeung E. Jeon	(43,693)
Walter G. Maxwell	(25,355)	Syed A. Hasan	(41,057)	Marc A. Karish	(44,816)
William P. Christie	(29,371)	Kathleen M. Oleser	(42,052)	John F. O'Rourke	(38,985)
David A. Dillard	(30,831)	Daniel M. Cavanagh	(41,661)	Richard J. Paciulan	(28,248)
Thomas J. Daly	(32,213)	Molly A. Holman	(40,022)	Josephine E. Chang	(46,083)
Vincent G. Gioia	(19,959)	Lucinda G. Auciello	(42,270)	Frank L. Cire	(42,419)
Edward R. Schwartz	(31,135)	Norman E. Carte	(30,455)	Harold E. Wurst	(22,183)
John D. Carpenter	(34,133)	Joel A. Kauth	(41,886)	Robert A. Green	(28,301)
David A. Plumley	(37,208)	Patrick Y. Ikehara	(42,681)	Derrick W. Reed	(40,138)

The authority under this Power of Attorney of each person named above shall automatically terminate and be revoked upon such person ceasing to be a member or associate of or of counsel to that law firm.

DIRECT TELEPHONE CALLS TO : Norman E. Carte, 626/795-9900

SEND CORRESPONDENCE TO : CHRISTIE, PARKER & HALE, LLP
P.O. Box 7068, Pasadena, CA 91109-7068

I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first joint inventor Joseph Neev	Inventor's signature <i>Joseph Neev</i>	Date 7/21/00
Residence and Post Office Address 20321 Lake Forest Drive, Suite D7, Lake Forest, California 92630		Citizenship U.S.A.

Full name of second joint inventor	Inventor's signature	Date
Residence and Post Office Address		Citizenship

Full name of third joint inventor	Inventor's signature	Date
-----------------------------------	----------------------	------